

Subrecipient Letter of Commitment

A. Subrecipient Institution

Institution's Legal Name			UEI
Administrative Contact Name	Administrative Title	Administrative Email	Administrative Phone
Subrecipient PI Name	Phone	Email	eRA Commons User Name

B. Project Details

Title:

Period of Performance (MM/DD/YY) _____ to _____	Requested Subaward Type: <input type="checkbox"/> Cost Reimbursable <input type="checkbox"/> Fixed Price	Human Subjects: <input type="checkbox"/> Yes <input type="checkbox"/> No Vertebrate Animals: <input type="checkbox"/> Yes <input type="checkbox"/> No	Clinical Trial: <input type="checkbox"/> Yes <input type="checkbox"/> No
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C. Performance Site

Address		City
State/Country	ZIP + 4/Postal Code	Congressional District

D. Subrecipient Budget Request

Total \$	Direct \$	F&A \$	Cost-sharing \$	Program Income: <input type="checkbox"/> Yes <input type="checkbox"/> No
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E. Checklist of Proposal Documents Required

<input type="checkbox"/> Statement of Work	<input type="checkbox"/> Budget & Budget Justification	<input type="checkbox"/> F&A Rate Agreement <i>(if not FDP)</i>	<input type="checkbox"/> Other _____
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F&A Rate Agreement URL:

F. Certifications

- For any federally funded project, subrecipient certifies the following:
 - Subrecipient, PI and/or project personnel are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in any federal department or agency or delinquent on repayment of any federal debt including direct and guaranteed loans and other debt as defined in Uniform Guidance.
 - Lobbying activities have not been nor will be conducted regarding this proposal.
 - All named subaward personnel have disclosed in the relevant proposal documents (Biographical Sketch, Current & Pending (Other) Support, etc.) all international appointments, affiliations (paid/unpaid), and/or financial/in-kind support received from a foreign entity in the past 12 months.

- All individuals identified as senior/key personnel have been made aware of and have complied with their responsibility under both the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021, Section 223(a)(1) (42 U.S.C. § 6605(a)(1)) and Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232) and certify they are not a party to a Malign Foreign Talent Recruitment Program.
- If DOE, subrecipient certifies all senior key personnel or covered individuals as defined by [DOE](#) have completed required research security training.
- If NIH, international subrecipient certifies to abide by the foreign subaward requirement in [NOT-OD-23-182](#).
- If NSF, subrecipient certifies compliance with the following:
 - NSF's Responsible Conduct of Research Requirement
 - United States Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern
 - Creation of a proposal-specific plan regarding safe and inclusive working environments for any off-campus or off-site research
- If NASA, subrecipient certifies it is not a Chinese or Chinese-owned entity, and it will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned entity, whether the involvement is funded or performed under a "no exchange of funds" arrangement.
- If NIFA, subrecipient certifies it complies with NIFA's "Responsible and Ethical Conduct of Research" requirements.

G. Financial Conflict of Interest (FCOI) Compliance Statement (For Non-FDP Participants)

Check one – complete only if your institution does not participate in the FDP Clearinghouse.

- ☐ Subrecipient organization certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F, "Promoting Objectivity in Research."
- ☐ Subrecipient does not have a compliant conflict of interest policy and agrees to be bound by the conflict of interest policy of the issuing institution, including completion of the [Non-IU COI Disclosure Form](#) for all key personnel
- ☐ Not applicable – Non-federal funding.

H. Subrecipient Approvals

I, as the Authorized Official, certify to the best of my knowledge and belief that the information provided here is true, complete, and accurate. I am aware that the provision of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Sections 3729-3730 and 3801-3812. The institution agrees to abide by all relevant certifications listed above. The associated proposal and any accompanying documents have been reviewed and approved by the appropriate personnel of the subrecipient entity. The appropriate programmatic and administrative personnel involved in this proposal are aware of the sponsoring agency policies, agree to comply with award terms, conditions, and certifications and are prepared to enter into an inter-institutional agreement consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Authorized Official Name

Title

Signature of Authorized Official

Date Signed