

Indiana University

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Annual Financial Report 2016–2017

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On the Cover: A bronze sculpture of Bloomington’s famous composer and songwriter Hoagy Carmichael, performing at his grand piano outside the IU Cinema on the Bloomington campus.

Message from the President

The Honorable Eric J. Holcomb
Governor, State of Indiana
State House, Room 206
200 West Washington Street
Indianapolis, IN 46204

Dear Governor Holcomb:

On behalf of the Trustees of Indiana University, I am pleased to present to you IU's 2016-17 Financial Report.

In 2020, Indiana University will celebrate its 200th anniversary. Indiana University was founded on January 20, 1820, on the promise to the people of the newly established state of Indiana that the civic, cultural, social, and economic life of the state and its citizens would be expanded and enriched by an exceptional public institution of higher education.

As a public institution, IU is deeply committed to fulfilling its obligation to the people of Indiana to provide a first-rate education that is affordable, accessible, innovative, and relevant. IU is also proud to serve as one of the most powerful forces for economic development in our state.

Even as we work diligently to preserve IU's great traditions and unmatched heritage, we continue to engage in a comprehensive effort to enhance the character of our campuses, ensure that they remain magnets for the best and most deserving students, and elevate IU's ever-growing reputation as a truly world-class institution.

AN AFFORDABLE AND CLEAR PATH TO HIGHER EDUCATION, PROVIDING THE SKILLS AND EXPERIENCE STUDENTS NEED FOR SUCCESS

Along with Indiana's other colleges and universities, IU is fully engaged in responding to the call to ensure an affordable and clear path to higher education, generate greater numbers of Hoosier graduates, and ensure they leave our schools with the skills and experiences they need to succeed in the workforce.

For Indiana to remain viable in today's ultra-competitive marketplace, it is critical that we fill our talent pipeline with highly skilled, smart, flexible, and experienced workers who will thrive in an



ever-evolving economy. We need those employees to possess global cultural understanding and experience and have the ability to work productively with people from different cultures and traditions. And we need to continue working hard to keep our best and brightest in our state after they graduate.

By almost any measure, IU is fulfilling its promise to our state.

In May 2017, a record number of more than 21,000 students received IU degrees during commencement ceremonies across our state. IU's class of 2017 represented the largest group of graduates to be produced by any institution in Indiana—in fact almost as large as the next two combined—and it was also one of its most distinguished. The class included Wells Scholars, Goldwater Scholars, a Boren Scholar, and a Rhodes Scholar.

Viewed in terms of sheer size, the class of 2017 shows again how IU is truly the state's higher education powerhouse and reflects the enormous value Hoosiers continue to place on an IU education. These graduates also serve as a powerful reminder of IU's huge impact on the health, social and cultural fabric, and economic vitality of the Hoosier state.

This fall, IU's Bloomington and Indianapolis campuses welcomed their largest, brightest, and most diverse freshman classes ever. With this year's student

body numbering more than 112,000—and given that 70 percent of currently enrolled degree-seeking undergraduates are in-state students—IU will be by far the largest producer of Hoosier graduates annually in Indiana for the foreseeable future.

Across the state, IU is preparing our students to meet their fullest potential, make major contributions to the economic development and quality of life in the communities in which they live and work, and find solutions to the most important problems facing our planet.

IU students are also being exposed to what it will take to meet the needs of our employers—particularly in those strategic sectors of the state’s economy, such as information technology, public health, and the life sciences—sectors we know will be vital to the growth of our state. But the state of Indiana simply cannot afford to be a net exporter of talent, which is why IU has become more strategic, deliberate, and intentional in aligning its academic offerings to new and emerging areas of importance to students, as well as Indiana employers.

To this end, IU has recently established a large number of new schools and academic programs—in such key disciplines as art and design, international studies, media, philanthropy, and public health—all designed to meet the evolving needs of our students and all based on a strong liberal arts foundation that has made American higher education the best and most admired in the world. Last year, we launched a new engineering program at IU Bloomington, and in 2018 we will begin offering a master of architecture degree in the campus’s new School of Art and Design that will have its primary focus on Columbus, an internationally recognized center for architecture. The architecture degree also anticipates a major state employment need. According to the Indiana Department of Workforce Development, employment of architects is projected to grow more than 20 percent through 2022.

LEADING THE NATION IN STUDENT DEBT REDUCTION

At the same time that IU is graduating more students and producing more on-time graduates than ever, we have placed an even greater priority on

ensuring that students leave IU with as little debt as possible. In recent years, the university has instituted a number of highly successful programs to keep the cost of attendance as low as possible while also educating our students on the implications of incurring debt.

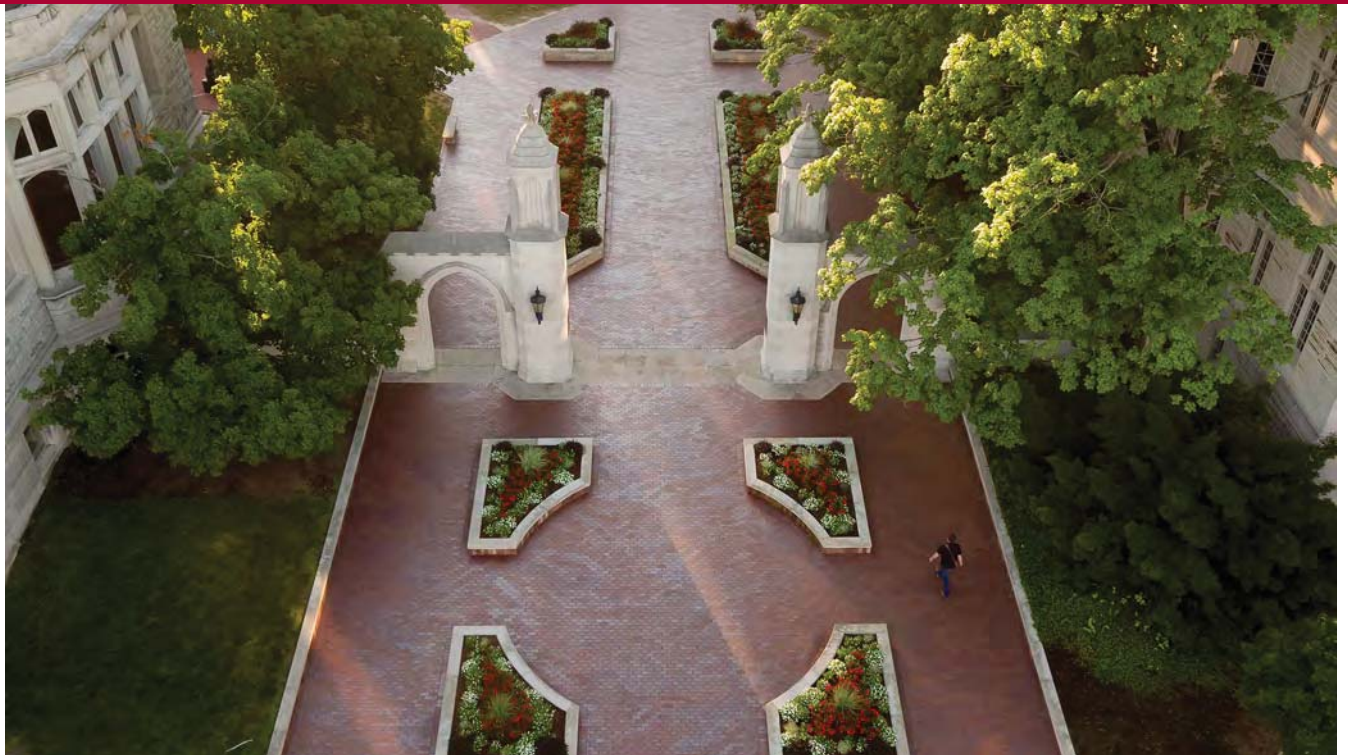
Indiana resident undergraduates attending the Bloomington campus benefitted from a tuition freeze during the last two-year tuition cycle. And students on all other campuses experienced only very modest increases during the last two years.

While we make every effort to keep tuition costs as low as possible, for some students, taking on debt is the only way to achieve their dream of a college education. Hence, we have a responsibility to help those students keep their debt load to a minimum and better understand the implications of borrowing.

Since we began IU’s comprehensive financial literacy program—and adopted more vigorous policies to increase student financial assistance and promote on-time graduation—borrowing by IU students has been reduced by nearly \$100 million in four years. Total student borrowing and federal loans have decreased every year since we began our efforts. Furthermore, 45 percent of our bachelor’s degree recipients will graduate with no student loan debt—compared with less than 30 percent nationally—and 80 percent will graduate with a balance below the national average.

These are remarkable figures and they clearly underscore the fact that Indiana University leads the nation in the area of student debt reduction—an area that is of great concern nationally and one that is of enormous demonstrable benefit to our students. There is, of course, more work to be done to control the cost of education, further reduce student debt, and help more students graduate on time. But our financial literacy program and other initiatives, which have been widely praised and adopted by other colleges and universities, clearly have us on the right path moving forward.

Initiatives such as these, when coupled with a \$37 million increase in institutional gift aid for undergraduate students over the last four years across the IU campuses—a 28 percent increase made possible,



Sample Gates, Bloomington

in large part, by the extraordinary generosity of our donors—have kept the average net cost of an IU education low compared to our peers. These institutional aid programs address affordability and completion.

FINDING SOLUTIONS TO THE GRAND CHALLENGES OF OUR TIME

Another major component of Indiana University’s heritage is its longstanding status as a national leader in research and the home of scholars of outstanding international recognition.

As part of the *Bicentennial Strategic Plan for Indiana University*, a sweeping set of vital goals approved by the IU Board of Trustees in 2014, the university has massively expanded its commitment to direct support of IU researchers. Two years ago, we announced the most ambitious program of research support in the university’s history—the Grand Challenges Program. This program proposes to invest, in the years leading up to IU’s bicentennial, \$300 million in three to five major multi-investigator, multidisciplinary research projects aimed at finding solutions to the “grand challenges” of our time—solutions that will provide major improvements in the quality of life for the citizens of the state of Indiana who have helped support IU for nearly 200 years.

In June 2016, I was very pleased to announce that the Precision Health Initiative was selected as the recipient of the first round of funding. Led by Principal Investigator Dr. Anantha Shekhar of the IU School of Medicine, the Precision Health Initiative will seek to cure at least one cancer and one childhood disease, as well as find ways to prevent one chronic illness and one neurodegenerative disease.

In May 2017, we announced the second project funded through our Grand Challenges Program—Prepared for Environmental Change. This project, which is backed by a broad, bipartisan coalition of government, business, nonprofit, and community leaders, will help Indiana communities track environmental change and measure their preparedness for responding to immediate challenges and long-term effects with targeted and strategic investments in agriculture, industry, infrastructure, and public health.

IU is also working closely with communities around the state to find solutions to challenges that include poverty, lack of economic opportunity, and poor educational and health outcomes, including the growing crisis of opioid addiction that is rapidly becoming one of the nation’s most severe public health problems.

INTERNATIONAL ENGAGEMENT

At IU, we are especially proud of the leading role we continue to play in our state's and also America's international engagement.

Of all that comprises an IU education, international literacy and experience ranks at the very top. We live in increasingly challenging times, when the need to understand and engage with the broader world is at its most acute and urgent. The world in which our students will live will require more, not less, knowledge about the world.

IU's extensive international engagement efforts include:

- requiring a mandatory international component for every student as part of his or her IU education;
- doubling, over the last decade, the number of IU students who study abroad. IU Bloomington now ranks 10th in the nation—out of about 1,200 universities—in terms of the number of students who study abroad. About a third of IU Bloomington students have studied abroad by the time they graduate;
- welcoming a large and diverse international student body who now come from over 150 countries. We currently have around 9,000 international students enrolled across the entire university. IU Bloomington ranks 16th in the nation—again, out of about 1,200 universities—in terms of the number of international students enrolled;
- building strong and active partnerships (now numbering around 200) with the best foreign universities in the world;
- building on IU's formidable resources in language study (we teach over 70 foreign languages, more than any other university in the country) and in area studies, to become one of the nation's pre-eminent centers of research and scholarship in foreign and international affairs, which we are rapidly achieving through our new School of Global and International Studies;
- supporting and encouraging our faculty from all disciplines in engaging internationally; and

- growing the IU Global Gateway Network, which now includes offices in Beijing, Berlin and New Delhi, to help focus and concentrate our activities in key regions of the world.

CONCLUSION

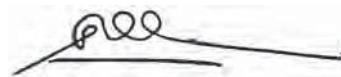
I am proud to say that IU remains steadfastly committed to the educational and service missions that have made it such a positive force in the life of our state, nation, and world. And we have embraced thoughtful and strategic change that both builds upon IU's longstanding strengths and traditions and bolsters our future.

We have worked together to establish learning environments on all of our campuses that give our students every opportunity to succeed. We have re-envisioned our schools and programs so they provide a relevant education of lasting value. We have ensured that an IU education remains affordable, and we have adopted practices and policies that encourage our students to persist to graduation and complete their degrees on time.

As this financial report illustrates, Indiana University continues to regard the funding it receives as a public trust. We are deeply grateful for the support we receive from state appropriations, donor contributions, grants, contracts, and student fees, and are committed to achieving the best return on all of those investments. We also remain dedicated to fulfilling IU's core missions of education and research and to our engagement in the successful future of the state.

As we approach Indiana University's bicentennial, we must commit to strengthening our powerful partnership with the state of Indiana and its citizens and to extending that partnership over the next 200 years.

Yours sincerely,



Michael A. McRobbie
President

Message from the Vice President and Chief Financial Officer

Dear President McRobbie and the Trustees of Indiana University:

I am pleased to present to you the Indiana University Financial Report for the fiscal year ended June 30, 2017. The financial statements have been prepared in accordance with generally accepted accounting principles and Governmental Accounting Standards Board (GASB) principles. The accompanying notes to the financial statements and the Management's Discussion and Analysis are integral parts of the financial statements.

The statements are intended to provide a summary of the flow of the economic resources of the university during the fiscal year covering the period of July 1, 2016, through June 30, 2017. The statements report the university's financial position at June 30, 2017, with comparative data from the previous fiscal year. In addition, financial results of the Indiana University Foundation are incorporated in the 2016-2017 financial report.

The financial statements have been audited by the Indiana State Board of Accounts. Their opinion on the financial statements appears after this letter.

The Indiana University Financial Report is a consolidated report incorporating all seven campuses for which Indiana University has fiscal responsibility and also includes all auxiliary operations. The information presented in the Management's Discussion and Analysis section of the financial report includes indicators that assess Indiana University's fiscal health. Overall, these indicators show that Indiana University continues to have a strong balance sheet reflecting sound and careful fiscal management across the institution.

For the fiscal year ending June 30, 2017, the institution had an increase in net position of \$154,254,000, or 4%. This positive financial performance was achieved while focusing on affordability. Undergraduate tuition and fee rate increases in 2017 and 2016 were the lowest in more than 35 years, ranging from a tuition freeze for Indiana residents on the Bloomington campus to a 1.65% increase for residents on the IUPUI campus. Regional campus' undergraduate tuition and fee rates increased an average of 1.65%. Complementing these moderate tuition increases was continued financial support for our students,



with \$415,787,000 provided in financial assistance.

Affordability is also maintained through the continued financial support of donors and the State, combined with the fiscal stewardship of those across the university who have allowed us to continue to invest in the programs and facilities required to educate and prepare students to contribute to the state, the nation, and the world. In fiscal 2017, state support for university operations was \$558,111,000, while support for capital projects was \$31,083,000. Simultaneously, donor support brought into the university was \$136,468,000.

Indiana University is one of seven public universities that hold an Aaa long-term credit rating from Moody's Investor Services, as well as an AAA rating from Standard and Poor's. These ratings reflect not only IU's strong financial performance and focus on high standards and fiscal management, but also the effective governance of senior leadership and the Board of Trustees, the quality of our academic programs, our ability to plan for the future, and our continued focus on efficiency and affordability.

On behalf of all those responsible for the fiscal stewardship of Indiana University's resources, I submit the Indiana University Financial Report for the fiscal year ending June 30, 2017.

John A. Sejdinaj
Vice President and Chief Financial Officer



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INDEPENDENT AUDITOR'S REPORT

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

Report on the Financial Statements

We have audited the financial statements of the business-type activities and the discretely presented component unit of Indiana University (University), a component unit of the State of Indiana, as of and for the years ended June 30, 2017 and 2016, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, as listed in the Table of Contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We did not audit the financial statements of the Indiana University Foundation (Foundation), a component unit of the University as discussed in Note 1, which represents 100 percent, 100 percent, and 100 percent, respectively, of the total assets, net position, and revenues of the discretely presented component unit. Those statements were audited by other auditors whose report has been furnished to us, and our opinion, insofar as it relates to the amounts included for the Foundation, is based solely on the report of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement. The financial statements of the Foundation were audited in accordance with auditing standards generally accepted in the United States of America, but were not audited in accordance with *Government Auditing Standards*.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the University's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

INDEPENDENT AUDITOR'S REPORT
(Continued)

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, based on our audit and the report of the other auditors, the financial statements referred to above present fairly, in all material respects, the respective financial position of the business-type activities and the discretely presented component unit of the University, as of June 30, 2017 and 2016, and the respective changes in financial position and, where applicable, cash flows thereof and for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the Management's Discussion and Analysis, Schedule of the University's Proportionate Share of the Net Pension Liability for the Indiana Public Employees' Retirement Fund, Schedule of the University's Contributions for the Indiana Public Employees' Retirement Fund, and Schedule of Funding Progress for Other Postemployment Benefit Plans be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the University's basic financial statements. The Message from the President, Message from the Vice President and Chief Financial Officer, Trustees and Administrative Officers of Indiana University, and Additional Information are presented for purposes of additional analysis and are not a required part of the basic financial statements.

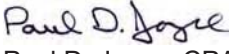
The Message from the President, Message from the Vice President and Chief Financial Officer, Trustees and Administrative Officers of Indiana University, and Additional Information have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on this information.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 25, 2017, on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial

INDEPENDENT AUDITOR'S REPORT
(Continued)

reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control over financial reporting and compliance.


Paul D. Joyce, CPA
State Examiner

October 25, 2017

INTRODUCTION

The following discussion and analysis provides an overview of the consolidated financial position and activities of Indiana University (the "university") for the fiscal year ended June 30, 2017, with selected comparative information for the years ended June 30, 2016 and 2015. This discussion has been prepared by management and should be read in conjunction with the consolidated financial statements and accompanying footnotes.

The university's financial report includes three financial statements: the Statement of Net Position; the Statement of Revenues, Expenses, and Changes in Net Position; and the Statement of Cash Flows. The university's financial statements, related footnote disclosures, and discussion and analysis have been prepared in accordance with Governmental Accounting Standards Board (GASB) principles.

