



Institution's Legal Name	UEI				
Administrative Contact Name	Administrative Title		Administrative Email	Administrative Phone	
Subrecipient PI Name	Phone	Email		eRA Commons User Name	

B. Project Details

Title:

Period of Performance (MM/DD/YY)	Requested Subaward Type:	Human Subjects:	🗆 Yes 🗆 No	Clinical Trial:
to	 ☐ Cost Reimbursable ☐ Fixed Price 	Vertebrate Animals:	□Yes □ No	□Yes □No

C. Performance Site

Address				City						
State/Country	ZIP + 4/Postal Code			Congressional District						
D. Subrecipient Budget Request										
Total \$	Direct \$	F&A	\$ C	ost-sharing \$	Program Income: Yes	□ No				
E. Checklist of Proposal Documents Required										
□ Statement of Work	□ Budget	& Budget Justification	Budget Justification D F&A Rate Ag		Other					
F&A Rate Agreement U	RL:		•		+					

F. Certifications

- For any federally funded project, subrecipient certifies the following:
 - Subrecipient, PI and/or project personnel are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in any federal department or agency or delinquent on repayment of any federal debt including direct and guaranteed loans and other debt as defined in Uniform Guidance.
 - o Lobbying activities have not been nor will be conducted regarding this proposal.
 - All of the named personnel on this subaward have disclosed in the relevant proposal documents (Biographical Sketch, Current & Pending (Other) Support, etc.) all international appointments, affiliations (paid or unpaid), and/or financial/in-kind support received from a foreign entity in the past

12 months.

- All individuals identified as senior/key personnel have been made aware of and have complied with their responsibility under both the William M. (Mac) Thomberry National Defense Authorization Act for Fiscal Year 2021, Section 223(a)(1) (42 U.S.C. § 6605(a)(1)) and Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232) and also certify that the individual is not a party to a Malign Foreign Talent Recruitment Program.
- If NIH, international subrecipient(s) certifies to abide by the foreign subaward requirement in <u>NOT-OD-23-18</u>.
- If NSF, subrecipient certifies compliance with the following:
 - NSF's Responsible Conduct of Research requirement
 - United States Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern
 - Creation of a proposal-specific plan regarding safe and inclusive working environments for any off-campus or off-site research
- If NASA, subrecipient certifies it is not a Chinese or Chinese-owned entity, and it will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned entity, whether the involvement is funded or performed under a "no exchange of funds" arrangement.
- If NIFA, subrecipient certifies it complies with NIFA's "Responsible and Ethical Conduct of Research" requirements.

G. Financial Conflict of Interest (FCOI) Compliance Statement (For Non-FDP Participants)

Check one – complete only if your institution does <u>not</u> participate in the FDP Clearinghouse.

- Subrecipient organization certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F, "Promoting Objectivity in Research."
- □Subrecipient does not have a compliant conflict of interest policy and agrees to be bound by the conflict of interest policy of the issuing institution, including completion of the <u>Non-IU COI Disclosure Form</u> for all key personnel

□Not applicable – Non-federal funding.

H. Subrecipient Approvals

The Authorized Official certifies the information on this form and any accompanying documents are accurate and complete and the institution agrees to abide by all relevant certifications listed above. The associated proposal has been reviewed and approved by the appropriate personnel of the subrecipient entity. The appropriate programmatic and administrative personnel involved in this proposal are aware of the sponsoring agency policies, agree to comply with award terms, conditions, and certifications and are prepared to enter into an inter-institutional agreement consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Authorized Official Name

Title

Signature of Authorized Official

Date Signed