

Research Compliance Activity Summary Report
Fiscal Year 2019 (7/1/2018 to 6/30/2019)

Conflict of Interest (COI)					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Disclosures Received	3381	2382	2473	2179	10415
Disclosures with Outside Interests Reported	188	154	101	102	545
Disclosures Sent to full COI Committee	15	13	14	12	54
Disclosures sent to ARC	25	85	72	66	248
Grants and Clinical Trials Reviewed	108	67	41	112	328
NIH Notifications	12	9	12	9	42
New Management Plans	8	12	1	6	27
New Best Practice Memos	6	15	13	6	40
Total Number of Active Management Plans*	186	198	199	205	n/a
Total Number of Active Best Practice Memos*	224	239	252	258	n/a
Actions Related to Management Plan Non Compliance	0	0	0	0	0
Actions Related to Retrospective Reviews	0	0	0	1	1

*Count of active plans/memos in a current quarter, not a running total.

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	1	0	0	1	2

Export Control					
Area Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Contracts/Proposals Reviewed	71	60	84	73	288
MTA's Reviewed	6	3	3	5	17
Visa Applications Reviewed	54	63	113	89	319
Items for Export Reviewed	7	2	4	5	18
Instances of Traveler Assistance	3	0	6	0	9
License Review/OFAC Help	1	1	0	0	2

Area Training Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Presentations (# of events)	1	0	2	2	5

Reportable Events/Activities	Q1 (Jul-Sep)	Q2 (Oct-Dec)	Q3 (Jan-Mar)	Q4 (Apr-Jun)	TOTALS
Actions Related to Technology Control Plan Non Compliance	0	0	0	0	0