

COI Committee Review of Management Strategies

Please note: Each disclosure is reviewed based upon the individual situation. The techniques mentioned above for the noted situations have been used in the past but are not a guarantee that the COI Committee will choose the particular management strategy in all cases.

Type of outside relationship	Company also sponsors research in individual's lab	Management Strategy typically put in place
Family member employed at company <u>related</u> to individual's university research	No	Best Practices Memo
Family member employed at company <u>unrelated</u> to individual's university research	No	No action required
Family member employed at company <u>related</u> to individual's university research	Yes	Best Practices Memo/Management Plan
Equity ownership in company <u>related</u> to individual's university research	No	Best Practices Memo
Equity ownership in company <u>unrelated</u> to individual's university research	No	No action required
Equity ownership in company <u>related</u> to individual's university research	Yes	Best practices Memo
Employer compensation <i>Non-IU Affiliated Researcher acting as consultant on project</i>	Yes	No Action
Employer compensation <i>Non-IU Affiliated Researcher acting as consultant on project</i>	No	No Action
Compensation from a non-profit organization	No	No Action/Best Practices Memo
Compensation from a non-profit organization	Yes	No Action/Best Practices Memo
Compensation from or equity in an outpatient surgery center	No	No Action
Speaking engagement – content controlled by sponsor	No	Management Plan/Prohibited by IUSM
Speaking engagement – content controlled by sponsor	Yes	Prohibition
Speaking engagement – speaker controlled content*	Yes	Best Practices Memo/ Management Plan
Speaking engagement – speaker controlled content*	No	No Action/Best Practices Memo
Speaking engagement – CME event, speaker controlled content	No	No Action
Speaking engagement – CME event, speaker controlled content	Yes	No Action
Consulting	No	Best Practice Memo/Management Plan

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Consulting	Yes	Management Plan/Prohibit recruiting, enrolling and consenting subject.
Legal Consulting – expert witness	No	No Action
Legal Consulting- expert witness for industry	Yes	Case-by-case assessment
Legal Consulting-expert witness for industry	No	Best Practices Memo
Patent royalties	Do not use item patented in individual’s lab	Case-by-case assessment
Patent royalties	Use patented item in individual’s lab	Management Plan
Licensing Fees	Yes	Management Plan
Licensing Fees	No	Best Practices Memo
Start-up Company	No	Management Plan
Start-up Company	Yes	Management Plan

*Prohibited by IUSM when the event is or may be perceived to be promotional in nature unless on behalf of the Individual’s IU start-up company.