

Research Compliance Activity Summary Report
Quality Improvement Office (QIO)
Fiscal Year 2020 (7/1/2019 to 6/30/2020)

Clinical Research Billing Compliance					
Area Activities					
	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Research Billing - Scheduled Reviews					
• Number of Departments Reviewed	n/a	n/a	n/a	n/a	0
• Number of PI's Reviewed/Education Sessions	n/a	n/a	n/a	n/a	0
• Number of of Studies Reviewed	n/a	n/a	n/a	n/a	0
• Number of Dates of Service Reviewed	n/a	n/a	n/a	n/a	0
Area Training Activities					
Presentations (# of events)	n/a	n/a	n/a	n/a	0
Human Subjects Research Quality Assurance (QA)/Quality Improvement (QI)					
Area Activities					
	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Research QA - Scheduled Reviews					
• Number of PI's Reviewed	19	19	17	5	60
• Number of Studies Reviewed	19	19	19	5	62
Research QA - Directed Reviews					
• Number of PI's Reviewed	2	0	1	0	3
• Number of Studies Reviewed	2	0	1	0	3
Area Training Activities					
Presentations (# of events)	4	3	4	8	19
Sponsor-Investigator Meetings	3	2	2	3	10
External Inspections Attended	0	2	0	0	2

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Clinical Trials (CT.gov)					
Area Activities - Registration, Monitoring and Auditing	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	
Total # of Records	700	726	754	761	
Open Records with Late Results	9	5	6	5	
Other Open Records	122	147	162	174	

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	0	0	0	0	0
• Interactive, Computer Lab Training	0	0	0	0	0
• Departmental Training	0	0	0	0	0
• Coordinator Training	1	0	0	0	1