

**Research Compliance Activity Summary Report**  
**Quality Improvement Office (QIO)**  
**Fiscal Year 2019 (7/1/2018 to 6/30/2019)**

<b>Clinical Trials (CT.gov)</b>					
<b>Area Activities - Registration, Monitoring and Auditing</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	
Total # of Records	628	639	657	681	
Open Records with Late Results	12	12	10	8	
Other Open Records	115	118	109	110	

  

<b>Area Training Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Presentations (# of events)	2	1	4	0	<b>7</b>
• Interactive, Computer Lab Training	0	0	0	0	<b>0</b>
• Departmental Training	0	0	3	0	<b>3</b>
• Coordinator Training	2	1	1	0	<b>4</b>

## Research Compliance Activity Summary Report

### Research Integrity Office (RIO)

Fiscal Year 2019 (7/1/2018 to 6/30/2019)

Research Misconduct					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Current Active Cases*	12	19	8	9	n/a
<b>New Allegations</b>					
Allegations Involving Fabrication/Falsification	4	0	4	3	11
Allegations Involving Plagiarism	4	5	0	2	11
Allegations Involving F/F & P	0	0	1	0	
<b>Current Active Allegations</b>					
Allegations Involving Fabrication/Falsification*	2	7	3	4	n/a
Allegations Involving Plagiarism*	4	5	0	0	n/a
Allegations Involving F/F&P	0	0	1	0	
<b>Current Inquiries</b>					
Inquiries Involving Fabrication/Falsification*	2	3	1	2	n/a
Inquiries Involving Plagiarism*	4	4	3	3	n/a
Inquiries Involving F/F & P	0	0	0	0	
<b>Current Investigations</b>					
Investigations Involving Falsification/Fabrication*	0	0	0	0	n/a
Investigations Involving Plagiarism*	0	0	0	0	n/a
Investigations Involving F/F & P	0	0	0	0	
<b>Currently Closed</b>					
Closed Cases without ongoing activities	10	2	13	5	30
Closed Cases with ongoing activities*	0	0	0	0	n/a

\*Count of current activities/allegations/inquiries/investigations in a quarter, not a running total.

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	3	0	0	1	4