

**Research Compliance Activity Summary Report**  
**Fiscal Year 2019 (7/1/2018 to 6/30/2019)**

<b>Conflict of Interest (COI)</b>					
<b>Area Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Disclosures Received	3381	2382	2473	2179	<b>10415</b>
Disclosures with Outside Interests Reported	188	154	101	102	<b>545</b>
Disclosures Sent to full COI Committee	15	13	14	12	<b>54</b>
Disclosures sent to ARC	25	85	72	66	<b>248</b>
Grants and Clinical Trials Reviewed	108	67	41	112	<b>328</b>
NIH Notifications	12	9	12	9	<b>42</b>
New Management Plans	8	12	1	6	<b>27</b>
New Best Practice Memos	6	15	13	6	<b>40</b>
Total Number of Active Management Plans*	186	198	199	205	<b>n/a</b>
Total Number of Active Best Practice Memos*	224	239	252	258	<b>n/a</b>
Actions Related to Management Plan Non Compliance	0	0	0	0	<b>0</b>
Actions Related to Retrospective Reviews	0	0	0	1	<b>1</b>

\*Count of active plans/memos in a current quarter, not a running total.

<b>Area Training Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Presentations (# of events)	1	0	0	1	<b>2</b>

<b>Export Control</b>					
<b>Area Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Contracts/Proposals Reviewed	71	60	84	73	<b>288</b>
MTA's Reviewed	6	3	3	5	<b>17</b>
Visa Applications Reviewed	54	63	113	89	<b>319</b>
Items for Export Reviewed	7	2	4	5	<b>18</b>
Instances of Traveler Assistance	3	0	6	0	<b>9</b>
License Review/OFAC Help	1	1	0	0	<b>2</b>

<b>Area Training Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Presentations (# of events)	1	0	2	2	<b>5</b>

<b>Reportable Events/Activities</b>	<b>Q1 (Jul-Sep)</b>	<b>Q2 (Oct-Dec)</b>	<b>Q3 (Jan-Mar)</b>	<b>Q4 (Apr-Jun)</b>	<b>TOTALS</b>
Actions Related to Technology Control Plan Non Compliance	0	0	0	0	<b>0</b>