The Indiana University Foundation, Inc. (IU Foundation) is organized as a not-for-profit corporation under the laws of the State of Indiana for the exclusive purpose of supporting the university by receiving, holding, investing, and administering property and making expenditures to or for the benefit of the university. The IU Foundation is con-

Bloomington campus



sidered a component unit of the university, which requires discrete presentation. Accordingly, the IU Foundation's audited financial statements are presented in their original formats on separate pages, along with the university's financial statements.

The Indiana University Building Corporation (IUBC) serves specific purposes on behalf of the university and is shown in a blended presentation with the university's financial statements. The sole purpose of IUBC is to assist the university in the financing and development of university facilities by owning and leasing such facilities to the university on a lease-purchase basis.

ABOUT THE FINANCIAL STATEMENTS

The **Statement of Net Position** is the university's balance sheet. The statement presents the university's financial position by reporting all assets, deferred outflows of resources, liabilities, deferred inflows of resources, and net position as of the end of the fiscal year, with comparative information for the prior fiscal year. Net position is the residual value of the university's assets and deferred outflows of resources, after liabilities and deferred inflows of resources are deducted, and is one indicator of the financial condition of the university.

The **Statement of Revenues, Expenses, and Changes in Net Position** is the university's income statement. The statement presents the total revenues recognized and expenses incurred by the university during the fiscal year, along with the increase or decrease in net position, with comparative information for the prior fiscal year. This statement depicts the university's revenue streams, along with the categories of expenses supported by that revenue. Changes in net position are an indication of improvement or decline in the university's overall financial condition.

The **Statement of Cash Flows** provides additional information about the university's financial results by presenting detailed information about cash inflows and outflows during the year, with comparative information for the prior fiscal year. The statement reports the major sources and uses of cash and is useful in the assessment of the university's ability to generate future net cash flows and to meet obligations as they come due.

STATEMENT OF NET POSITION

A comparison of the university's assets, deferred outflows of resources, liabilities, deferred inflows of resources, and net position at June 30, 2017, 2016, and 2015, is summarized as follows:

Condensed Statement Of Net Position			
<i>(in thousands of dollars)</i>	<i>June 30, 2017</i>	<i>June 30, 2016</i>	<i>June 30, 2015</i>
Current assets	\$ 649,905	\$ 681,215	\$ 739,585
Capital assets, net	3,147,159	2,984,285	2,815,801
Other assets	1,677,406	1,645,925	1,691,873
Total assets	5,474,470	5,311,425	5,247,259
Deferred outflows of resources	86,345	67,186	41,280
Current liabilities	388,257	416,626	384,327
Noncurrent liabilities	1,268,799	1,230,957	1,268,297
Total liabilities	1,657,056	1,647,583	1,652,624
Deferred inflows of resources	38,220	19,743	30,286
Net investment in capital assets	2,200,168	2,048,226	1,924,031
Restricted net position	230,713	246,074	298,663
Unrestricted net position	1,434,658	1,416,985	1,382,935
Total net position	\$ 3,865,539	\$ 3,711,285	\$ 3,605,629

ASSETS***Current Assets***

Current assets include those that are used to support current operations and consist primarily of cash and cash equivalents, net receivables, and short-term investments. Cash balances support commitments to strategic initiatives, capital projects, employee benefit and retirement costs, and self-liquidity requirements, along with ongoing operational needs. The overall fluctuations in current assets is primarily a function of the university's operating, capital and noncapital financing, and investing activities as reflected in the Statement of Cash Flows.

Current assets decreased \$31,310,000, or 5%, and \$58,370,000, or 8%, in 2017 and 2016, respectively. The decrease in 2017 is primarily attributable to a decrease of \$51,263,000, or 18%, in cash and cash equivalents, due in large part to a payment of \$32,656,000 made to the Indiana Public Employees' Retirement Fund to reduce the university's net pension liability (see Note 12, Retirement Plans). The change in 2016 reflects the use of cash and cash equivalents related to spending of invested bond proceeds on capital projects, in contrast to

the net investment of bond proceeds in 2015. Net accounts receivable increased \$22,600,000, or 18%, and decreased \$16,636,000, or 12%, in 2017 and 2016, respectively. The increase in 2017 resulted from natural fluctuations in student tuition and auxiliary revenue cycles, along with the timing of the receipt of gifts. The decrease in 2016 was primarily due to a state operating appropriation receivable of \$9,386,000 at June 30, 2015, which was received in July 2015.

Noncurrent Assets

Major components of noncurrent assets are endowment and operating investments and capital assets, net of accumulated depreciation. Noncurrent assets increased \$194,355,000, or 4%, and \$122,536,000, or 3%, in 2017 and 2016, respectively. The fair value of the university's noncurrent investments increased \$31,337,000, or 2%, and decreased \$45,301,000, or 3%, in 2017 and 2016, respectively. The increase in 2017 was a result of market value changes during the year in the university's operating and endowment investments. The decrease in 2016 was largely due to tactical asset reallocation to short-term investments and cash equivalents as of June 30, 2016.

The objective of the university's investment policy with respect to its operating funds is to adequately provide for the daily liquidity needs of the university while maximizing the opportunity to generate yield on investments. Endowment funds are managed by the IU Foundation using a disciplined, consistent, and diversified approach according to the policies and strategic direction of the Foundation Investment Committee and the laws of the State of Indiana.

Capital Assets

The university's investment in capital assets, net of depreciation, which includes land, art and museum objects, infrastructure, equipment, and buildings, grew \$162,874,000, or 5%, and \$168,484,000, or 6%, in 2017 and 2016, respectively. Additions to capital assets are comprised of new construction and renovations, as well as major investments in equipment and information technology. Construction in progress, which totaled \$228,213,000 at June 30, 2017, and \$224,336,000 at June 30, 2016, includes academic and administrative building projects, student residence hall improvements, and construction of research facilities.

In accordance with the university's master plan and *Bicentennial Strategic Plan*, the university is committed to building for excellence to ensure that the university has the new and renovated physical facilities and infrastructure to excel, "while recognizing the importance of historical stewardship, an environment that reflects IU's values, and the imperative to meet future needs." Use of these facilities provides faculty, staff, and students with new learning and research spaces, while encouraging efficient management and reuse of existing space.

Key projects placed in service during 2017 include the following:

The Paul H. O'Neill Graduate Center is now housed in an addition to the School of Public and Environmental Affairs (SPEA) on the Bloomington campus. The \$14,700,000 addition was partially funded by generous gifts. The 34,000-square-foot facility now houses SPEA's top-ranked graduate programs with added classroom and office space, including collaboration spaces supported by new technology; lounges

for graduate students, undergraduates, faculty and staff; a spectacular stairway featuring three floors of windows; limestone accent walls; a 2,300-square-foot student commons; and floor to ceiling views of the Herman B Wells Library and the arboretum.

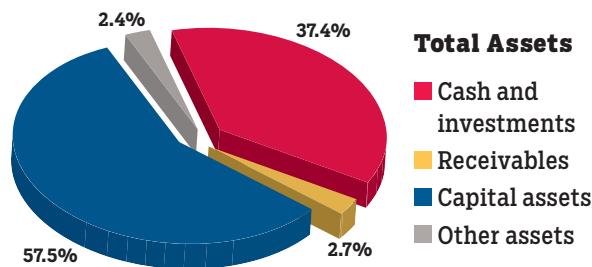
For more than 40 years, Assembly Hall in Bloomington has been the site of IU men's and women's basketball games, commencement ceremonies, concerts, and speeches by presidents and world leaders. A \$43,580,000 renovation project, made possible through a gift from Cindy Simon Skjodt, was completed in October 2016, in time for the start of the facility's 46th basketball season. The renovations preserve one of the greatest home-court advantages in college basketball and also modernize the historic facility. The renamed Simon Skjodt Assembly Hall is more accessible and offers an improved game experience for student athletes, fans, staff, and students. The renovations also include the creation of the Mark Cuban Center for Sports Media and Technology, which will give IU's media and technology students an opportunity to use cutting-edge technology and equipment to produce high quality videos and other content for IU Athletics.

North Hall, completed on the Indiana University-Purdue University at Indianapolis (IUPUI) campus in August of 2016 at a cost of \$47,100,000, is the first traditional residence hall constructed at IUPUI since the campus was founded in 1969. The residence hall was designed to promote student interaction through the creation of welcoming common spaces, including a grand lobby and microlounges, throughout each residential floor. The 172,000-square-foot building provides housing for 700 students. The building also features a computer lab, a game room, a fitness area, a laundry facility, a large programming area, and two classrooms.

The Student Events and Activities Center on the IU East campus promotes student success and opportunities for engagement and leadership through a comprehensive offering of programs in health and wellness, physical education, athletics, student activities, and special events. The \$4,800,000 cost of the building was funded in part through IU East campus' largest ever gift from an alumnus.

The following table and chart represent the composition of total assets as of June 30, 2017:

Total Assets		
<i>(in thousands of dollars)</i>		
Cash and investments	\$ 2,048,299	37.4%
Receivables	149,186	2.7%
Capital assets	3,147,159	57.5%
Other assets	129,826	2.4%
Total assets	\$ 5,474,470	100.0%



DEFERRED OUTFLOWS OF RESOURCES

Deferred outflows of resources represent the consumption of resources applicable to a future reporting period, but do not require a further exchange of goods or services. Deferred outflows represent the consumption of net position applicable to a future reporting period and so will not be recognized as expenses or expenditures until then. Certain changes in resources related to the net pension liability, including changes in investment returns and assumptions, are reported as deferred outflows of resources. The amounts recorded also include deferred charges on refundings of capital debt.

LIABILITIES

Current Liabilities

Current liabilities are those expected to become due and are payable over the course of the next fiscal year. Current liabilities consist of accounts payable; accrued compensation; and the current portion of compensated absences, unearned revenue, long-term debt, and capital lease obligations.

Current liabilities decreased \$28,369,000, or 7%, and increased \$32,299,000, or 8%, in 2017 and 2016, respectively. Accounts payable and accrued liabilities decreased \$33,624,000, or 13%, in 2017. In April 2013, the university implemented a “freeze” of its PERF participation under which non-exempt employees hired on or after July 1, 2013, would enroll in a defined contribution plan instead of the PERF defined benefit plan. Subsequently, the Indiana General Assembly passed a law, which retroactively imposed a new funding obligation for employers who had previously made the decision to freeze PERF participation. The university recorded this obligation at June 30, 2016, and made payment to satisfy this obligation in August 2016, resulting in a decrease in current accounts payable as of June 30, 2017 (see Note 12, Retirement Plans).

Noncurrent Liabilities

Noncurrent liabilities increased \$37,842,000, or 3%, and decreased \$37,340,000, or 3%, in 2017 and 2016, respectively. Other noncurrent liabilities include other postemployment benefits (as described in Note 13, Postemployment Benefits) and compensated absences. Compensated absences are comprised of employee vacation and sick leave and fluctuate as employees earn and use those leave balances. In 2015, the university adopted GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*, requiring governments providing defined benefit plans to recognize their unfunded pension benefit obligations for the first time. In accordance with the statement, the university recorded a net pension liability of \$95,689,000 and \$98,279,000 at June 30, 2017 and 2016, respectively (see Note 12, Retirement Plans).

DEBT AND FINANCING ACTIVITY

Institutional borrowing capacity is a valuable resource that is actively managed in support of the institutional mission. Bonds, notes, and capital lease obligations totaled \$1,085,679,000 and \$1,027,324,000 at June 30, 2017 and 2016, respectively.

On August 4, 2016, the university issued fixed rate Student Fee Bonds, Series X (Series X) with a par amount of \$71,710,000. Series X new money proceeds were used to finance the Old Crescent Renovation Phase II project for the renovation of Kirkwood Hall, Swain Hall, and Ernie Pyle Hall on the Bloomington campus. Series X proceeds were additionally used to current refund a portion of Student Fee Bonds, Series R and advance refund a portion of Student Fee Bonds, Series U. Bond proceeds were also used to pay costs to issue the bonds, including underwriters' discount. At issuance, the all-in true interest cost for Series X was 2.26%. The Series X refunding bonds produced a net present value savings of \$2,270,000, which was 7.54% of refunded par bonds.

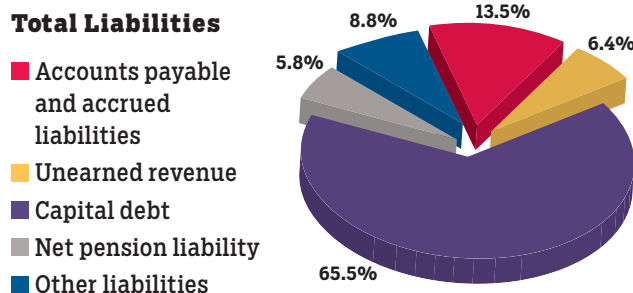
On March 8, 2017, the university issued fixed rate Lease-Purchase Obligations, Series 2017A with a par amount of \$74,575,000 as new money bonds. The proceeds financed the Memorial Stadium Excellence Academy and Related Stadium Renovations project and the Eskenazi Museum of Art Renovations project on the Bloomington campus. Bond proceeds were also used to pay capitalized interest and costs to issue the bonds, including underwriters' discount. The true interest cost for LPO Series 2017A was 3.71%.

The university's ratings on debt obligations were last reviewed and reaffirmed in February 2017. On February 7, 2017, S&P Global Ratings rated the university's most recent lease-purchase obligations and reaffirmed its long-term rating and underlying rating on all student fee bonds, consolidated revenue bonds, certificates of participation, and lease-purchase obligations issued by the university as 'AAA'

with a stable outlook. On February 7, 2017, Moody's Investors Service rated the university's most recent lease-purchase obligations and reaffirmed its underlying rating on all student fee bonds, consolidated revenue bonds, lease-purchase obligations, and certificates of participation as 'Aaa' with a stable outlook.

The following table and chart represent the composition of total liabilities as of June 30, 2017:

Total Liabilities		
<i>(in thousands of dollars)</i>		
Accounts payable and accrued liabilities	\$ 223,629	13.5%
Unearned revenue	105,776	6.4%
Capital debt	1,085,679	65.5%
Net pension liability	95,689	5.8%
Other liabilities	146,283	8.8%
Total liabilities	\$ 1,657,056	100.0%



DEFERRED INFLOWS OF RESOURCES

Deferred inflows of resources represent the acquisition of resources applicable to a future reporting period, but do not require a further exchange of goods or services. Deferred inflows represent an acquisition of net position applicable to a future reporting period and so will not be recognized as revenue until then. The amounts recorded are related to the net pension liability.

NET POSITION

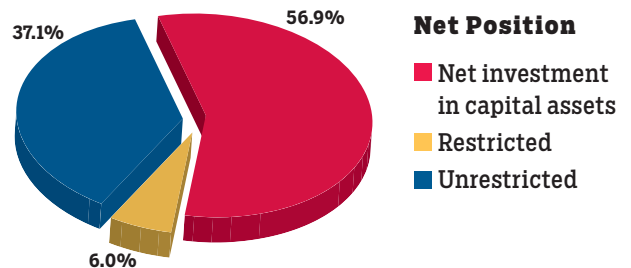
Net position is the residual of all other elements presented in the Statement of Net Position. Net position is classified into three major categories:

- Net investment in capital assets consists of the university's investment in capital assets, such as equipment, buildings, land, infrastructure, and improvements, net of accumulated depreciation and related debt.
- Restricted net position consists of amounts subject to externally imposed restrictions governing usage and is divided into two sub-categories:
 - Restricted non-expendable funds are subject to externally imposed stipulations that they be retained in perpetuity. These balances represent the corpus (historical value) of the university's permanent endowment funds.
 - Restricted expendable funds are available for expenditure by the university, but must be spent according to restrictions imposed by third parties.
- Unrestricted net position includes amounts institutionally designated or committed to support specific purposes.



The following table and chart represent the composition of net position as of June 30, 2017:

Total Net Position		
<i>(in thousands of dollars)</i>		
Net investment		
in capital assets	\$ 2,200,168	56.9%
Restricted	230,713	6.0%
Unrestricted	1,434,658	37.1%
Total net position	\$ 3,865,539	100.0%



The university's net investment in capital assets reflects the institutional capital investment in sustaining and enhancing the university's mission and strategic plans. The net investment in capital assets increased \$151,942,000, or 7%, and \$124,195,000, or 6%, in 2017 and 2016, respectively. Growth in this area is managed according to the university's long-range capital plans, along with operating units' needs to support programs and operating needs.

Restricted net position decreased \$15,361,000, or 6%, and \$52,589,000, or 18%, in 2017 and 2016, respectively. Variances in both years are largely due to fluctuations in spending of bond proceeds.

Unrestricted net position is subject to internal designations and commitments for academic and research initiatives, capital projects, and unrestricted quasi- and term endowment spending plans. Unrestricted net position increased \$17,673,000, or 1%, and \$34,050,000, or 2%, in 2017 and 2016, respectively. Unrestricted net position represents resources available for ongoing operational needs and for funding ongoing obligations, as well as providing flexibility to support the university's mission in changing economic environments.

Total net position increased \$154,254,000, or 4%, and \$105,656,000, or 3%, in 2017 and 2016, respectively. Net position at June 30, 2017, was \$3,865,539,000.

**STATEMENT OF REVENUES, EXPENSES,
AND CHANGES IN NET POSITION**

Revenues and expenses are classified as either operating or nonoperating, in accordance with GASB principles for classification into these categories. Trends in the relationship between operating revenues and expenses are important indicators of financial condition. Generally, operating revenues are received for providing goods and services and include tuition and fees, grants and contracts, sales and services, and auxiliary revenue. Scholarship allowances are recorded as offsets to gross tuition and fees and auxiliary revenue. Student financial

aid in excess of amounts owed for tuition, fees, and housing are recorded as expenses. Nonoperating revenues include state appropriations, revenue from certain grants and contracts, gifts, and investment income. Operating expenses are those incurred to carry out the normal operations of the university. As a public university, Indiana University is required by GASB standards to report certain revenue sources that are an integral part of operations as nonoperating revenues.

