Research Compliance Activity Summary Report Fiscal Year 2019 (7/1/2018 to 6/30/2019)

| Conflict of Interest (COI) | | | | | | |
|---|-----------|-----------|-----------|-----------|--------|--|
| | Q1 | Q2 | Q3 | Q4 | | |
| Area Activities | (Jul-Sep) | (Oct-Dec) | (Jan-Mar) | (Apr-Jun) | TOTALS | |
| Disclosures Received | 3381 | 2382 | 2473 | 2179 | 10415 | |
| Disclosures with Outside Interests Reported | 188 | 154 | 101 | 102 | 545 | |
| Disclosures Sent to full COI Committee | 15 | 13 | 14 | 12 | 54 | |
| Disclosures sent to ARC | 25 | 85 | 72 | 66 | 248 | |
| Grants and Clinical Trials Reviewed | 108 | 67 | 41 | 112 | 328 | |
| NIH Notifications | 12 | 9 | 12 | 9 | 42 | |
| New Management Plans | 8 | 12 | 1 | 6 | 27 | |
| New Best Practice Memos | 6 | 15 | 13 | 6 | 40 | |
| Total Number of Active Management Plans* | 186 | 198 | 199 | 205 | n/a | |
| Total Number of Active Best Practice Memos* | 224 | 239 | 252 | 258 | n/a | |
| Actions Related to Management Plan Non Compliance | 0 | 0 | 0 | 0 | 0 | |
| Actions Related to Retrospective Reviews | 0 | 0 | 0 | 1 | 1 | |

^{*}Count of active plans/memos in a current quarter, not a running total.

| | Q1 | Q2 | Q3 | Q4 | |
|-----------------------------|-----------|-----------|-----------|-----------|--------|
| Area Training Activities | (Jul-Sep) | (Oct-Dec) | (Jan-Mar) | (Apr-Jun) | TOTALS |
| Presentations (# of events) | 1 | 0 | 0 | 1 | 2 |

| Export Control | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|--------|--|
| | Q1 | Q2 | Q3 | Q4 | | |
| Area Activities | (Jul-Sep) | (Oct-Dec) | (Jan-Mar) | (Apr-Jun) | TOTALS | |
| Contracts/Proposals Reviewed | 71 | 60 | 84 | 73 | 288 | |
| MTA's Reviewed | 6 | 3 | 3 | 5 | 17 | |
| Visa Applications Reviewed | 54 | 63 | 113 | 89 | 319 | |
| Items for Export Reviewed | 7 | 2 | 4 | 5 | 18 | |
| Instances of Traveler Assistance | 3 | 0 | 6 | 0 | 9 | |
| License Review/OFAC Help | 1 | 1 | 0 | 0 | 2 | |

| | Q1 | Q2 | Q3 | Q4 | |
|-----------------------------|-----------|-----------|-----------|-----------|--------|
| Area Training Activities | (Jul-Sep) | (Oct-Dec) | (Jan-Mar) | (Apr-Jun) | TOTALS |
| Presentations (# of events) | 1 | 0 | 2 | 2 | 5 |

| | Q1 | Q2 | Q3 | Q4 | |
|---|-----------|-----------|-----------|-----------|--------|
| Reportable Events/Activities | (Jul-Sep) | (Oct-Dec) | (Jan-Mar) | (Apr-Jun) | TOTALS |
| Actions Related to Technology Control Plan Non Compliance | 0 | 0 | 0 | 0 | 0 |