

Research Compliance Activity Summary Report
Quality Improvement Office (QIO)
Fiscal Year 2019 (7/1/2018 to 6/30/2019)

Clinical Trials (CT.gov)					
Area Activities - Registration, Monitoring and Auditing	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	
Total # of Records	628	639	657	681	
Open Records with Late Results	12	12	10	8	
Other Open Records	115	118	109	110	

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	2	1	4	0	7
• Interactive, Computer Lab Training	0	0	0	0	0
• Departmental Training	0	0	3	0	3
• Coordinator Training	2	1	1	0	4

Research Compliance Activity Summary Report

Research Integrity Office (RIO)

Fiscal Year 2019 (7/1/2018 to 6/30/2019)

Research Misconduct					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Current Active Cases*	12	19	8	9	n/a
New Allegations					
Allegations Involving Fabrication/Falsification	4	0	4	3	11
Allegations Involving Plagiarism	4	5	0	2	11
Allegations Involving F/F & P	0	0	1	0	
Current Active Allegations					
Allegations Involving Fabrication/Falsification*	2	7	3	4	n/a
Allegations Involving Plagiarism*	4	5	0	0	n/a
Allegations Involving F/F&P	0	0	1	0	
Current Inquiries					
Inquiries Involving Fabrication/Falsification*	2	3	1	2	n/a
Inquiries Involving Plagiarism*	4	4	3	3	n/a
Inquiries Involving F/F & P	0	0	0	0	
Current Investigations					
Investigations Involving Falsification/Fabrication*	0	0	0	0	n/a
Investigations Involving Plagiarism*	0	0	0	0	n/a
Investigations Involving F/F & P	0	0	0	0	
Currently Closed					
Closed Cases without ongoing activities	10	2	13	5	30
Closed Cases with ongoing activities*	0	0	0	0	n/a

*Count of current activities/allegations/inquiries/investigations in a quarter, not a running total.

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	3	0	0	1	4