A summarized comparison of the university's revenues, expenses, and changes in net position is presented below:

Condensed Statement of Revenues, Expenses, and Changes in Net Position

(in thousands of dollars)

	<i>Fiscal Year Ended</i>		
	<i>June 30, 2017</i>	<i>June 30, 2016</i>	<i>June 30, 2015</i>
Operating revenues	\$ 2,316,022	\$ 2,256,204	\$ 2,207,604
Operating expenses	(3,063,303)	(2,941,624)	(2,863,815)
Total operating loss	(747,281)	(685,420)	(656,211)
Nonoperating revenues	876,561	794,928	781,232
Nonoperating expenses	(33,308)	(31,668)	(34,520)
Income before other revenues, expenses, gains, or losses	95,972	77,840	90,501
Other revenues	58,282	27,816	47,904
Increase in net position	154,254	105,656	138,405
Net position, beginning of year	3,711,285	3,605,629	3,591,188
Adjustment per change in accounting principle	-	-	(123,964)
Net position, beginning of year, as restated	-	-	3,467,224
Net position, end of year	\$ 3,865,539	\$ 3,711,285	\$ 3,605,629

The following charts represent revenues by major source for fiscal years 2017 and 2016:





School of Public and Environmental Affairs, Bloomington

Operating revenues increased \$59,818,000, or 3%, and \$48,600,000, or 2%, during 2017 and 2016, respectively. The university supports its operations with diverse revenue sources, of which the largest single source is student tuition and fees. Tuition and fees, net of scholarship allowances, increased \$24,978,000, or 2%, and \$36,857,000, or 3%, during 2017 and 2016, respectively and represents 36% of total revenue in 2017. Tuition and fee revenue is effected by a combination of changes in tuition rates, enrollment, and the mix of student levels and residency. The university's *Bicentennial Strategic Plan* articulates a commitment to access and affordability for students. Representative of this commitment, undergraduate tuition and fee rate increases in 2017 and 2016 were the lowest in more than 35 years and ranged from a tuition freeze for Indiana residents on the Bloomington campus to 1.65% for residents on the IUPUI campus. Regional campus undergraduate tuition and fee rate increases increased an average of 1.65%. The university receives revenue for sponsored programs from various government and nongovernmental agencies, a significant portion of which is related to federal research. Federal operating grant and contract revenue increased \$21,833,000, or 7%, and \$4,375,000, or 1%, in 2017 and 2016, respectively. Total operating grant and contract revenues from all sources remained rela-

tively flat in 2017, at less than a 1% increase, and increased 4% in 2016.

Operating expenses increased \$121,679,000, or 4%, and \$77,809,000, or 3%, in 2017 and 2016, respectively. Compensation and benefits, at 66% of total operating expenses, represent the largest single university expense. The university's strategic plan makes a clear statement of commitment to "recruit and retain an outstanding, diverse and inclusive faculty from researchers, scholars, teachers, and creative artists worldwide who are recognized as among the very best in their fields." Compensation and benefits expense increased \$60,571,000, or 3%, and \$71,847,000, or 4%, in 2017 and 2016, respectively. University benefit plans play an important role in attracting and retaining employees and the university has implemented initiatives in recent years to control costs without compromising the competitiveness of the benefit package. The university's High Deductible Health Plan (HDHP) lowers employer premiums while providing employees with greater control over healthcare spending. Approximately 95% of employees were enrolled in a HDHP in 2017. While overall health care costs have increased, the university's cost per employee is at or below market benchmarks. The combination of student financial aid expense and scholarship allowances increased \$21,673,000, or 6%, and totaled \$415,787,000 in 2017. The

2017 increase of \$4,971,000 in travel expenses is primarily attributable to the auxiliary enterprises, research, and instruction functions. Energy and utilities expense increased \$2,656,000, or 4%, in 2017. A combination of rate increases, a warmer cooling season, and new buildings contributed to overall increased utility costs, while the university continued to benefit from energy efficiency measures and strategies to stabilize rate fluctuations. During 2016, energy and utilities expense decreased \$4,619,000, or 6%. Factors contributing to the decline in 2016 included favorable natural gas pricing, as well as electricity savings attributed to increased energy efficiency in central chilled water management, as well as a reduction in electric loads with conversions to LED lighting.

Nonoperating revenues, net of interest expense, increased \$79,993,000, or 10%, and \$16,548,000, or 2%, in 2017 and 2016, respectively. State operating appropriations are comprised of appropriations to support the primary general educational mission of the university and student fee replacement appropriations for the purpose of reimbursing a portion of the university's debt service for certain academic facilities. The state of Indiana appropriates operating funds to the state's colleges and universities on a performance-based funding model focused on key student success measures. Non-capital state appropriations totaled \$558,111,000 in 2017, and is the university's second largest revenue source, after tuition and fees. Investment income increased \$49,858,000, or 153%, in 2017, largely driven by a combination of realized and unrealized gains. Investment income increased \$8,849,000, or 37%,

to \$32,543,000 in 2016, primarily due to unrealized gains compared to unrealized losses in 2015. Unrealized gains in 2016 were partially offset by realized losses. Gift revenue increased \$24,389,000, or 22%, as various academic departments leveraged IU Foundation endowments to support scholarships and fellowships.

The university recognized \$54,256,000 and \$27,814,000 in 2017 and 2016, respectively, in capital appropriations and capital gifts and grants for repairs, renovations, and improvements across all campuses. Revenue recognized as capital appropriations and capital gifts and grants fluctuates as funding is brought in to the university according to the needs of the schools and campuses.

STATEMENT OF CASH FLOWS

The Statement of Cash Flows provides information about the university's financial results by reporting the major sources and uses of cash during the fiscal year. The statement assists in evaluating the university's ability to generate future net cash flows to meet its obligations as they become due and aids in analysis of the need for external financing. The statement is divided into four sections based on major activity: operating, noncapital financing, capital and related financing, and investing. A fifth section reconciles the operating income or loss on the Statement of Revenues, Expenses, and Changes in Net Position to the net cash used in operations.

A summarized comparison of the university's changes in cash and cash equivalents is presented below:

Comparative Statement of Cash Flows			
<i>(in thousands of dollars)</i>			
	<i>Fiscal Year Ended</i>		
	<i>June 30, 2017</i>	<i>June 30, 2016</i>	<i>June 30, 2015</i>
Net cash provided (used) by:			
Operating activities	\$ (651,135)	\$ (518,997)	\$ (533,968)
Noncapital financing activities	795,174	770,852	748,874
Capital and related financing activities	(247,955)	(371,354)	(115,494)
Investing activities	52,653	117,200	(119,267)
Net increase (decrease) in cash and cash equivalents	(51,263)	(2,299)	(19,855)
Beginning cash and cash equivalents	278,722	281,021	300,876
Ending cash and cash equivalents	\$ 227,459	\$ 278,722	\$ 281,021

The university's cash and cash equivalents decreased \$51,263,000 and \$2,299,000 in 2017 and 2016, respectively. Net cash flows from operating activities consists primarily of student fees, grants and contracts, and auxiliary enterprise receipts. Payments to employees represent the largest use of cash for operations. Significant sources of cash provided by noncapital financing activities, as defined by GASB, including state appropriations, federal Pell grants, and private noncapital gifts, are used to fund operating activities. Fluctuations in capital and related financing activities reflect decisions made relative to the university's capital and financing plans. Cash flows from investing activities include the effects of shifts between cash equivalents and longer-term investments.

In 2017, the university reclassified certain cash and cash equivalents as short-term investments. This retrospective reclassification reflects cash and cash equivalents at original maturities of 90 days or less in order to better align our reporting with the characteristics of short-term highly liquid investments.

ECONOMIC OUTLOOK

After experiencing a year-over-year revenue decline of 0.5% in 2016, 2017 forecasted state revenues rebounded and were \$106,800,000, or 0.7%, above

forecast and \$454,300,000, or 3.1%, above 2016 collections. Sales tax collections, the largest single state tax revenue source, grew at a relatively strong rate of 3.7% over 2016, while individual income tax collections grew at a strong rate of 4.2% over 2016. Rounding out the state's "Big 3" tax revenues, corporate income tax collections declined by 0.5% from 2016, but exceeded forecast. It is important to note that state tax revenues in 2017 were impacted modestly by individual and business income tax cuts enacted by the General Assembly in recent years. These tax cuts are being phased-in over several years and considering even their modest impact, supports the conclusion that 2017 was a good tax collection year for the state. Despite some drawdown of state reserves, primarily for one-time spending on streets and roads, the state's overall fiscal standing remains strong with total reserve balances totaling \$1,777,100,000 at June 30, 2017, or 11.5%, of state operating revenues.

For 2018, total state revenues were forecast in April 2017 to increase by \$420,900,000, or 2.8%, over 2017 revenues. However, because actual revenue collections in 2017 were above forecast, revenue growth of \$314,100,000, or 2.1%, is required to achieve the 2018 revenue forecast level. Barring unexpected economic events, this forecast level should be achievable.

Move-In Day at Memorial Hall, Bloomington



Indiana's unemployment rate was 4.5% at the beginning of fiscal year 2017 in July 2016 and ended the fiscal year in June 2017 at a preliminary rate of 3.0%. Indiana's rate compared favorably to the national unemployment rate of 4.4% in June 2017. In conclusion, both Indiana and the national economies are expanding, albeit in an environment in which much economic uncertainty exists.

Statement of Net Position

<i>(in thousands of dollars)</i>	<i>June 30, 2017</i>	<i>June 30, 2016</i>
ASSETS		
Current assets		
Cash and cash equivalents	\$ 227,459	\$ 278,722
Accounts receivable, net	149,186	126,586
Current portion of notes and pledges receivable	14,703	15,091
Inventories	9,675	8,980
Short-term investments	201,907	202,672
Other assets	46,975	49,164
Total current assets	649,905	681,215
Noncurrent assets		
Notes and pledges receivable	58,473	58,329
Investments	1,618,933	1,587,596
Capital assets, net	3,147,159	2,984,285
Total noncurrent assets	4,824,565	4,630,210
Total assets	5,474,470	5,311,425
Deferred outflows of resources	86,345	67,186
LIABILITIES		
Current liabilities		
Accounts payable and accrued liabilities	223,629	257,253
Unearned revenue	82,009	83,440
Current portion of capital lease obligations	1,286	1,044
Current portion of long-term debt	81,333	74,889
Total current liabilities	388,257	416,626
Noncurrent liabilities		
Capital lease obligations	2,217	2,373
Notes payable	188,020	111,310
Assets held in custody for others	78,807	79,705
Unearned revenue	23,767	28,591
Bonds payable	812,823	837,708
Other long-term liabilities	67,476	72,991
Net pension liability	95,689	98,279
Total noncurrent liabilities	1,268,799	1,230,957
Total liabilities	1,657,056	1,647,583
Deferred inflows of resources	38,220	19,743
NET POSITION		
Net investment in capital assets	2,200,168	2,048,226
Restricted for:		
Nonexpendable - endowments	59,075	54,406
Expendable		
Scholarships, research, instruction, and other	120,751	123,899
Loans	18,720	19,396
Capital projects	15,226	27,037
Debt service	16,941	21,336
Unrestricted	1,434,658	1,416,985
Total net position	\$ 3,865,539	\$ 3,711,285

The accompanying notes to the financial statements are an integral part of this statement.

Indiana University Foundation

**Statements of Financial Position
June 30, 2017 and 2016
(In thousands)**

	2017	2016
Assets		
Cash and cash equivalents	\$ 98,367	\$ 65,214
Collateral under securities lending agreement	98,059	99,083
Receivables and other assets	22,438	21,978
Due from brokers	60,381	74,628
Promises to give, net	176,233	196,358
Investments	2,347,969	2,099,995
Property, plant, and equipment, net	57,932	43,900
Total assets	\$ 2,861,379	\$ 2,601,156
Liabilities and Net Assets		
Liabilities:		
Accounts payable and other	\$ 7,755	\$ 8,957
Due to brokers	87,751	86,577
Collateral under securities lending agreement	98,059	99,083
Split interest agreement obligations	34,766	33,172
Assets held for the University	230,266	209,925
Assets held for University affiliates	39,937	38,194
Total liabilities	498,534	475,908
Net assets:		
Unrestricted	65,679	50,762
Temporarily restricted	890,671	831,736
Permanently restricted	1,406,495	1,242,750
Total net assets	2,362,845	2,125,248
Total liabilities and net assets	\$ 2,861,379	\$ 2,601,156

See notes to financial statement

Statement of Revenues, Expenses, and Changes in Net Position

<i>(in thousands of dollars)</i>	<i>Fiscal Year Ended</i>	
	<i>June 30, 2017</i>	<i>June 30, 2016</i>
OPERATING REVENUES		
Student fees	\$ 1,452,395	\$ 1,402,098
Less scholarship allowance	(271,601)	(246,282)
Federal grants and contracts	320,054	298,221
State and local grants and contracts	19,088	24,437
Nongovernmental grants and contracts	130,447	145,893
Sales and services of educational units	39,422	41,358
Other revenue	274,003	266,032
Auxiliary enterprises (net of scholarship allowance of \$35,689 in 2017 and \$32,023 in 2016)	352,214	324,447
Total operating revenues	2,316,022	2,256,204
OPERATING EXPENSES		
Compensation and benefits	2,009,667	1,949,096
Student financial aid	144,186	147,832
Energy and utilities	76,121	73,465
Travel	59,967	54,996
Supplies and general expense	617,809	565,528
Depreciation and amortization expense	155,553	150,707
Total operating expenses	3,063,303	2,941,624
Total operating loss	(747,281)	(685,420)
NONOPERATING REVENUES (EXPENSES)		
State appropriations	558,111	545,330
Grants and contracts	99,581	104,976
Investment income	82,401	32,543
Gifts	136,468	112,079
Interest expense	(33,308)	(31,668)
Net nonoperating revenues	843,253	763,260
Income before other revenues, expenses, gains, or losses	95,972	77,840
Capital appropriations	31,083	14,844
Capital gifts and grants	23,173	12,970
Additions to permanent endowments	4,026	2
Total other revenues	58,282	27,816
Increase in net position	154,254	105,656
Net position, beginning of year	3,711,285	3,605,629
Net position, end of year	\$ 3,865,539	\$ 3,711,285

The accompanying notes to the financial statements are an integral part of this statement.

Indiana University Foundation

**Statement of Activities
Year Ended June 30, 2017
(In thousands)**

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Support and other revenue:				
Contributions	\$ 2,139	\$ 109,081	\$ 80,892	\$ 192,112
Investment income, net	17,748	123,098	85,071	225,917
Management/administrative fees	19,866	(16,691)	(30)	3,145
Grants	-	956	-	956
Other income	10,920	2,999	691	14,610
Development service fees from the University	4,730	-	-	4,730
Change in value of split interest agreements	88	720	3,322	4,130
Net assets released from restrictions	167,429	(161,228)	(6,201)	-
Total support and other revenue	222,920	58,935	163,745	445,600
Expenses:				
Grants and aid to the University	167,574	-	-	167,574
Management and general	19,428	-	-	19,428
Fundraising	21,001	-	-	21,001
Total expenses	208,003	-	-	208,003
Change in net assets	14,917	58,935	163,745	237,597
Net assets, beginning of year	50,762	831,736	1,242,750	2,125,248
Net assets, end of year	<u>\$ 65,679</u>	<u>\$ 890,671</u>	<u>\$ 1,406,495</u>	<u>\$ 2,362,845</u>

See notes to financial statements.

Statement of Cash Flows

(in thousands of dollars)

Fiscal Year Ended

June 30, 2017

June 30, 2016

	June 30, 2017	June 30, 2016
CASH FLOWS FROM OPERATING ACTIVITIES		
Student fees	\$ 1,175,160	\$ 1,160,481
Grants and contracts	459,837	449,814
Sales and services of educational activities	39,522	42,670
Auxiliary enterprise charges	350,424	326,954
Other operating receipts	270,950	264,015
Payments to employees	(2,027,110)	(1,945,497)
Payments to suppliers	(776,351)	(671,415)
Student financial aid	(145,056)	(147,475)
Student loans collected	12,266	11,716
Student loans issued	(10,777)	(10,260)
Net cash used in operating activities	(651,135)	(518,997)
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES		
State appropriations	554,752	554,930
Nonoperating grants and contracts	99,581	104,976
Gifts and grants received for other than capital purposes	140,896	111,897
Direct lending receipts	518,823	532,963
Direct lending payments	(518,878)	(533,914)
Net cash provided by noncapital financing activities	795,174	770,852
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES		
Capital appropriations	31,083	14,844
Capital grants and gifts received	21,925	6,211
Purchase of capital assets	(319,393)	(304,465)
Proceeds from issuance of capital debt, including refunding activity	134,977	30,595
Principal payments on capital debt	(65,864)	(61,987)
Principal paid on capital leases	(1,886)	(9,330)
Interest paid on capital debt and leases	(48,797)	(47,222)
Net cash used in capital and related financing activities	(247,955)	(371,354)
CASH FLOWS FROM INVESTING ACTIVITIES		
Proceeds from sales and maturities of investments	8,493,813	5,637,759
Investment income	46,970	46,348
Purchase of Investments	(8,488,130)	(5,566,907)
Net cash provided by investing activities	52,653	117,200
Net decrease in cash and cash equivalents	(51,263)	(2,299)
Cash and cash equivalents, beginning of year	278,722	281,021
Cash and cash equivalents, end of year	\$ 227,459	\$ 278,722

The accompanying notes to the financial statements are an integral part of this statement.

Statement of Cash Flows *continued*

(in thousands of dollars)

Fiscal Year Ended

June 30, 2017

June 30, 2016

**RECONCILIATION OF OPERATING LOSS TO
NET CASH USED IN OPERATING ACTIVITIES**

Operating loss	\$ (747,281)	\$ (685,420)
Adjustments to reconcile operating loss to net cash used in operating activities:		
Depreciation and amortization expense	155,553	150,707
Loss on disposal of capital assets	3,242	8,567
Changes in assets and liabilities:		
Accounts receivable	(15,438)	8,485
Inventories	(695)	578
Other assets	2,188	424
Notes receivable	244	217
Accounts payable and accrued liabilities	(37,384)	6,783
Unearned revenue	(6,255)	(12,249)
Assets held in custody for others	(898)	496
Other noncurrent liabilities	706	8,126
Net pension liability and related deferreds	(5,117)	(5,711)
Net cash used in operating activities	\$ (651,135)	\$ (518,997)

The accompanying notes to the financial statements are an integral part of this statement.

Note 1—Organization and Summary of Significant Accounting Policies

ORGANIZATION: Indiana University (the “university”) is a major public research institution with fiscal responsibility for operations on seven campuses. Core campuses are located in Bloomington and Indianapolis (“Indiana University Purdue University at Indianapolis”, or “IUPUI”), and regional campuses are located in Richmond (“IU East”), Kokomo (“IU Kokomo”), Gary (“IU Northwest”), South Bend (“IU South Bend”), and New Albany (“IU Southeast”). The financial statements include the individual schools, colleges, and departments as part of the comprehensive reporting entity. The university was established by state legislative act in 1838, changing the name of its predecessor, Indiana College, to Indiana University. The university’s governing body, the Trustees of Indiana University (the “trustees”), is comprised of nine members charged by Indiana statutes with policy and decision-making authority to carry out the programs and missions of the university. Six of the members are appointed by the Governor of Indiana, and three are elected by university alumni. The university is a state-supported institution and is classified as exempt from federal income tax under Section 501(a) of the Internal Revenue Code, as an organization described in Section 501(c)(3), and also under Section 115(a). Certain revenues of the university may be subject to federal income tax as unrelated business income under Internal Revenue Code Sections 511 to 514.

BASIS OF PRESENTATION: The university financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America, as prescribed by the Governmental Accounting Standards Board (GASB). The university reports on a consolidated basis, with a comprehensive, entity-wide presentation of the university’s assets and deferred outflows, liabilities and deferred inflows, net position, revenues, expenses, changes in net position, and cash flows. All significant intra-university transactions are eliminated upon consolidation. The university follows all applicable GASB pronouncements.

