Principal Investigator/Project Director Eligibility Exception Form

Proposal/Award's Sponsor Name:_____
Project Title:_____

There are situations where it is in the best interest of Indiana University to have an individual serve as a Principal Investigator (PI) or Project Director (PD) on an externally funded sponsored project for Indiana University who is not otherwise eligible per IU's PI Eligibility Policy (SPA-11-006). This form should be completed in these situations, as well as when the PI has a no-pay appointment (including Adjunct faculty), is on a leave of absence without a paid IU appointment, or when the individual is otherwise eligible to serve as PI. This excludes hourly appointments as PIs are not permitted to be paid hourly on sponsored projects.

This form must be approved by the Lead Unit and School for this proposal/award and forwarded to the Office for Research Administration. The PI/PD, Lead Unit, and School agree to the following:

The PI/PD identified below will abide by all policies, procedures and legal obligations of Indiana University regarding administration of this project should it be funded including, but not limited to, misconduct in research, conflicts of interest, use of human subjects and use of laboratory animals. The PI/PD further agrees to abide by all policies and procedures of the external funding agency including the submission of required program reports. The PI/PD shall ensure all expenditures incurred on the project are appropriate and shall be responsible for any over expenditure on the project through any other funds available to him/her.

The lead unit is willing to administratively support this project and the PI/PD. It further agrees that it will have the same programmatic and financial responsibilities for this project that it has for other projects of employees within the unit, including the responsibility to ensure that expenditures incurred on the project are appropriate, and shall be responsible for ensuring that all over expenditures are covered and technical reports are submitted on a timely basis.

_____
PI/PD Signature

_____
Name (typed)

_____
Date

_____
Dept. Chair/Center Director of Lead Unit Signature

_____
Name (typed)

_____
Date

_____
Dean of Lead Unit Signature

_____
Name (typed)

_____
Date