The university reports as a special-purpose government entity engaged primarily in business-type activities, as defined by GASB. Accordingly, these financial statements have been presented using the economic resources measurement focus and the accrual basis of accounting. Business-type activities are those that are financed in whole or in part by fees charged to external parties for goods and services.

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

As a component unit of the state, the university is included as a discrete entity in the State of Indiana’s Comprehensive Annual Financial Report.

REPORTING ENTITY: The financial reporting entity consists of the primary government, organizations for which the primary government is financially accountable, and other organizations for which the nature and significance of their relationship with the primary government are such that exclusion would cause the reporting entity’s financial statements to be misleading or incomplete. GASB Statement No. 14, *The Financial Reporting Entity*, additional requirements of GASB Statement No. 39, *Determining Whether Certain Organizations Are Component Units*, as amended by GASB Statement No. 61, *The Financial Reporting Entity: Omnibus*, provide criteria for determining whether certain organizations should be reported as component units based on the nature and significance of their relationship with a primary government and classifies reporting requirements for these organizations. Based on these criteria, the financial report includes the university and its blended and discretely presented component units.

DISCRETELY PRESENTED COMPONENT UNIT: The Indiana University Foundation, Inc. (IU Foundation) is organized as a not-for-profit corporation under the laws of the State of Indiana for the exclusive purpose of supporting the university by receiving, holding, investing, and administering property and making expenditures to or for the

benefit of the university. The IU Foundation is considered a component unit of the university which requires discrete presentation. Accordingly, the IU Foundation's audited financial statements are presented in their original formats on separate pages.

The IU Foundation is a not-for-profit organization that reports under FASB standards, including FASB Statement Accounting Standards Codification (ASC) Topic 958, *Not-for-Profit Entities*. As such, certain revenue recognition criteria and presentation features differ from GASB revenue recognition criteria and presentation features. No modifications have been made to the IU Foundation's financial information in the university's financial reporting to adjust for these differences. The IU Foundation distributed \$162,974,000 and \$136,856,000 to the university during fiscal years 2017 and 2016, respectively. Complete financial statements for the IU Foundation can be obtained from: Indiana University Foundation, Attn: Controller, PO Box 500, Bloomington, IN 47402.

BLENDED COMPONENT UNIT: In September 2008, the trustees directed, by resolution, that the Indiana University Building Corporation (IUBC) be formed to serve specific purposes on behalf of the university and designated that certain university administrative officers, by virtue of their titles, serve as directors and officers of IUBC. The sole purpose of IUBC is to assist the university in the financing and development of university facilities by owning and leasing such facilities to the university on a lease-purchase basis.

CASH AND CASH EQUIVALENTS: Cash and cash equivalents include all highly liquid investments with original maturities of 90 days or less that bear little or no market risk. Restricted cash and cash equivalents includes unspent bond proceeds restricted for capital expenditures.

INVESTMENTS: Investments are carried at fair value, as quoted by the major securities markets. Realized and unrealized gains and losses are reported as a component of investment income in the Statement of Revenues, Expenses, and Changes in Net Position.

ACCOUNTS RECEIVABLE: Accounts receivable consist primarily of amounts due from students, grants and contracts, and auxiliary enterprises and are recorded net of estimated uncollectible amounts.

NOTES RECEIVABLE: Notes receivable consists primarily of student loan repayments due to the university.

CAPITAL ASSETS: Capital assets are recorded at cost at the date of acquisition or fair market value at the date of contribution in the case of gifts. The university capitalizes equipment with a cost of \$5,000 or more and a useful life in excess of one year. Capital assets also include land improvements and infrastructure costing in excess of \$75,000. Buildings and building renovations that increase the useful life of the building, costing at least the lesser of \$75,000 or twenty percent of the acquisition cost of the existing building, are capitalized. Intangible assets with a cost of \$500,000 or more are subject to capitalization. Art and museum objects purchased by or donated to the university are capitalized if the value is \$5,000 or greater. Depreciation expense is computed using the straight-line method over the estimated useful lives of the respective assets, generally five to twenty years for equipment, ten years for library books, ten to forty years for infrastructure and land improvements, and fifteen to forty years for buildings and building components. Useful lives for capital assets are established using a combination of the American Hospital Association guidelines, Internal Revenue Service guidelines, and documented university experience. Land and capitalized art and museum collections are not depreciated.

DEFERRED OUTFLOWS OF RESOURCES: In addition to assets, the Statement of Net Position reports a separate section for deferred outflows of resources. This separate financial statement element, deferred outflows of resources, represents a consumption of net position that applies to a future period and so will not be recognized as an outflow of resources (expense/expenditure) until then. Deferred outflows of resources represent the consumption of resources that are applicable to a future reporting period, but do not require a further exchange of goods or services. The university's total

deferred outflows of resources were \$86,345,000 and \$67,186,000 as of fiscal years 2017 and 2016, respectively. The portion of deferred outflows of resources related to the accumulated deferred charges on refundings of capital debt was \$22,048,000 and \$23,893,000 in fiscal years 2017 and 2016, respectively. The portion of deferred outflows of resources related to the university's net pension liability under GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*, (GASB 68) was \$64,297,000 and \$43,293,000 in fiscal years 2017 and 2016, respectively.

UNEARNED REVENUE: Unearned revenue is recorded for current cash receipts of student tuition and fees and certain auxiliary goods and services, which will be recorded as revenue in future periods. Also included are amounts received from contract and grant sponsors that have not yet been earned.

COMPENSATED ABSENCES: Liabilities for compensated absences are recorded for vacation leave based on actual earned amounts for eligible employees who qualify for termination payments. Liabilities for sick leave are recorded for employees who are eligible for and have earned termination payments for accumulated sick days upon termination or retirement.

DEFERRED INFLOWS OF RESOURCES: In addition to liabilities, the Statement of Net Position reports a separate section for deferred inflows of resources. This separate financial statement element, deferred inflows of resources, represents an acquisition of net position that applies to a future period and so will not be recognized as an inflow of resources (revenue) until that time. Deferred inflows of resources represent the acquisition of resources that are applicable to a future reporting period, but do not require a further exchange of goods or services. Deferred inflows of resources related to the university's net pension liability under GASB 68 were \$38,220,000 and \$19,743,000 in fiscal years 2017 and 2016, respectively.

NET POSITION: The university's net position is classified for financial reporting in the following categories:

- *Net investment in capital assets:* This component of net position includes capital assets, net of accumulated depreciation and outstanding principal debt balances related to the acquisition, construction, or improvement of those assets.
- *Restricted—nonexpendable:* Assets included in the nonexpendable restricted net position category are subject to externally imposed stipulations that the principal is to be maintained in perpetuity and invested for the purpose of producing present and future income, which may be either expended or added to principal. Such assets include permanent endowment funds.
- *Restricted—expendable:* Resources classified as restricted and expendable are those for which the university is legally obligated to spend in accordance with externally imposed stipulations, or those stipulations that expire with the passage of time.
- *Unrestricted:* Unrestricted resources are not subject to externally imposed restrictions and are primarily used for meeting expenses for academic and general operations of the university.

When an expense is incurred for which both restricted and unrestricted resources are available, the university's policy is to apply the most appropriate fund source based on the relevant facts and circumstances.

REVENUES AND EXPENSES: University revenues and expenses are classified as either operating or nonoperating as follows:

- *Operating revenues:* Operating revenues result from exchange transactions, such as student tuition and fees (net of scholarship discounts and allowances), government and other grants and contracts, and sales and services of auxiliary enterprises (net of scholarship discounts and allowances).
- *Operating expenses:* Operating expenses are incurred to support exchange transactions resulting in operating revenue. Examples include compensation and benefits, student financial aid, and supplies and general expense.

- *Non-operating revenues and expenses:* Non-operating revenues and expenses include those derived from non-exchange transactions such as gifts, certain federal and state grants, and interest expense. Non-operating revenues include significant revenue sources that are relied upon for operations, such as state appropriations, federal Pell grants and investment income.

SCHOLARSHIP DISCOUNTS AND

ALLOWANCES: Student tuition and fees and other student revenues are reported gross with the related scholarship discounts and allowances directly below in the Statement of Revenues, Expenses, and Changes in Net Position. Scholarship discounts and allowances are calculated as the difference between the stated charges for goods and services provided by the university and the amounts paid by students and/or third parties making payments on behalf of students.

RECLASSIFICATIONS: Certain reclassifications have been made to prior year statements and certain notes for comparative purposes and do not constitute a restatement of prior periods.

In 2017, the university reclassified certain cash and cash equivalents as short-term investments. This retrospective reclassification reflects cash and cash equivalents at original maturities of 90 days or less in order to better align our reporting with the characteristics of short-term highly liquid investments. This reclassification had no corresponding effect on either the university's net position or total current assets.

The effect of the reclassification from cash and cash equivalents to short-term investments in fiscal years 2016, 2015, and 2014 is shown below:

(dollar amounts presented in thousands)

	<i>Fiscal Year</i>		
	<i>2016</i>	<i>2015</i>	<i>2014</i>
Cash and cash equivalents, as reclassified	\$ 278,722	\$ 281,021	\$ 300,876
Cash and cash equivalents, as originally stated	345,207	391,568	313,954
Reclassification of cash and cash equivalents	\$ (66,485)	\$ (110,547)	\$ (13,078)

(dollar amounts presented in thousands)

	<i>Fiscal Year</i>		
	<i>2016</i>	<i>2015</i>	<i>2014</i>
Short-term investments, as reclassified	\$ 202,672	\$ 241,536	\$ 71,798
Short-term investments, as originally stated	136,187	130,989	58,720
Reclassification of short-term investments	\$ 66,485	\$ 110,547	\$ 13,078

Note 2—Deposits and Investments

CUSTODIAL CREDIT RISK – DEPOSITS: The combined bank balances of the university’s demand deposits were \$15,526,000 and \$95,351,000 with balances subject to custodial credit risk in the amount of \$4,083,000 and \$35,167,000 at June 30, 2017 and 2016, respectively. Of this amount, \$2,613,000 and \$736,000 was uninsured and uncollateralized and \$1,470,000 and \$34,431,000 was uninsured and collateralized with securities held by the pledging financial institution at June 30, 2017 and 2016, respectively. The custodial credit risk for deposits is the risk that, in the event of the failure of a depository financial institution, a government will not be able to recover deposits or will not be able to recover collateral securities that are in the possession of an outside party. The university does not have a formal deposit policy for custodial credit risk, however the university monitors the credit rating and certain financial performance metrics of its custodial and commercial banks on a quarterly basis.

DEPOSITS AND INVESTMENTS: The trustees have acknowledged responsibility as a fiduciary body for the invested assets of the university. Indiana Code 30-4-3-3 requires the trustees to “exercise the judgment and care required by Indiana Code 30-4-3.5”, the *Indiana Uniform Prudent Investor Act*. That act requires the trustees to act “as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the trust. In satisfying this standard, the trustee shall exercise reasonable care, skill, and caution.” The trustees have the responsibility to assure the assets are prudently invested in a manner consistent with the university’s investment policy. The trustees have delegated the day-to-day responsibilities for overseeing the investment program to the Office of the Treasurer.

At June 30, 2017 and 2016, the university had deposits and investments, including endowment funds, as shown below:

(dollar amounts presented in thousands)

	<i>June 30, 2017</i>	<i>June 30, 2016</i>
Cash and cash equivalents	\$ 227,459	\$ 278,722
Short-term investments	201,907	202,672
Investments	1,618,933	1,587,596
Total	\$ 2,048,299	\$ 2,068,990

Certain reclassifications have been made to the prior year for comparative purposes and do not constitute a restatement of prior periods.

CUSTODIAL CREDIT RISK – INVESTMENTS: The custodial credit risk for investments is the risk that, in the event of the failure of the counterparty to a transaction, a government will not be able to recover the value of investment or collateral securities that are in the possession of an outside party. The university manages custodial credit risk through the types of investments that are allowed by investment policy. The university also monitors the credit rating and certain financial performance metrics of its custodial and commercial banks. The university had \$4,190,000 and \$1,606,000 exposed to custodial credit risk at June 30, 2017 and 2016, respectively. The university had \$36,473,000 and \$14,483,000 where custodial credit risk could not be determined at June 30, 2017 and 2016, respectively. The remainder of the university’s investments is not exposed to custodial credit risk and reflects either investment securities registered in the name of the university, investment securities loaned for collateral received, or other types of investments not exposed to custodial credit risk.

INTEREST RATE RISK: Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of a deposit or investment. The university’s policy for controlling its exposure to fair value losses arising from increasing interest rates is to constrain average portfolio duration within ranges of a target portfolio duration set for each portfolio of operating fund investments. The portfolios may seek to enhance returns by attempting to time movements of interest rates within the allowable ranges.

The university had deposits and investments with the following maturities at June 30, 2017:

(dollar amounts presented in thousands)

Deposit and Investment Type	Fair Value June 30, 2017	Maturities (in years)			
		Less than 1	1-5	6-10	More than 10
<i>Deposits and investments with maturity date</i>					
Corporate bonds	\$ 631,855	\$ 247,563	\$ 288,368	\$ 56,332	\$ 39,592
Asset-backed securities	285,155	2,502	134,692	32,945	115,016
Government bonds	401,174	58,546	188,531	88,951	65,146
Government issued asset-backed securities	107,788	886	29,420	12,684	64,798
Fixed income funds	22,375	22,375	—	—	—
Other fixed income	24,693	13,632	5,616	4,602	843
Total deposits and investments with maturity date	1,473,040	345,504	646,627	195,514	285,395
<i>Deposits and investments with undetermined maturity date</i>					
External investment pools	238,758	238,758	—	—	—
Money market funds	294,586	294,586	—	—	—
Government issued asset-backed securities	34,157	34,157	—	—	—
All other	7,758	7,758	—	—	—
Total deposits and investments with undetermined maturity date	575,259	575,259	—	—	—
Total	\$ 2,048,299	\$ 920,763	\$ 646,627	\$ 195,514	\$ 285,395

North Hall, Indianapolis



The university had deposits and investments with the following maturities at June 30, 2016:

(dollar amounts presented in thousands)

Deposit and Investment Type	Fair Value June 30, 2016	Maturities (in years)			
		Less than 1	1-5	6-10	More than 10
<i>Deposits and investments with maturity date</i>					
Corporate bonds	\$ 674,102	\$ 217,171	\$ 315,177	\$ 84,828	\$ 56,926
Asset-backed securities	368,437	5,276	127,112	48,511	187,538
Government bonds	320,090	15,966	151,644	84,824	67,656
Government issued asset-backed securities	87,275	75	11,718	16,354	59,128
Money market funds	67,957	67,957	—	—	—
Fixed income funds	28,626	28,626	—	—	—
Other fixed income	34,436	4,909	26,006	680	2,841
Total deposits and investments with maturity date	1,580,923	339,980	631,657	235,197	374,089
<i>Deposits and investments with undetermined maturity date</i>					
External investment pools	218,309	218,309	—	—	—
Money market funds	158,199	158,199	—	—	—
Government issued asset-backed securities	27,607	27,607	—	—	—
All other	83,952	83,952	—	—	—
Total deposits and investments with undetermined maturity date	488,067	488,067	—	—	—
Total	\$ 2,068,990	\$ 828,047	\$ 631,657	\$ 235,197	\$ 374,089

CREDIT RISK: Credit risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations. The weighted average credit quality of each portfolio of university operating funds investments must be at least 'AA-/Aa3' for Defensive Managers, 'A/A2' for Core Plus Managers, or as specified in each manager's guidelines.

At June 30, 2017 and 2016, university deposits and investments had debt securities with associated credit ratings as shown below:

(dollar amounts presented in thousands)

Credit Quality Rating	Fair Value	Percentage of	Fair Value	Percentage of
	June 30, 2017	Total Pool	June 30, 2016	Total Pool
AAA	\$ 255,482	12.47%	\$ 258,108	12.48%
AA	390,781	19.08%	355,068	17.16%
A	209,707	10.24%	221,722	10.72%
BBB	209,361	10.22%	234,695	11.34%
BB	77,500	3.79%	106,563	5.15%
Below BB	250,774	12.24%	248,229	12.00%
Not rated	654,694	31.96%	644,605	31.15%
Total	\$ 2,048,299	100.00%	\$ 2,068,990	100.00%

CONCENTRATION OF CREDIT RISK: Concentration of credit risk is the risk of loss attributed to the magnitude of a government's investment in a single issuer. The university's investment policy requires that deposits and investments are to be diversified to the extent that the securities of any single issuer shall be limited to 3.5% of the market value in a particular manager's portfolio. U.S. Government and U.S. governmental agency securities are exempt from this policy requirement.

FOREIGN CURRENCY RISK: Foreign currency risk is the risk that changes in exchange rates will adversely affect the fair value of a government's deposits and investments. The university's policy for controlling exposure to foreign currency risk is to constrain deposits and investments in non-U.S. dollar denominated debt to 25% of an individual manager's portfolio or as specified in each manager's guidelines. Minimal foreign currency exposure could occur if one of the university's investment managers purchases non-U.S. dollar holdings and does not hedge the currency. At June 30, 2017 and 2016, the university had immaterial amounts of deposits and investments exposed to foreign currency risk.

ENDOWMENTS: Endowment funds are managed pursuant to an Investment Agency Agreement between the Trustees of Indiana University ("trustees") and the IU Foundation dated November 14, 2005, which delegates investment management responsibilities to the IU Foundation. Indiana Code 30-2-12, *Uniform Management of Institutional Funds*, sets forth the provisions governing the investment of endowment assets and the expenditure of endowment fund appreciation. The code requires that the trustees and their agents act in good faith and with the care a prudent person acting in a like position would use under similar circumstances, with respect to the investment of endowment assets. The code also sets forth provisions governing the expenditure of endowment fund appreciation, under which the trustees may authorize expenditure, consistent with donor intent. The trustees may, at their discretion, direct all or a portion of the university's endowment funds to other deposits or investments, exclusive of the IU Foundation's investment funds. The spending policy of the trustees is to distribute 4.5% of the

twelve quarter rolling average of pooled fund values. Funds held by endowments managed by the IU Foundation are used to acquire pooled shares. The amounts of net appreciation on investments of donor-restricted endowments that are available for expenditure are \$34,898,000 and \$27,742,000 as of June 30, 2017 and 2016, respectively. These amounts are reported as expendable restricted for scholarships, research, instruction, and other in net position.

Endowment funds have a perpetual investment horizon and, as appropriate, may be invested in asset classes better suited to IU Foundation's longer time horizon, including but not limited to: stocks, bonds, real estate, private placements, and alternative investments. Endowment assets may be invested in pooled funds, direct investments, or a combination of the two. Assets will typically be diversified among high quality stocks and bonds. Additional asset classes such as absolute return, private equity, and real asset investments, may be included when it is reasonable to expect these investments will either increase return, reduce risk, or both. Participation in the pooled investments is achieved by owning units of the Pooled Long-Term Fund and considered an external investment pool to the university. At June 30, 2017, all endowments held with the IU Foundation were invested in pooled funds. The Pooled Long-Term portfolio is diversified based on manager selection, investment style, and asset type to avoid any disproportionate risk related to any one industry or security.

POOLED SHORT TERM FUND (PSTF): Spending policy distributions from the Endowment funds are held in the PSTF until utilized by the university. The IU Foundation's PSTF Investment Policy Statement governs the deposit and investment of PSTF assets. Objectives of the PSTF include providing for the preservation of capital for account holders and maintenance of adequate liquidity to meet spending requirements.

The PSTF deposits and investments are managed to address appropriate diversification, specifically to mitigate interest rate risk and protect the fund against a concentration of credit risk. The IU Foun-

uation's PSTF policy limits commercial paper, Certificates of Deposit, Bankers' Acceptances, and Repurchase Agreements to \$10,000,000 per issuer with the exception of U.S. Treasuries and Agencies, or accounts collateralized by Treasuries or Agencies. In addition, individual funds or managers such as money market funds and short-term bond funds, are not to exceed \$50,000,000 or 15% of the portfolio.

Note 3—Fair Value Measurements

The university categorizes its fair value measurements within the fair value hierarchy as established by GASB Statement No. 72, *Fair Value Measurement and Application*. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. Investments that are measured at fair value using the net asset value per share (or its equivalent) as a practical expedient are not classified in the fair value hierarchy. The university had the following recurring fair value measurements as of June 30, 2017:

(dollar amounts presented in thousands)

	June 30, 2017	Fair Value Measurements Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
<i>Investments by fair value level:</i>				
Debt securities				
Corporate bonds	\$ 488,325	\$ —	\$ 485,322	\$ 3,003
Collateralized obligations and mortgage-backed securities	428,007	—	426,658	1,349
Government bonds	388,494	—	388,335	159
Inflation index linked notes	44,730	—	44,730	—
Bank loans	32,844	—	32,844	—
Commingled funds	22,375	22,375	—	—
Municipal and provincial bonds	9,224	—	9,224	—
Total debt securities	1,413,999	22,375	1,387,113	4,511
External investment pool	238,758	—	—	238,758
Real estate	6,269	—	—	6,269
All other	14,202	8	14,191	3
Total investments by fair value level	1,673,228	\$ 22,383	\$ 1,401,304	\$ 249,541
<i>Investments measured at the net asset value (NAV):</i>				
Commingled bond fund	146,499			
Venture capital	1,113			
Total investments measured at the NAV	147,612			
Total investments measured at fair value	\$ 1,820,840			

The university had the following recurring fair value measurements as of June 30, 2016:

(dollar amounts presented in thousands)

	<i>Fair Value Measurements Using</i>			
	<i>Quoted Prices in Active Markets for Identical Assets June 30, 2016</i>	<i>Significant Other Observable Inputs (Level 2)</i>	<i>Significant Unobservable Inputs (Level 3)</i>	
<i>Investments by fair value level:</i>				
Debt securities				
Corporate bonds	\$ 564,265	\$ –	\$ 562,228	\$ 2,037
Collateralized obligations and mortgage-backed securities	487,166	–	481,161	6,005
Government bonds	301,138	–	298,104	3,034
Inflation index linked notes	48,321	–	48,321	–
Commingled funds	37,159	37,159	–	–
Municipal and provincial bonds	11,336	–	11,336	–
Bank loans	9,195	–	9,195	–
Total debt securities	1,458,580	37,159	1,410,345	11,076
External investment pool	218,309	–	–	218,309
Real estate	2,245	–	2,245	–
All other	6,227	204	6,023	–
Total investments by fair value level	1,685,361	\$ 37,363	\$ 1,418,613	\$ 229,385
<i>Investments measured at the net asset value (NAV):</i>				
Commingled bond fund	103,303			
Venture capital	1,604			
Total investments measured at the NAV	104,907			
Total investments measured at fair value	\$ 1,790,268			

Certain reclassifications have been made to the prior year for comparative purposes and do not constitute a restatement of prior periods.

In instances where inputs used to measure fair value fall into different levels in the above fair value hierarchy, fair value measurements in their entirety are categorized based on the lowest level input that is significant to the valuation. The university's assessment of the significance of particular inputs to these fair value measurements requires judgment and considers factors specific to each asset or liability.

Debt securities classified in level 1 at June 30, 2017 and 2016, are valued using prices quoted in active markets for those securities.

The fair value of debt securities at June 30, 2017 and 2016, was determined primarily based on level 2 inputs. The university estimates the fair value of these investments using observable market-based inputs. Observable inputs are those that market participants would use in pricing the asset based on market data obtained from independent sources such as quoted market prices, reported sales of similar securities, and reference data.

The fair value of debt securities at level 3 as of June 30, 2017 and 2016, was determined using

extrapolated data, proprietary models, indicative quotes, or similar techniques taking into account the characteristics of the asset.

The fair value of external investment pools at June 30, 2017 and 2016, was determined primarily based on level 3 inputs. A monthly valuation assigned to the shares of the pool is used to determine the fair value of the investment pools. A significant portion of the investment pool, \$229,152,000 and \$208,808,000 respectively at June 30, 2017 and 2016, was held at the IU Foundation. The fair value hierarchy of the foundation's investments can be found in Note 17, Excerpts from Indiana University Foundation Notes to Financial Statements.

The university holds several parcels of real estate for investment purposes. The fair values of these properties are based on appraisals received in June 2016. Since new appraisals were not received for this fiscal year, the level was reduced from a level 2 at June 30, 2016, to a level 3 at June 30, 2017.

The fair value of all other investments at June 30, 2017 and 2016, was determined primarily based on level 2 inputs. The university estimates the fair

value of these investments using observable market-based inputs.

The university holds shares or interests in commingled bond funds where the fair value of the investment is measured on a recurring basis using net asset value per share (or its equivalent) of the investment company as a practical expedient. The commingled bond fund's investment objective is to invest primarily in a portfolio of higher-yielding fixed income securities. There are no unfunded commitments and the investment can be redeemed with a written three-day notice.

The university holds shares or interests in a venture capital investment company where the fair value of the investment is measured on a recurring basis using net asset value per share (or its equivalent) of the investment company as a practical expedient. The company invests in venture capital firms with the objective that 60% of these are in Indiana and 60% are in the life sciences field. The unfunded commitment was \$4,000 and \$70,000 as of June 30, 2017 and 2016, respectively. This investment cannot be redeemed until the sixteenth anniversary of the first closing date, which occurs in 2019.

Note 4—Accounts Receivable

Accounts receivable consisted of the following at June 30, 2017 and 2016:

(dollar amounts presented in thousands)

	<i>June 30, 2017</i>	<i>June 30, 2016</i>
Student accounts	\$ 48,814	\$ 44,337
Auxiliary enterprises and other operating activities	72,165	59,686
Federal, state, and other grants and contracts	20,472	21,417
Capital appropriations and gifts	10,113	1,723
Other	7,712	8,940
Current accounts receivable, gross	159,276	136,103
Less allowance for uncollectible accounts	(10,090)	(9,517)
Current accounts receivable, net	\$ 149,186	\$ 126,586

Note 5—Capital Assets

Fiscal year ended June 30, 2017

(dollar amounts presented in thousands)

	<i>Balance</i>				<i>Balance</i>	
	<i>June 30, 2016</i>	<i>Additions</i>	<i>Transfers</i>	<i>Retirements</i>	<i>June 30, 2017</i>	
Assets not being depreciated:						
Land	\$ 77,409	\$ 4,581	\$ —	\$ 1,466	\$ 80,524	
Art & museum objects	89,238	4,741	—	51	93,928	
Construction in progress	224,336	149,017	(145,138)	2	228,213	
Total capital assets not being depreciated	390,983	158,339	(145,138)	1,519	402,665	
Other capital assets:						
Infrastructure	225,690	9,741	3,379	—	238,810	
Intangibles	12,329	—	—	—	12,329	
Land improvements	74,662	7,186	1,977	102	83,723	
Equipment	435,492	38,836	8,375	20,732	461,971	
Library books	201,386	7,502	—	22,156	186,732	
Buildings	3,870,066	99,889	131,407	414	4,100,948	
Total other capital assets	4,819,625	163,154	145,138	43,404	5,084,513	
Less accumulated depreciation for:						
Infrastructure	154,373	5,031	—	—	159,404	
Intangibles	7,617	1,606	—	—	9,223	
Land improvements	25,430	4,111	—	12	29,529	
Equipment	319,095	34,814	—	19,498	334,411	
Library books	113,216	19,402	—	22,148	110,470	
Buildings	1,606,592	90,589	—	199	1,696,982	
Total accumulated depreciation, other capital assets	2,226,323	155,553	—	41,857	2,340,019	
Capital assets, net	\$ 2,984,285	\$ 165,940	\$ —	\$ 3,066	\$ 3,147,159	

Gymnasium inside Student Events & Activity Center, Richmond

Notes to the Financial Statements *continued*

Fiscal year ended June 30, 2016

(dollar amounts presented in thousands)

	<i>Balance</i> <i>June 30, 2015</i>	<i>Additions</i>	<i>Transfers</i>	<i>Retirements</i>	<i>Balance</i> <i>June 30, 2016</i>
Assets not being depreciated:					
Land	\$ 70,826	\$ 6,583	\$ –	\$ –	\$ 77,409
Art & museum objects	82,124	7,531	–	417	89,238
Construction in progress	143,365	170,869	(89,515)	383	224,336
Total capital assets not being depreciated	296,315	184,983	(89,515)	800	390,983
Other capital assets:					
Infrastructure	205,457	17,833	2,404	4	225,690
Intangibles	11,777	552	–	–	12,329
Land improvements	68,653	5,016	993	–	74,662
Equipment	429,971	28,759	6,651	29,889	435,492
Library books	212,934	10,877	–	22,425	201,386
Buildings	3,722,365	75,028	79,467	6,794	3,870,066
Total other capital assets	4,651,157	138,065	89,515	59,112	4,819,625
Less accumulated depreciation for:					
Infrastructure	149,951	4,426	–	4	154,373
Intangibles	6,056	1,561	–	–	7,617
Land improvements	21,725	3,705	–	–	25,430
Equipment	313,635	33,997	–	28,537	319,095
Library books	114,924	20,716	–	22,424	113,216
Buildings	1,525,380	86,302	–	5,090	1,606,592
Total accumulated depreciation, other capital assets	2,131,671	150,707	–	56,055	2,226,323
Capital assets, net	\$ 2,815,801	\$ 172,341	\$ –	\$ 3,857	\$ 2,984,285

Note 6—Accounts Payable and Accrued Liabilities

Accounts payable and accrued liabilities consisted of the following at June 30, 2017 and 2016:

(dollar amounts presented in thousands)

	<i>June 30, 2017</i>	<i>June 30, 2016</i>
Accrued payroll	\$ 16,417	\$ 29,139
Accrual for compensated absences	46,826	43,231
Interest payable	12,095	8,334
Vendor and other payables	148,291	176,549
Total accounts payable and accrued liabilities	\$ 223,629	\$ 257,253

Note 7—Other Liabilities

Other liability activity for the fiscal years ended June 30, 2017 and 2016, is summarized as follows:

Fiscal year ended June 30, 2017

(dollar amounts presented in thousands)

	<i>Balance</i> <i>June 30, 2016</i>	<i>Additions</i>	<i>Reductions</i>	<i>Balance</i> <i>June 30, 2017</i>	<i>Current</i>
Bonds, notes, and capital leases payable:					
Bonds payable	\$ 907,838	\$ 52,781	\$ 73,060	\$ 887,559	\$ 74,736
Notes payable	116,069	83,502	4,954	194,617	6,597
Capital leases payable	3,417	1,545	1,459	3,503	1,286
Total bonds, notes, and capital leases payable	1,027,324	137,828	79,473	1,085,679	82,619
Other liabilities:					
Unearned revenue	112,031	–	6,255	105,776	82,009
Assets held in custody for others	80,201	2,488	–	82,689	3,882
Compensated absences	72,045	21,417	17,856	75,606	46,826
Other	44,177	739	6,220	38,696	–
Net pension liability	98,279	–	2,590	95,689	–
Total	406,733	24,644	32,921	398,456	132,717
Total other liabilities	\$ 1,434,057	\$ 162,472	\$ 112,394	\$ 1,484,135	\$ 215,336

Fiscal year ended June 30, 2016

(dollar amounts presented in thousands)

	<i>Balance</i> <i>June 30, 2015</i>	<i>Additions</i>	<i>Reductions</i>	<i>Balance</i> <i>June 30, 2016</i>	<i>Current</i>
Bonds, notes, and capital leases payable:					
Bonds payable	\$ 939,627	\$ 34,737	\$ 66,526	\$ 907,838	\$ 70,130
Notes payable	120,158	–	4,089	116,069	4,759
Capital leases payable	2,836	1,739	1,158	3,417	1,044
Total bonds, notes, and capital leases payable	1,062,621	36,476	71,773	1,027,324	75,933
Other liabilities:					
Unearned revenue	124,280	–	12,249	112,031	83,440
Assets held in custody for others	79,847	354	–	80,201	496
Compensated absences	68,572	20,358	16,885	72,045	43,231
Other	40,425	5,070	1,318	44,177	–
Net pension liability	101,229	33,336	36,286	98,279	–
Total	414,353	59,118	66,738	406,733	127,167
Total other liabilities	\$ 1,476,974	\$ 95,594	\$ 138,511	\$ 1,434,057	\$ 203,100

Note 8—Bonds and Notes Payable

The university is authorized by acts of the Indiana General Assembly to issue bonds, notes, and other forms of indebtedness for the purpose of financing construction of facilities that include academic and administrative facilities, research facilities on the Bloomington and Indianapolis campuses, athletic facilities, parking facilities, student housing, health service facilities, student union buildings, and energy savings projects. At June 30, 2017 and 2016, the university had serial bonds, term bonds, and capital appreciation bonds outstanding with maturities that extend to June 1, 2044. The university has both tax-exempt and taxable bonds outstanding.

The total outstanding bonds and notes payable at June 30, 2017 and 2016, were \$1,082,176,000 and \$1,023,907,000, respectively. This indebtedness included principal outstanding at June 30, 2017 and 2016, for bonds issued under Indiana Code (IC) 21-34-6 as student fee debt (“Student Fee Bonds”) of \$392,121,000 and \$391,995,000, respectively and under IC 21-35-3 as consolidated revenue bonds of \$411,680,000 and \$431,860,000, respectively. This indebtedness also included principal outstanding at June 30, 2017 and 2016, for notes issued under IC 21-33-3-5 as lease-purchase obligations (LPOs) or certificates of participation (COPs), collectively “Obligations”, of \$177,420,000 and \$107,050,000, respectively. Total bonds and notes payable at June 30, 2017 and 2016, have an additional accreted value of outstanding Student Fee Bonds issued as capital appreciation bonds of \$3,031,000 and \$5,773,000, respectively, which is not in the principal or face value. The calculation of total bonds and notes payable at June 30, 2017 and 2016, includes the addition of bond premium outstanding of \$100,955,000 and \$93,002,000, respectively. As of June 30, 2017 and 2016, debt service payments to maturity total \$1,393,645,000 and \$1,314,339,000, respectively, of which \$502,880,000 and \$444,494,000, respectively, is from bonds eligible for fee replacement appropriations.

On a biennial basis, the Indiana General Assembly authorizes a specific state appropriation to the uni-

versity for the purpose of reimbursing a portion of the debt service payments on bonds issued under IC 21-34-6 for certain academic facilities. Such academic facilities include classrooms, libraries, laboratories, and other academic support facilities as designated by the Indiana General Assembly. These specific state appropriations are referred to as “fee replacement” appropriations and are received from the State of Indiana on a semi-annual basis. This appropriation is renewed and supplemented on a biennial basis because state statutes prohibit a sitting General Assembly from binding subsequent General Assemblies with respect to future appropriation of funds. In the 45 years of making fee replacement appropriations, the State has never failed to fully fund or otherwise provide for a fee replacement obligation established by a prior General Assembly. The outstanding principal balances which are eligible for fee replacement appropriations as of June 30, 2017 and 2016, are \$386,750,000 and \$345,139,000, respectively.

In addition to serial and term bonds, the university has issued capital appreciation bonds (CAB). A CAB is a long-term municipal security on which the investment return on an initial principal amount is reinvested at a stated compounded rate until maturity. At maturity, the investor receives both the initial principal amount and the total investment return. CABs are typically sold at a deeply discounted price and are distinct from traditional zero coupon bonds because the investment return is considered to be in the form of compounded interest rather than accreted original issue discount. Total debt service payments to maturity as of June 30, 2017 and 2016, include CAB payments of \$3,980,000 and \$7,960,000, respectively, of which \$225,000 and \$450,000 are eligible for fee replacement appropriations, respectively.

Consolidated Revenue Bonds are unsecured obligations of the university that carry a promise of repayment that will come first from net income generated from housing facilities, parking facilities, and other auxiliary facilities along with certain research, health service facilities, and athletic revenues; and secondly, from other legally available funds of the university.

The Indiana University Building Corporation (IUBC) is an affiliated single-purpose Indiana not-for-profit corporation that was formed by the Trustees of Indiana University in 2008. Its sole purpose is to assist the university in the financing and development of

university facilities by owning and leasing such facilities to the university on a lease-purchase basis. The Obligations are included in the outstanding indebtedness table under IC 21-33-3-5 and are classified as notes payable.

(dollar amounts presented in thousands)

<i>Bonding Authority</i>	<i>Interest Rates</i>	<i>Final Maturity Year Ended</i>	<i>Principal Outstanding June 30, 2017</i>	<i>Principal Outstanding June 30, 2016</i>
Indiana Code 21-34-6 (Bonds: Student Fee Bonds)	1.25 to 6.40%	2036	\$ 392,121	\$ 391,995
Indiana Code 21-35-3 (Bonds: Consolidated Revenue Bonds)	1.50 to 5.64%	2042	411,680	431,860
Indiana Code 21-33-3-5 (Notes: Obligations – Lease Purchase Obligations and Certificates of Participation)	2.00 to 5.95%	2044	177,420	107,050
Subtotal bonds and notes payable			981,221	930,905
Add unamortized bond premium			100,955	93,002
Total bonds and notes payable			\$ 1,082,176	\$ 1,023,907

As of June 30, 2017, the university did not have any variable rate bonds, notes, or commercial paper outstanding. The principal and interest requirements to maturity for bonds and notes payable are as follows:

(dollar amounts presented in thousands)

<i>Fiscal Year Ended June 30</i>	<i>Bond Principal</i>	<i>Note Principal</i>	<i>Total Principal</i>	<i>Bond Interest</i>	<i>Note Interest</i>	<i>Total Interest</i>	<i>Total Debt Service Payments</i>
2018	\$ 65,931	\$ 5,595	\$ 71,526	\$ 38,890	\$ 7,950	\$ 46,840	\$ 118,366
2019	60,155	5,800	65,955	33,141	7,732	40,873	106,828
2020	55,795	7,075	62,870	30,796	7,515	38,311	101,181
2021	57,200	7,365	64,565	28,346	7,208	35,554	100,119
2022	49,140	7,670	56,810	25,960	6,883	32,843	89,653
2023 - 2027	241,720	39,905	281,625	94,505	29,106	123,611	405,236
2028 - 2032	162,935	45,000	207,935	42,641	18,909	61,550	269,485
2033 - 2037	87,165	32,115	119,280	15,007	9,973	24,980	144,260
2038 - 2042	23,760	20,815	44,575	2,658	4,744	7,402	51,977
2043 - 2047	-	6,080	6,080	-	460	460	6,540
Total	\$ 803,801	\$ 177,420	\$ 981,221	\$ 311,944	\$100,480	\$ 412,424	\$1,393,645

Bond and note interest shown above are reported gross of (before) any federal interest subsidy as scheduled at issuance to be received on taxable Build America Bonds.

In prior years, the university has defeased several bond issues by issuing new debt. United States Treasury obligations or federal agency securities have been purchased in amounts sufficient to pay principal and interest payments when due, through the maturity or call dates of the defeased bonds. These securities or cash have been deposited in irrevocable trusts as required to defease the bonds. The defeased bonds and the related trusts balances are not reflected within principal outstanding, total debt service, or the university's liabilities.

As of June 30, 2017, the previously defeased bonds held in escrow have the following amounts of principal redeemed:

(dollar amounts presented in thousands)

<i>Defeased Bonds (Refunded)</i>	<i>Principal Redeemed</i>	<i>Call Date</i>
Student Fee Bonds, Series S	\$ 50,165	8/1/2018
Student Fee Bonds, Series U	19,705	8/1/2021
Consolidated Revenue Bonds, Series 2008A	113,360	6/1/2018
Consolidated Revenue Bonds, Series 2009A	42,965	6/1/2019
Consolidated Revenue Bonds, Series 2011A	5,375	6/1/2020
Total defeased bonds	\$ 231,570	

In February 2009, the United States Congress enacted the *American Recovery and Reinvestment Act of 2009* (ARRA). ARRA allowed certain tax advantages to state and local governmental entities when such entities issued qualifying taxable obligations, referred to as Build America Bonds (BABs). While the BAB provisions in ARRA expired as of January 1, 2011, the obligation of the U.S. Treasury to make subsidy payments on BABs will remain in effect through the final maturity date of BABs. Although issuers of BABs were eligible to receive subsidy payments from the U.S. Treasury equal to 35% of the corresponding interest payable on the related BABs, subsidies paid after February 28, 2013, were cut due to the federal sequestration. Through June 30, 2017,

BABs subsidies for Student Fee Bonds, Series T-2; Consolidated Revenue Bonds, Series 2010B; and Certificates of Participation, Series 2009B combined were reduced by \$814,000, which was less than \$200,000 per fiscal year that has been effected. Total federal interest subsidies as scheduled at issuance to be received over the life of the BABs debt outstanding as of June 30, 2017, were \$21,968,000. BABs subsidies paid between October 1, 2017, and September 30, 2018, are scheduled to be reduced by 6.60% due to the federal sequestration, as compared to 6.90% in the prior year. For fiscal year ending June 30, 2018, the total expected subsidy reductions due to the sequestration is \$162,000, which is subject to changes enacted by Congress at subsequent dates.

On August 4, 2016, the university issued fixed rate Student Fee Bonds, Series X (Series X) with a par amount of \$71,710,000. Series X new money proceeds were used to finance the Old Crescent Renovation Phase II project for the renovation of Kirkwood Hall, Swain Hall, and Ernie Pyle Hall on the Bloomington campus. Series X proceeds were additionally used to current refund a portion of Student Fee Bonds Series R and advance refund a portion of Student Fee Bonds Series U. Bond proceeds were also used to pay costs to issue the bonds, including underwriters' discount. At issuance, the all-in true interest cost for Series X was 2.26%. The Series X refunding bonds produced a net present value savings of \$2,270,000, which was 7.54% of refunded par bonds.

On March 8, 2017, the university issued fixed rate Lease-Purchase Obligations, Series 2017A with a par amount of \$74,575,000 as new money bonds. The proceeds financed the Memorial Stadium Excellence Academy and Related Stadium Renovations project and the Eskenazi Museum of Art Renovations project on the Bloomington campus. Bond proceeds were also used to pay capitalized interest and costs to issue the bonds, including underwriters' discount. The true interest cost for LPO Series 2017A was 3.71%.

Note 9—Lease Obligations

The university has acquired equipment under various lease-purchase contracts and other capital lease agreements. The cost of equipment held under capital leases totaled \$6,069,000 and \$5,751,000 as of June 30, 2017 and 2016, respectively. Accumulated amortization of leased equipment totaled \$2,757,000 and \$2,098,000 at June 30, 2017 and 2016, respectively.

The university entered into agreements for the right to use certain infrastructure assets for a given period of time. The cost of the leased infrastructure assets totaled \$8,568,000 and \$8,100,000 with accumulated depreciation of \$703,000 and \$217,000 as of June 30, 2017 and 2016, respectively.

The university leases certain facilities. The majority of the facility leases include renewal options and some provide for escalation of rent based on changes in operating costs.

Scheduled lease payments for the years ending June 30 are as follows:

(dollar amounts presented in thousands)

	<i>Capital</i>	<i>Operating</i>
2018	\$ 1,418	\$ 14,668
2019	1,248	8,364
2020	728	7,069
2021	336	6,758
2022	24	4,587
2023-2027	–	6,753
2028-2030	–	79
Total future minimum payments	3,754	\$ 48,278
Less: interest	(251)	
Total principal payments outstanding	\$ 3,503	

Note 10—Federal Obligations Under Student Loan Programs

Campus based student loans are funded by new allocations received from the federal government, as well as principal and interest collected from previous student loan recipients. The federal government advanced \$2,066,000 and \$127,000 for health professions and nursing loan programs for fiscal years ended June 30, 2017 and 2016, respectively.

Liabilities at June 30, 2017 and 2016, for loan programs were as follows:

(dollar amounts presented in thousands)

	<i>June 30, 2017</i>	<i>June 30, 2016</i>
Current portion of assets held in custody for others	\$ 3,882	\$ 496
Noncurrent liabilities:		
Federal share of interest	47,623	46,164
Perkins loans	11,483	15,450
Health professions loans	17,142	16,006
Nursing loans	2,559	2,085
Total noncurrent portion of assets held in custody for others	78,807	79,705
Total assets held in custody for others	\$ 82,689	\$ 80,201

Federal Perkins Loan program expired on September 30, 2017. Barring any subsequent renewal of the program, Perkins federal funds will be required to be repaid over successive future periods.

Note 11—Risk Management

The university is exposed to various risks of loss, including torts, theft, damage or destruction of assets, errors or omissions, job-related illnesses or injuries to employees, and health care claims on behalf of students, employees, and their dependents. The university manages these risks through a combination of risk retention and commercial insurance, including coverage from internally maintained funds, as well as from a wholly-owned captive insurance company, Old Crescent Insurance Company (OCIC). The university is self-funded for damage to buildings

and building contents for the first \$100,000 per occurrence with an additional \$400,000 per occurrence covered by OCIC, with commercial excess property coverage above this amount. The university is self-funded for comprehensive general liability and automobile liability for the first \$100,000 per occurrence with an additional \$900,000 per occurrence covered by OCIC and with supplementary commercial liability umbrella policies. The university has a malpractice and professional liability policy in the amount of \$400,000 for each claim and \$1,200,000 annually in aggregate provided by OCIC. The university is self-funded for the first \$850,000 for each Workers' Compensation claim and \$125,000 in the aggregate for all claims in excess of \$850,000 for each claim. Workers' Compensation claims above these amounts are covered by commercial insurance and are subject to statutory limits. The university is self-funded for the first \$850,000 for employer liability claims with an additional \$1,000,000 in coverage through commercial insurances. The amount of settlements has not exceeded insurance coverage in any of the past three fiscal years.

The university has three health care plans for full-time appointed employees, one of which is also available to retirees not eligible for Medicare. All of the employee plans are self-funded. The university records a liability for incurred but unpaid claims for university-sponsored, self-funded health care plans. This liability is estimated to be no more than 12.5% of the paid self-funded claims during the fiscal year, and totals \$25,150,000 and \$29,866,000 at June 30, 2017 and 2016, respectively. In addition, a potential claims fluctuation liability of \$9,876,000 has been recorded at June 30, 2017 and 2016.

Changes in the balances of accrued insurance liabilities were as follows:

(dollar amounts presented in thousands)

<i>Fiscal Year</i>	<i>Beginning Balance</i>	<i>Claims Incurred</i>	<i>Claims Paid</i>	<i>Ending Balance</i>
2017	\$ 29,866	\$ 205,733	\$ 210,449	\$ 25,150
2016	28,637	212,588	211,359	29,866

Separate funds have been established to account for the liability of incurred but unpaid health care claims, as well as any unusual catastrophic claims fluctuation experience. All organizational units of the university are charged fees based on estimates of the amounts necessary to pay health care coverage costs, including premiums and claims.

The university also provides health care plans for international students, graduate assistants, fellowship recipients, and medical residents. These plans consist of fully insured and self-funded plans, along with a stop/loss provision. The university has recorded a liability for incurred but unpaid claims for university-sponsored, self-funded health care plans in the amount of \$1,656,000 and \$2,614,000 at June 30, 2017 and 2016, respectively. These plans are funded by direct charges to the associated schools and/or departments.

Note 12—Retirement Plans

The university provided retirement plan coverage to 19,220 and 18,929 active employees as of June 30, 2017 and 2016, respectively, in addition to contributing to the Federal Insurance Contributions Act (FICA) as required by law.

RETIREMENT AND SAVINGS PLAN

All support and service employees with at least a 50% full-time equivalent (FTE) appointment and temporary with retirement employees scheduled to work at least 1,000 hours or more in a calendar year hired on or after July 1, 2013, participate in the Retirement and Savings Plan. This is a defined contribution plan under IRC 401(a). The university contributed \$3,759,000 during fiscal year ended June 30, 2017, and \$2,567,000 during fiscal year ended June 30, 2016, to TIAA-CREF for the plan. The university contributed \$740,000 during fiscal year ended June 30, 2017, and \$444,000 during fiscal year ended June 30, 2016, to Fidelity Investments for the plan. Under this plan, 1,995 and 1,759 employees directed university contributions to TIAA-CREF as of June 30, 2017 and 2016, respectively. In addition, 424 and 317 directed university contributions to Fidelity Investments as of June 30, 2017 and 2016, respectively.

**ACADEMIC AND PROFESSIONAL
STAFF EMPLOYEES**

Appointed academic and professional staff employees with at least 50% FTE are covered by the IU Retirement Plan. This is a defined contribution plan under IRC 403(b). The university contributed \$59,540,000 during fiscal year ended June 30, 2017, and \$59,803,000 during fiscal year ended June 30, 2016, to TIAA-CREF for the IU Retirement Plan. The university contributed \$44,973,000 during fiscal year ended June 30, 2017, and \$39,408,000 during fiscal year ended June 30, 2016, to Fidelity Investments for the IU Retirement Plan. Under this plan, 7,137 and 7,194 employees directed university contributions to TIAA-CREF as of June 30, 2017 and 2016, respectively. In addition, 7,265 and 6,786 employees directed university contributions to Fidelity Investments as of June 30, 2017 and 2016, respectively.

In addition to the above, the university provides early retirement benefits to full-time appointed academic and professional staff employees who were in positions Grade 16 and above on or before June 30, 1999. There were 856 and 901 active employees on June 30, 2017 and 2016, respectively, covered by the IU Supplemental Early Retirement Plan (IUSERP), a defined contribution plan in compliance with IRC 401(a), with participant accounts at TIAA-CREF and Fidelity Investments. The university contributed \$2,277,000 and \$1,951,000 to IUSERP during fiscal years ended June 30, 2017 and 2016, respectively. The same class of employees covered by the IU Retirement Plan 15% Level of Contributions on or before July 14, 1988, is covered by the IU 18/20 Retirement Plan, a combination of IRC Section 457(f) and Section 403(b) provisions. The IU 18/20 Retirement Plan allows this group of employees to retire as early as age 64, provided the individual has at least 18 years of participation in the IU Retirement Plan and at least 20 years of continuous university service. During the fiscal year ended June 30, 2017, the university made total payments of \$24,630,000 to 250 individuals receiving IU 18/20 Retirement Plan payments. During the fiscal year ended June 30, 2016, the university made total payments of \$27,507,000 to 285 individuals receiving IU 18/20 Retirement Plan payments.

**IU REPLACEMENT RETIREMENT PLAN
FUNDING POLICY AND ANNUAL
PENSION COST**

The university has established an early retirement plan for eligible employees to accommodate IRS requirements and as authorized by the trustees. This plan is called the IU Replacement Retirement Plan. It is a single-employer plan and is qualified under IRC Section 401(a), with normal benefits payable for the participants' lifetime. Trust and recordkeeping activities are outsourced to the TIAA-CREF Trust Company. There were 78 and 79 employees eligible to participate as of June 30, 2017 and 2016, respectively. University contributions related to this plan totaled \$1,192,000 and \$1,061,000 for fiscal years ended June 30, 2017 and 2016, respectively, with no employee contributions. These amounts represent 100% of the funding policy contribution. As of June 30, 2017 and 2016, the net pension liability was \$6,656,000 and \$4,829,000, respectively.

**INDIANA PUBLIC EMPLOYEES'
RETIREMENT FUND**

The university contributes to the Indiana Public Employees' Retirement Fund (PERF), a defined benefit pension plan with an annuity savings account provision. Indiana Public Retirement System (INPRS) administers the cost-sharing, multiple-employer public employee retirement plans, which provide retirement benefits to plan members and beneficiaries. Support, technical, and service employees with at least a 50% FTE appointment hired prior to July 1, 2013, participate in the PERF plan. There were 3,280 and 3,715 active university employees covered by this retirement plan as of June 30, 2017 and 2016, respectively. State statutes authorize the university to contribute to the plan and govern most requirements of the system. The PERF retirement benefit consists of the pension and an annuity savings account, both of which are funded by employer contributions. Contributions to PERF are determined by INPRS Board of Trustees in accordance with IC 5-10.2-2-11 and are based on actuarial investigation and valuation. Per IC 5-10.2-4-4, key elements of the pension formula include years of PERF creditable service multiplied by average annual compensation multiplied by 1.1%, resulting in an annual lifetime benefit. Cost of living adjustments

for members in pay status are not guaranteed by statute, but may be granted by the Indiana General Assembly on an ad hoc basis. Refunds of employee contributions are included in total benefit payments. Participants must have at least ten years of PERF creditable service to have a vested right to the pension benefit. The annuity savings account consists of contributions set by state statute at 3.0% of compensation plus the earnings credited to members' accounts. Participants are 100% vested from inception in the annuity savings account. The university has elected to make the contributions to the annuity savings account on behalf of the members. INPRS issues a publicly available financial report that includes financial statements and required supplementary information for the plan as a whole and for its participants. The financial report and corresponding fiduciary net position is prepared using the accrual basis of accounting in conformity with Generally Accepted Accounting Principles (GAAP). INPRS applies all applicable GASB pronouncements in accounting and reporting for its operations. Investments of the pension plan are valued as follows: Pooled and non-pooled investments are reported at fair value. Short-term investments are reported at cost. Fixed income and equity securities are valued based on published market prices, quotations from national security exchanges, or using modeling techniques that approximate a fair value for securities that are not traded on a national exchange. Alternative investments are valued based on quoted market prices or using estimates of fair value in the absence of readily determinable public market values. Derivative instruments are marked to market daily. This report may be obtained by writing the Indiana Public Retirement System, One North Capitol, Suite 001, Indianapolis, IN 46204; by calling 1-888-286-3544; or by reviewing the Annual Report online at www.in.gov/inprs/annual-reports.htm.

Required and actual contributions made by the university totaled \$18,802,000 and \$19,712,000 for fiscal years ended June 30, 2017 and 2016, respectively. This represented an 11.2% university pension

benefit contribution for fiscal years ended June 30, 2017 and 2016, and a 3.0% university contribution for the annuity savings account provisions each year.

PENSION LIABILITIES, PENSION EXPENSE, AND DEFERRED OUTFLOWS OF RESOURCES AND DEFERRED INFLOWS OF RESOURCES RELATED TO PENSIONS

Indiana Public Employees' Retirement Fund. At June 30, 2017, the university reported a liability of \$95,689,000 for its proportionate share of the net pension liability, as compared to \$98,279,000 for the year ended June 30, 2016. The June 30, 2017, net pension liability of \$95,689,000 at the measurement date was measured as of June 30, 2016, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2015, which used update procedures to roll forward the estimated liability to June 30, 2016. The university's proportion of the net pension liability was based on wages reported by the university relative to the collective wages of the plan. This basis measures the proportionate relationship of an employer to all employers and is consistent with the manner in which contributions to the pension plan are determined. At June 30, 2016, the university's proportion was 2.11%, a decrease of 1.19 percentage points from its proportion measured as of June 30, 2015, which was 3.30%. Effective July 1, 2015, Indiana Code 5-10.2-2-21 was amended concerning pensions. The legislation imposed a requirement on employers that stopped enrolling new employees in the fund to make a payment in an amount necessary to fund the employer's share of the unfunded liability attributable to the earned benefit of the employer's PERF covered employees. At June 30, 2016, the university's net pension liability of \$134,565,000 at the measurement date was reduced by \$36,286,000 to \$98,279,000, which reflects the obligation related to Indiana Code 5-10.2-2-21. A payment of \$3,630,000 was made during the 2016 fiscal year, with the remaining balance of \$32,656,000, paid in fiscal year 2017, reducing accounts payable. Pension expense of the university as of June 30, 2017 and 2016, was \$12,913,000 and \$17,689,000, respectively.

At June 30, 2017, the university reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

(dollar amounts presented in thousands)

	PERF	
	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 2,144	\$ 177
Changes of assumptions	4,222	–
Net difference between projected and actual earnings on pension plan investments	15,662	–
Changes in proportion and differences between university contributions and proportionate share of contributions	27,564	38,043
University contributions subsequent to the measurement date	14,705	–
Total	\$ 64,297	\$ 38,220

Deferred outflows of resources in the amount of \$14,705,000 related to pensions resulting from university contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2018.

At June 30, 2016, the university reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

(dollar amounts presented in thousands)

	PERF	
	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 5,776	\$ 278
Changes of assumptions	11,375	–
Net difference between projected and actual earnings on pension plan investments	10,034	–
Changes in proportion and differences between university contributions and proportionate share of contributions	563	19,465
University contributions subsequent to the measurement date	15,545	–
Total	\$ 43,293	\$ 19,743

Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

(dollar amounts presented in thousands)

Fiscal Year Ended June 30, 2017	PERF
2017	\$ (461)
2018	(2,158)
2019	(6,206)
2020	(2,547)
2021	–
Thereafter	–

Actuarial Assumptions. The total pension liability as of June 30, 2016, and June 30, 2015, based on the results of actuarial valuation dates of June 30, 2015, and June 30, 2014, and rolled forward, respectively, were determined using the following actuarial assumptions, which were applied to all periods included in the measurement:

	<i>PERF</i>	
	<i>Measurement Date as of June 30, 2016</i>	<i>Measurement Date as of June 30, 2015</i>
Cost of living	1.0%	1.0%
Inflation	2.25%, average	2.25%, average
Future salary increases	0.25% to 2.0%	0.25% to 2.0%
Investment rate of return	6.75%, net of pension plan investment expense	6.75%, net of pension plan investment expense
Mortality rates	Based on RP-2014 (with MP-2014 improvement removed) Total Data Set Mortality Tables	Based on RP-2014 (with MP-2014 improvement removed) Total Data Set Mortality Tables

The actuarial assumptions used in the valuations of June 30, 2016, were adopted by the Indiana Public Retirement System Board pursuant to the experience studies completed in April 2015, which reflected the experience period from July 1, 2010, through June 30, 2014. The valuations of June 30, 2016, incorporate member census data as of June 30, 2015, adjusted for certain activity during fiscal year 2016. Standard actuarial techniques were used to roll forward valuation results over one year.

The long-term expected rate of return on pension plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding expected inflation. The target allocation and best estimates of geometric real rates of return for each major asset class are summarized in the following table:

	<i>PERF</i>			
	<i>Measurement Date as of June 30, 2016</i>		<i>Measurement Date as of June 30, 2015</i>	
	<i>Target Allocation</i>	<i>Long-Term Expected Real Rate of Return</i>	<i>Target Allocation</i>	<i>Long-Term Expected Real Rate of Return</i>
Public equity	22.0%	5.7%	22.5%	5.3%
Private equity	10.0%	6.2%	10.0%	5.6%
Fixed income – ex inflation-linked ¹	24.0%	2.7%	22.0%	2.1%
Fixed income – inflation-linked	7.0%	0.7%	10.0%	0.7%
Commodities	8.0%	2.0%	8.0%	2.0%
Real estate	7.0%	2.7%	7.5%	3.0%
Absolute return	10.0%	4.0%	10.0%	3.9%
Risk parity	12.0%	5.0%	10.0%	5.0%
Total	100.0%		100.0%	

¹ Includes cash & cash equivalents

Discount rate. The discount rate used to measure the total pension liability was 6.75% for PERF at June 30, 2016 and 2015. The projection of cash flows used to determine the discount rate assumed that employee contributions will be made at the current contribution rate and that contributions from participating employers will be made at contractually required rates, actuarially determined. Based on those assumptions, the pension plan's fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the university's proportionate share of the PERF net pension liability. The following table presents the university's proportionate share of the PERF net pension liability using the discount rate of 6.75% for both years, as well as what the university's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower or 1-percentage-point higher than the current rate:

(dollar amounts presented in thousands)

<i>Sensitivity of Net Pension Liability</i>	<i>PERF</i>		
	<i>1% Decrease (5.75%)</i>	<i>Current Discount Rate (6.75%)</i>	<i>1% Increase (7.75%)</i>
June 30, 2017	\$ 137,432	\$ 95,689	\$ 60,994
June 30, 2016	198,496	134,565	81,492

Pension Plan Fiduciary Net Position. Detailed information about the pension plans' fiduciary net position is available in the separately issued INPRS financial report.

PAYABLE TO THE PENSION PLAN

The university reported a payable of \$579,000 at June 30, 2017, and \$1,339,000 at June 30, 2016, for the outstanding amount of contributions to the pension plans required for the year ended June 30, 2017 and 2016, respectively.

Note 13—Postemployment Benefits

PLAN DESCRIPTION

The university provides certain postemployment benefits for retired employees. The IU 18/20 Plan, Medical, and Life Insurance benefits are presented for financial statement purposes as a consolidated plan (the "Plan") under the requirements for reporting Other Postemployment Benefit Plans (OPEB) required by GASB Statement No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions* (GASB 45). The Plan is a single-employer defined benefit plan administered by Indiana University. The 18/20 Plan provides interim benefits to full-time appointed academic and professional staff employees who meet the following eligibility requirements: 18 years of participation in the IU Retirement Plan 15% level, at least 20 years of continuous full-time

university service, and at least 64 years of age. This group of employees is eligible to receive monthly payments based on a hypothetical monthly annuity amount at age 70, up to the amount of terminal base salary, calculated as the average budgeted base salary for the five 12-month periods immediately preceding retirement. The 18/20 Plan was adopted by the Trustees of Indiana University ("trustees"). The university provides medical care coverage to individuals with retiree status and their eligible dependents. The cost of the coverage is borne fully by the individual. However, retiree medical care coverage is implicitly more expensive than active-employee coverage, which creates an implicit rate subsidy. The university provides retiree life insurance benefits in the amount of \$6,000 to terminated employees with retiree status. The health and life insurance plans have been established and may be amended under the authority of the trustees.

The Plan does not issue a stand-alone financial report. Reflected in this note are benefits related to early retirement incentive plans, approved by executive management in fiscal year 2011 and 2014, which include five years of annual contributions to a health reimbursement account.

FUNDING POLICY

The contribution requirements of plan members and the university are established and may be amended by the trustees. The university contribution to the 18/20 Plan and retiree life insurance is based on pay-as-you-go financing requirements. Plan members do not make contributions. The medical plans are self-funded and each plan's premiums are updated annually based on actual claims. Retirees receiving medical benefits paid \$1,532,000 and \$2,301,000 in premiums in the fiscal years ended June 30, 2017 and 2016, respectively. The university contributed \$40,370,000 and \$48,546,000 to the

consolidated OPEB Plan in fiscal years ended June 30, 2017 and 2016, respectively.

ANNUAL OPEB COST AND NET OPEB OBLIGATION

The university's annual OPEB cost (expense) is calculated based on the annual required contribution (ARC) of the employer, an amount actuarially determined in accordance with the parameters of GASB 45. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize any unfunded actuarial liabilities (or funding excess) over a period of twenty-five years.

The following table shows the university's annual OPEB cost for the year, the amount actually contributed to the plan, and the university's net OPEB obligation as provided by the actuarial results for the fiscal years ended June 30, 2017 and 2016, respectively:

(dollar amounts presented in thousands)

	<i>Fiscal Year Ended</i>	
	<i>June 30, 2017</i>	<i>June 30, 2016</i>
Annual OPEB cost	\$ 41,109	\$ 51,514
Less employer contributions	(40,370)	(48,546)
Increase in OPEB obligation	739	2,968
Net OPEB obligation, beginning of year	36,565	33,597
Net OPEB obligation, end of year	\$ 37,304	\$ 36,565
Percentage of annual OPEB cost contributed	98.20%	94.24%

FUNDED STATUS AND FUNDING PROGRESS

The funding progress of the plan as of the most recent and preceding valuation date are as follows:

(dollar amounts presented in thousands)

<i>Actuarial Valuation Date</i>	<i>Actuarial</i>		<i>Unfunded</i>		<i>Funded Ratio (a/b)</i>	<i>Covered Payroll (c)</i>	<i>UAAL as Percentage of Covered Payroll ((b-a) / c)</i>
	<i>Value of Assets (a)</i>	<i>Actuarial Accrued Liability (AAL) (b)</i>	<i>Actuarial Accrued Liability (UAAL) (b) - (a)</i>				
July 1, 2016	–	\$ 244,371	\$ 244,371		0.0%	\$ 1,169,353	20.9%
July 1, 2015	–	294,446	294,446		0.0%	1,135,294	25.9%

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the Plan and the annual required contributions of the university are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, represents multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

Cindy's Song, sculpture in front of East Studio Building, Jacobs School of Music, Bloomington



ACTUARIAL METHODS AND ASSUMPTIONS
Projections of benefits for financial reporting purposes are based on the substantive plan (the Plan as understood by the university and plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing of benefit costs between the university and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

The projected unit credit cost method was used in the actuarial valuation of June 30, 2016. The actuarial assumptions include a 4.5% investment rate of return, which is a blended rate of (1) the expected long-term investment returns on plan assets and (2) the university's investments which is calculated based on the funded level of the Plan at June 30, 2017; and an annual healthcare cost trend rate that ranges from 8.5% in fiscal year 2018 to 5.0% in fiscal year 2025. The rate includes a 3.0% inflation assumption. The Unfunded Actuarial Accrued Liability is being amortized over 25 years using level dollar amounts on an open group basis.

Note 14—Related Organization

The university is a major beneficiary of the Riley Children's Foundation of which a majority of the board of directors is appointed by, or serve by virtue of position with, Indiana University. Riley Children's Foundation net assets were \$359,741,000 and \$337,715,000 at June 30, 2017 and 2016, respectively. Riley Children's Foundation net assets are not included in the financial statements of the university.

Note 15—Functional Expenses

The university's operating expenses by functional classification were as follows:

Fiscal year ended June 30, 2017

(dollar amounts presented in thousands)

<i>Functional Classification</i>	<i>Natural Classification</i>						<i>Total</i>
	<i>Compensation & Benefits</i>	<i>Utilities</i>	<i>Supplies & Expenses</i>	<i>Scholarships & Fellowships</i>	<i>Depreciation</i>	<i>Travel</i>	
Instruction	\$ 969,262	\$ 708	\$ 123,881	\$ 14,721	\$ —	\$ 22,795	\$ 1,131,367
Research	159,534	7	100,984	2,378	—	6,672	269,575
Public service	75,766	387	63,265	2,314	—	4,177	145,909
Academic support	323,742	32	122,043	2,378	—	8,992	457,187
Student services	86,769	18	26,202	1,658	—	3,192	117,839
Institutional support	86,325	54	42,134	9	—	1,677	130,199
Physical plant	94,183	71,311	68,096	25	—	456	234,071
Scholarships & fellowships	13,613	—	1,174	114,753	—	106	129,646
Auxiliary enterprises	200,473	3,604	70,030	5,950	—	11,900	291,957
Depreciation	—	—	—	—	155,553	—	155,553
Total operating expenses	\$ 2,009,667	\$ 76,121	\$ 617,809	\$ 144,186	\$ 155,553	\$ 59,967	\$ 3,063,303

Fiscal year ended June 30, 2016

(dollar amounts presented in thousands)

<i>Functional Classification</i>	<i>Natural Classification</i>						<i>Total</i>
	<i>Compensation & Benefits</i>	<i>Utilities</i>	<i>Supplies & Expenses</i>	<i>Scholarships & Fellowships</i>	<i>Depreciation</i>	<i>Travel</i>	
Instruction	\$ 941,720	\$ 583	\$ 118,239	\$ 12,460	\$ —	\$ 20,968	\$ 1,093,970
Research	159,080	7	83,295	2,672	—	5,958	251,012
Public service	71,848	339	54,170	2,542	—	3,746	132,645
Academic support	315,320	169	101,451	3,032	—	8,326	428,298
Student services	81,811	11	23,651	2,729	—	2,734	110,936
Institutional support	87,801	44	44,574	60	—	1,549	134,028
Physical plant	97,583	69,373	66,107	—	—	380	233,443
Scholarships & fellowships	12,522	—	1,016	118,246	—	98	131,882
Auxiliary enterprises	181,411	2,939	73,025	6,091	—	11,237	274,703
Depreciation	—	—	—	—	150,707	—	150,707
Total operating expenses	\$ 1,949,096	\$ 73,465	\$ 565,528	\$ 147,832	\$ 150,707	\$ 54,996	\$ 2,941,624

Note 16—Commitments and Loss Contingencies

The university had outstanding commitments for capital construction projects of \$165,843,000 and \$153,195,000 at June 30, 2017 and 2016, respectively.

Note 17— Excerpts from Indiana University Foundation Notes to Financial Statements

Indiana University Foundation

Notes to Financial Statements (In thousands)

Note 1. Organization and Operations and Significant Accounting Policies

The Indiana University Foundation, Inc. (Foundation) is a not-for-profit corporation organized under the laws of the state of Indiana. The corporate purposes of the Foundation are to raise, receive, hold, invest and administer assets and to make expenditures to or for the benefit of Indiana University, including its regional campuses and associated entities (such as the Purdue University schools housed at the Indiana University-Purdue University Indianapolis campus, the Indiana University Building Corporation, Riley Children's Foundation, the Indiana University Research & Technology Corporation, Indiana University Health, the Indiana University Alumni Association, and certain medical practice plans), herein referred to as the University.

The mission of the Foundation is to maximize private support for Indiana University by fostering lifelong relationships with key stakeholders and providing advancement leadership and fundraising services for campuses and units across the University.

The Foundation was originally incorporated in 1936 and is empowered to perform a wide range of services and conduct a variety of activities that support the University as it carries out its missions of teaching, research, and public service. The Foundation conducts general and special purpose fundraising programs, receives and acknowledges gifts for the benefit of the University, administers those gifts to ensure that they are used as specified by the donor, invests those gifts, serves as trustee for certain types of planned gift arrangements, and provides other services for the benefit of the University as requested from time to time.

Note 17— Excerpts from Indiana University Foundation Notes to Financial Statements

Indiana University Foundation

Notes to Financial Statements (In thousands)

Note 3. Fair Value Measurement and Investments

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Foundation utilizes valuation techniques to maximize the use of observable inputs and minimize the use of unobservable inputs. Inputs are broadly defined as assumptions market participants would use in pricing an asset or liability. Assets and liabilities recorded at fair value are categorized within the fair value hierarchy based upon the level of judgment associated with the inputs used to measure their value. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described below.

- Level 1 Unadjusted quoted prices in active markets for identical assets or liabilities that the Foundation has the ability to access at the measurement date.
- Level 2 Inputs other than quoted prices within Level 1 that are observable for the asset or liability, either directly or indirectly, and fair value is determined through the use of models or other valuation methodologies. A significant adjustment to a Level 2 input could result in the Level 2 measurement becoming a Level 3 measurement.
- Level 3 Inputs are unobservable for the asset or liability and include situations where there is little, if any, market activity for the asset or liability. The inputs into the determination of fair value are based upon the best information in the circumstances and may require significant management judgment or estimation.

In certain cases, the inputs used to measure fair value may fall into different levels of the fair value hierarchy. In such cases, for disclosure purposes, the level in the fair value hierarchy within which the fair value measurement falls in its entirety is determined by the lowest level input that is significant to the fair value measurement.

Indiana University Foundation

Notes to Financial Statements
(In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

The availability of observable inputs can vary from investment to investment and is affected by a wide variety of factors, including the type of investment, whether the investment is new and not yet established in the marketplace, the liquidity of markets, and other characteristics particular to the transaction. To the extent that valuation is based on models or inputs that are less observable or unobservable in the market, the determination of fair value requires more judgment. Those estimated values do not necessarily represent the amounts that may be ultimately realized due to future circumstances that cannot be reasonably determined. Because of the inherent uncertainty of valuation, those estimated values might be materially higher or lower than the values that would have been used had a readily available market for the securities existed. Accordingly, the degree of judgment exercised by the Foundation in determining fair value is greatest for securities categorized in Level 3.

The inputs or methodology used for valuing financial instruments are not necessarily an indication of the risks associated with investing in those instruments.

The Foundation assesses the levels of the investments at each measurement date, and transfers between levels are recognized on the actual date of the event or change in circumstances that caused the transfer in accordance with the Foundation's accounting policies regarding the recognition of transfers between levels of the fair value hierarchy. During the fiscal years ended June 30, 2017 and 2016, no such transfers were made.

Investments in money market funds, mutual funds, exchange-traded funds, and securities traded on a national securities exchange, or reported on the NASDAQ national market, are stated at the last reported sales price on the day of valuation. These financial instruments are classified as Level 1 in the fair value hierarchy.

Preferred stock and other equities traded on inactive markets or valued by reference to similar instruments are categorized as Level 2 in the fair value hierarchy. Investments in government securities, bonds, corporate notes and debt securities which are traded on a national securities exchange or market are valued at the mean between the current "bid" and "asked" quotations on that day. If a reliable bid and asked quotation cannot be obtained from a national securities exchange, the security is priced at the mean between the bid and asked quotation of a reliable market maker. These financial instruments are classified as Level 2 in the fair value hierarchy.

Investments in real estate are valued by the Foundation using independent appraisals and statements provided by the management companies of the properties. These financial instruments are classified as Level 3 in the fair value hierarchy.

Net asset value (NAV): Investments in non-registered investment companies consisting of certain hedged equity funds, absolute return funds, venture capital funds, buyout funds, distressed, special situation funds, real estate funds, alternative fixed income funds, national resource funds, and public inflation funds are valued at fair value based on the applicable percentage ownership of the underlying investment entities' net assets as of the measurement date as determined by the Foundation, commonly referred to as the practical expedient. In determining fair value, the Foundation utilizes valuations provided by the underlying investment entities. The underlying investment entities value securities and other financial instruments on a fair value based upon market price, when possible, or at fair value determined by the respective entities' investment manager when no market price is determinable. Although the Foundation uses their best judgment in estimating the fair value of alternative investments, there are inherent limitations in any estimation technique. The estimated fair values of certain of the investments of the underlying investment entities, which may include derivatives, securities and other designated or side pocketed investments for which prices are not readily available, may not reflect amounts that could be realized upon immediate sale, nor amounts that may be ultimately realized. Accordingly, the estimated fair values may differ significantly from the values that would have been used had a ready market existed for these investments, and differences could be material.

Indiana University Foundation

Notes to Financial Statements
(In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

The practical expedient allows for investments in non-registered investment companies, to be valued at the net asset value (NAV) which represents fair value.

Charitable trusts and gift annuities: Assets received from charitable trusts and gift annuities are recorded at fair value based on donor restriction until the Foundation’s obligations to the annuitants have been met. The difference between the fair value of assets contributed and the split interest obligations recorded is recognized as contribution revenue. The Foundation records a split interest agreement obligation to life beneficiaries based on the present value of the estimated payments to designated life beneficiaries.

Liabilities for charitable gift annuities are recorded in an amount equal to the present value of the estimated future obligations based on mortality rates derived from ordinary life annuity tables. In computing the liability, management considers the estimated return on the invested assets and the contractual payment obligation during the expected term of each respective annuity agreement. Fair value estimates are classified as Level 3.

The following table presents the Foundation’s fair value hierarchy for those assets and liabilities measured at fair value on a recurring basis as of June 30, 2017:

	Quoted Prices for Identical Assets in Active Markets Level 1	Significant Other Observable Inputs Level 2	Significant Unobservable Inputs Level 3	Valued Using Net Asset Value **	Total
Assets:					
Investments:					
Domestic equities	\$ 449,509	\$ 11,472	\$ -	\$ 109,336	\$ 570,317
International equities	290,887	-	-	108,929	399,816
Domestic fixed income	108,425	145,616	-	87,642	341,683
International fixed income	3,649	15,280	-	7,427	26,356
Real estate	-	-	19,005	-	19,005
Cash equivalents	41,995	2,627	-	-	44,622
Alternative investments:					
Hedged equity funds	-	-	-	83,204	83,204
Absolute return funds	-	-	-	323,463	323,463
Venture capital	-	-	-	152,858	152,858
Buyouts	-	-	-	105,413	105,413
Distressed / special situations	-	-	-	33,451	33,451
Real estate	-	-	-	78,534	78,534
Alternative fixed income	-	-	-	52,685	52,685
Natural resources	-	-	-	116,562	116,562
	<u>\$ 894,465</u>	<u>\$ 174,995</u>	<u>\$ 19,005</u>	<u>\$ 1,259,504</u>	<u>\$ 2,347,969</u>
Liabilities:					
Split interest					
agreement obligations	\$ -	\$ -	\$ 34,766	\$ -	\$ 34,766

Indiana University Foundation

Notes to Financial Statements
(In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

The following table presents the Foundation's fair value hierarchy for those assets and liabilities measured at fair value on a recurring basis as of June 30, 2016:

	Quoted Prices for Identical Assets in Active Markets Level 1	Significant Other Observable Inputs Level 2	Significant Unobservable Inputs Level 3	Valued Using Net Asset Value**	Total
Assets:					
Investments:					
Domestic equities	\$ 376,586	\$ 12,018	\$ -	\$ 122,813	\$ 511,417
International equities	255,311	-	-	57,266	312,577
Domestic fixed income	73,780	144,047	-	78,988	296,815
International fixed income	1,774	13,285	-	10,780	25,839
Real estate	-	-	25,452	-	25,452
Cash equivalents	28,017	1,490	-	-	29,507
Alternative investments:					
Hedged equity funds	-	-	-	98,493	98,493
Absolute return funds	-	-	-	253,399	253,399
Venture capital	-	-	-	141,908	141,908
Buyouts	-	-	-	112,539	112,539
Distressed/special situations	-	-	-	39,457	39,457
Real estate	-	-	-	75,914	75,914
Alternative fixed income	-	-	-	44,811	44,811
Natural resources	-	-	-	102,245	102,245
Public inflation hedge	-	-	-	29,622	29,622
	\$ 735,468	\$ 170,840	\$ 25,452	\$ 1,168,235	\$ 2,099,995
Liabilities:					
Split interest					
agreement obligations	\$ -	\$ -	\$ 33,172	\$ -	\$ 33,172

** Certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been categorized in the fair value hierarchy. The fair value amounts presented in the tables above are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of financial position.

As of June 30, 2017 and June 30, 2016 the Foundation had approximately \$493,971 and \$408,965, respectively, of unfunded capital commitments to various alternative investments, which have no specific capital call dates and such capital calls are at the discretion of the alternative investment fund managers. Management believes most of the commitments will be called sometime in the next one to five years.

Indiana University Foundation

Notes to Financial Statements
(In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

Financial instruments classified as Level 3 in the fair value hierarchy represent the Foundation's investments in financial instruments in which at least one significant unobservable input is used in the valuation model. The following table presents a reconciliation of activity for the Level 3 financial instruments as of June 30, 2017 and 2016:

	2017	2016
Beginning balance (real estate)	\$ 25,452	\$ 21,842
Realized and unrealized gains (losses)	(7,496)	774
Purchases	3,105	4,295
Sales and settlements	(2,056)	(1,459)
	<u>\$ 19,005</u>	<u>\$ 25,452</u>

The following presents a reconciliation for the changes in the Foundation's liability for charitable remainder and annuity trusts, which is deemed a Level 3 liability:

	2017	2016
Beginning balance	\$ 33,172	\$ 35,384
Liability portion of charitable gifts received	3,247	1,984
Payments to annuitants	(3,949)	(3,950)
Change in the present value of split interest obligations	2,296	(246)
	<u>\$ 34,766</u>	<u>\$ 33,172</u>

The table below presents the Foundation's ability to redeem investments valued at net asset value or its equivalent as of June 30, 2017 and 2016, and includes the underlying investment entities' redemption frequency and redemption notice period. The tables also include a summary of the significant categories of such investments measured at net asset value, their attributes and investment strategies as of June 30, 2017 and 2016:

Investment Category and Strategy	2017 Fair Value	2016 Fair Value	Redemption Frequency (If Currently Eligible)	Redemption Notice Period
Domestic equities ^(a)	\$ 109,336	\$ 122,813	quarterly, annually	30-60 days
International equities ^(b)	108,929	57,266	weekly, monthly	7-30 days
Domestic fixed income ^(c)	87,642	78,988	monthly, bimonthly, quarterly	30-45 days
International fixed income ^(d)	7,427	10,780	monthly, bimonthly, quarterly	30-45 days
Hedge equity funds ^(e)	83,204	98,493	monthly, quarterly, ****	3-95 days
Absolute return funds ^(f)	323,463	253,399	semi-annually, annually monthly, quarterly, ****	3-95 days
Venture capital funds ^(g)	152,858	141,908	Long-term commitment ***	none
Buyout funds ^(h)	105,413	112,539	Long-term commitment ***	none
Distressed/special situation funds ⁽ⁱ⁾	33,451	39,457	Long-term commitment ***	none
Real estate funds ^(j)	78,534	75,914	Long-term commitment ***	none
Alternative fixed income ^(k)	52,685	44,811	Long-term commitment ***	none
Natural resources funds ^(l)	116,562	102,245	Long-term commitment ***	none
Public inflation hedge ^(m)	-	29,622	monthly	10 days
	<u>\$ 1,259,504</u>	<u>\$ 1,168,235</u>		

Indiana University Foundation

Notes to Financial Statements
(In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

*** The nature of this investment class is that distributions are received through liquidations of the underlying assets of the underlying investment fund and expected to occur over the remaining life (ranging from one to ten years). These underlying funds generally hold investments that are illiquid in the short term but are expected to be liquid over the long run. Distributions from these underlying funds are at the discretion of the underlying fund manager.

**** As of June 30, 2017, 53% of the total Marketable Alternative Investments (Hedged equity funds and Absolute return funds) could be redeemed in 0-6 months, an additional 25% could be redeemed between 7-12 months, another 15% could be redeemed between 13-24 months, and 4% could be redeemed between 25-36 months. The remaining 3% is designated as illiquid investments.

- (a) This category includes investments held in mutual funds, exchange-traded funds, public equities, partnerships, and limited liability companies located in the United States.
- (b) This category includes investments held in mutual funds, exchange-traded funds, partnerships, and limited liability companies located in economies outside of the United States.
- (c) This category includes investments that are primarily in both long and short term fixed income securities located in the United States. Management of the investments has the ability to make individual short positions however the overall fund position is net long. There were no restricted investments as of June 30, 2017.
- (d) This category includes investments that are primarily in both long and short term fixed income securities located in economies outside of the United States. Management of the investments has the ability to make individual short positions however the overall fund position is net long. There were no restricted investments as of June 30, 2017.
- (e) This category includes investments in hedge funds that invest globally in both long and short common stocks across all market capitalizations. Management of the hedge funds may opportunistically shift investments across sectors, geographies, and net market exposures.
- (f) This category includes investments in hedge funds that invest opportunistically across various strategies including long/short equity, fixed income, distressed credit, merger arbitrage, convertible arbitrage, etc.
- (g) This category includes investments that are primarily in early-stage companies in the technology and life science sectors. The nature of investments in this category is that money is distributed as underlying companies are exited via acquisition or Initial Public Offering (IPO). The typical life of a partnership is 10 years but is subject to extensions.
- (h) This category includes private equity funds that invest across sectors primarily in the United States, but also internationally. The nature of investments in this category is that money is distributed as underlying companies are recapitalized or exited via acquisition or IPO. The typical life of a partnership is 10 years but is subject to extensions.
- (i) This category includes investments that are focused on distressed or secondary investments. The typical life of a partnership is 10 years but is subject to extensions.
- (j) This category includes investments that are primarily in U.S. commercial real estate, but also includes real estate funds focused on Europe and Asia. The real estate exposure can include both publicly traded Real Estate Investment Trust funds and private partnerships. The typical life of a partnership is 10 years but is subject to extensions.
- (k) This category includes investments that are focused primarily on direct lending across the corporate and real estate sectors. The investments are structured to provide a steady stream of income to the Foundation based on floating interest rate loans. The typical life of a partnership is 5 years but is subject to extensions.
- (l) This category includes investments that are focused on private energy, mining and minerals, and timber. The typical life of a partnership is 10 years but is subject to extensions. Certain funds in this category may provide an income stream as the underlying commodity is harvested/sold.
- (m) This category includes investments that are in equity and equity-related securities, commodity derivatives, fixed income obligations, and derivatives related to equity, fixed income, and commodity securities.

Note 17— Excerpts from Indiana University Foundation Notes to Financial Statements

Indiana University Foundation

Notes to Financial Statements (In thousands)

Note 3. Fair Value Measurement and Investments (Continued)

In instances in which an underlying investment fund has invested in securities that have less liquidity, such investments may be held in a “side pocket”. Generally side pockets are illiquid with no active market. The fair value of the Foundation’s investment in underlying funds which are designated as side pocketed were \$11,617 and \$8,574 as of June 30, 2017 and 2016, respectively.

The following table summarizes the qualitative information about certain of the Foundation’s Level 3 inputs as of June 30, 2017 and 2016:

	Fair Value	Valuation Techniques	Unobservable Inputs	Ranges
Real estate investments, 2017	\$ 19,005	Market approach	Comparable transactions	N/A
Real estate investments, 2016	\$ 25,452	Market approach	Comparable transactions	N/A

A summary of total investment income (loss) for the years ended June 30, 2017 and 2016, is as follows:

	2017	2016
Dividend, interest, and other investment income	\$ 20,916	\$ 14,098
Net realized and unrealized gains (losses) on investments	214,233	(92,480)
Outside investment management fees	(9,232)	(4,375)
	<u>\$ 225,917</u>	<u>\$ (82,757)</u>

Note 17— Excerpts from Indiana University Foundation Notes to Financial Statements

Indiana University Foundation

Notes to Financial Statements (In thousands)

Note 8. Restricted Net Assets

The income generated from restricted net assets is used in accordance with the donors' time and/or purpose restrictions. Foundation operations and University programs permanently restricted assets are held in perpetuity. A summary of restricted net assets and the related donor imposed restrictions as of June 30, 2017 and 2016, are as follows:

	2017		2016	
	Temporarily Restricted	Permanently Restricted	Temporarily Restricted	Permanently Restricted
Foundation operations	\$ 8,712	\$ 24,911	\$ 8,478	\$ 23,085
University programs:				
Awards	6,138	17,302	5,721	16,005
Capital and capital improvements	134,676	2,495	135,923	2,320
Fellowships / lectureships	25,700	102,108	24,366	90,084
General endowments	295,526	299,067	261,362	273,892
Medical practice plans	33,662	-	32,532	-
Operations	70,412	5,907	75,218	4,992
Professorships / chairs	108,367	398,391	100,710	331,979
Research	45,541	58,973	41,931	52,777
Scholarships	161,937	497,341	145,495	447,616
	<u>\$ 890,671</u>	<u>\$ 1,406,495</u>	<u>\$ 831,736</u>	<u>\$ 1,242,750</u>

Note 17— Excerpts from Indiana University Foundation Notes to Financial Statements

Indiana University Foundation

Notes to Financial Statements (In thousands)

Note 10. Grants and Aid to the University

Program expenditures include support for Foundation and University programs. For the years ended June 30, 2017 and 2016, a summary of these expenditures is as follows:

	2017	2016
Program expenditures		
Foundation programs		
Real estate	\$ 3,059	\$ 1,885
Student foundation	565	485
Air services	867	908
Women's programs	16	21
Miscellaneous	93	18
Total Foundation programs	<u>4,600</u>	<u>3,317</u>
Grants and aid to the University		
Grants and aid - operating support		
University support	31,440	32,437
Student scholarship and financial aid	48,306	44,247
Faculty support	35,578	27,407
Faculty research	6,991	8,859
	<u>122,315</u>	<u>112,950</u>
Grants - endowment, capital, land, building and equipment purchases	<u>40,659</u>	<u>23,906</u>
Total University grants and aid	<u>162,974</u>	<u>136,856</u>
	<u>\$ 167,574</u>	<u>\$ 140,173</u>

Required Supplementary Information

Schedule of the University's Proportionate Share of the Net Pension Liability for the Indiana Public Employees' Retirement Fund (last 10 years¹):

(dollar amounts presented in thousands)

	Measurement Date as of June 30, 2016	Measurement Date as of June 30, 2015	Measurement Date as of June 30, 2014
University's proportion of the net pension liability	2.11%	3.30%	3.85%
University's proportionate share of the net pension liability	\$ 95,689	\$134,565	\$101,229
University's covered-employee payroll	\$101,047	\$158,252	\$188,067
University's proportionate share of the net pension liability as a percentage of its covered-employee payroll	94.70%	85.03%	53.82%
Plan fiduciary net position as a percentage of the total pension liability	75.30%	77.30%	84.30%

The amounts presented for each fiscal year were determined as of June 30.

Schedule of the University's Contributions for the Indiana Public Employees' Retirement Fund (last 10 years¹):

(dollar amounts presented in thousands)

	Fiscal Year 2017	Fiscal Year 2016	Fiscal Year 2015
Contractually required contribution	\$ 18,030	\$ 19,769	\$ 21,339
Contributions in relations to the contractually required contribution	\$ (18,030)	\$ (19,769)	\$ (21,339)
Contribution deficiency	—	—	—
University's covered-employee payroll	\$129,027	\$139,962	\$157,743
Contributions as a percentage of covered-employee payroll	13.97%	14.12%	13.53%

The amounts presented for each fiscal year were determined as of June 30.

Changes of Benefit Terms. None

Changes of Assumptions. None

¹ GASB Statement No. 68 requires disclosure of a 10-year schedule. The financial statement information was not available for years prior to those presented. Additional years will be included in future reports as data becomes available.

Schedule of Funding Progress for Other Postemployment Benefit Plans:

(dollar amounts presented in thousands)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) (b)	Unfunded AAL (UAAL) (b - a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as Percentage of Covered Payroll ((b-a) / c)
July 1, 2016*	—	\$ 244,371	\$ 244,371	0.0%	\$ 1,169,353	20.9%
July 1, 2015	—	294,446	294,446	0.0%	1,135,294	25.9%
July 1, 2014	—	336,524	336,524	0.0%	1,073,719	31.3%

*Adjustments have been made to the Actuarial Accrued Liability, normal cost, and expected benefit payments for actual provision and premium changes from 2015/16 to 2016/17, which caused a significant decrease in the university's liabilities. Effective January 1, 2017, the university restricted access to retiree health plan benefits exclusively to the PPO HDHP plan. Retirees are required to enroll in this plan immediately after becoming retired or after their COBRA eligibility ends.

Trustees and Administrative Officers of Indiana University

The Trustees of Indiana University *for fiscal year ended June 30, 2017*

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Chair, Board of Trustees, Marion County

MaryEllen Kiley Bishop

Vice Chair, Hamilton County

W. Quinn Buckner

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Jacqueline A. Simmons

Assistant Secretary

Donald S. Lukes

Treasurer

John A. Sejdinaj

Assistant Treasurer

Administrative Officers *for fiscal year ended June 30, 2017*

THE PRESIDENTS AND VICE PRESIDENTS

Michael A. McRobbie

President of the University

Adam W. Herbert

President Emeritus of the University

Thomas Ehrlich

President Emeritus of the University

Kenneth R. R. Gros Louis

University Chancellor Emeritus

Assembly Hall, Bloomington



THE PRESIDENTS AND VICE PRESIDENTS continued

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Executive Vice President for University
Academic Affairs

Nasser H. Paydar

Executive Vice President and Chancellor,
Indiana University-Purdue University
Indianapolis

Lauren K. Robel

Executive Vice President and Provost,
IU Bloomington

Fred H. Cate

Vice President for Research

G. Frederick Glass

Vice President and Director of
Intercollegiate Athletics

Jay L. Hess

Vice President for University Clinical
Affairs, and Dean & Walter J. Daly Professor,
IU School of Medicine

Thomas A. Morrison

Vice President for Capital Planning
and Facilities

Michael M. Sample

Vice President for Government Relations

John A. Sejdinaj

Vice President and Chief Financial Officer

Jacqueline A. Simmons

Vice President and General Counsel

William B. Stephan

Vice President for Engagement

Bradley C. Wheeler

Vice President for Information
Technology and Chief Information Officer

James C. Wimbush

Vice President for Diversity, Equity, and
Multicultural Affairs

David Zaret

Vice President for International Affairs

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Vicky L. Carwein

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University Fort Wayne

Kathryn Cruz-Uribe

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William J. Lowe

Chancellor, Indiana University Northwest (Gary)

Susan Sciame-Giesecke

Chancellor, Indiana University Kokomo

Ray Wallace

Chancellor, Indiana University
Southeast (New Albany)

OTHER OFFICERS AND SENIOR LEADERS

Karen H. Adams

President's Chief of Staff

J Thomas Forbes

Executive Director and CEO,
IU Alumni Association

Donald S. Lukes

Treasurer, Indiana University

Daniel C. Smith

President and CEO, IU Foundation



Additional copies of this report may be obtained from:

Office of the Vice President and
Chief Financial Officer
Bryan Hall 212
107 S. Indiana Avenue
Indiana University Bloomington, IN 47405-7000
<https://vpcfo.iu.edu/>

To print a PDF file of this report, go to <https://vpcfo.iu.edu/resources/consolidated-annual-financial-reports.html>

For additional information:

General Information

Vice President for Public Affairs and
Government Relations
Bryan Hall 300
107 S. Indiana Avenue
Bloomington, IN 47405-1211
<http://pagr.iu.edu/>

Financial Reporting

Associate Vice President and University Controller
Financial Management Services
Poplars 519
400 E. 7th Street
Indiana University
Bloomington, IN 47405-3085
<https://fms.iu.edu/>

Admissions

Vice Provost for Enrollment Management
Office of Admissions
300 N. Jordan Ave.
Indiana University
Bloomington, IN 47405-1106
<https://admissions.indiana.edu>

Gifts

Indiana University Foundation
Showalter House
P.O. Box 500
Bloomington, IN 47402-0500
<https://iufoundation.iu.edu/>

Grants

Vice President for Research
Bryan Hall 300
107 S. Indiana Avenue
Bloomington, IN 47405
<http://www.iu.edu/~vpr/contact.shtml>

Athletics

Athletics Media Relations
Simon Skjodt Assembly Hall
1001 E. 17th Street
Indiana University
Bloomington, IN 47408
<http://iuhoosiers.com>

Alumni

Alumni Association
Virgil T. DeVault Alumni Center
1000 E. 17th Street
Indiana University
Bloomington, IN 47408-1521
<http://alumni.iu.edu>



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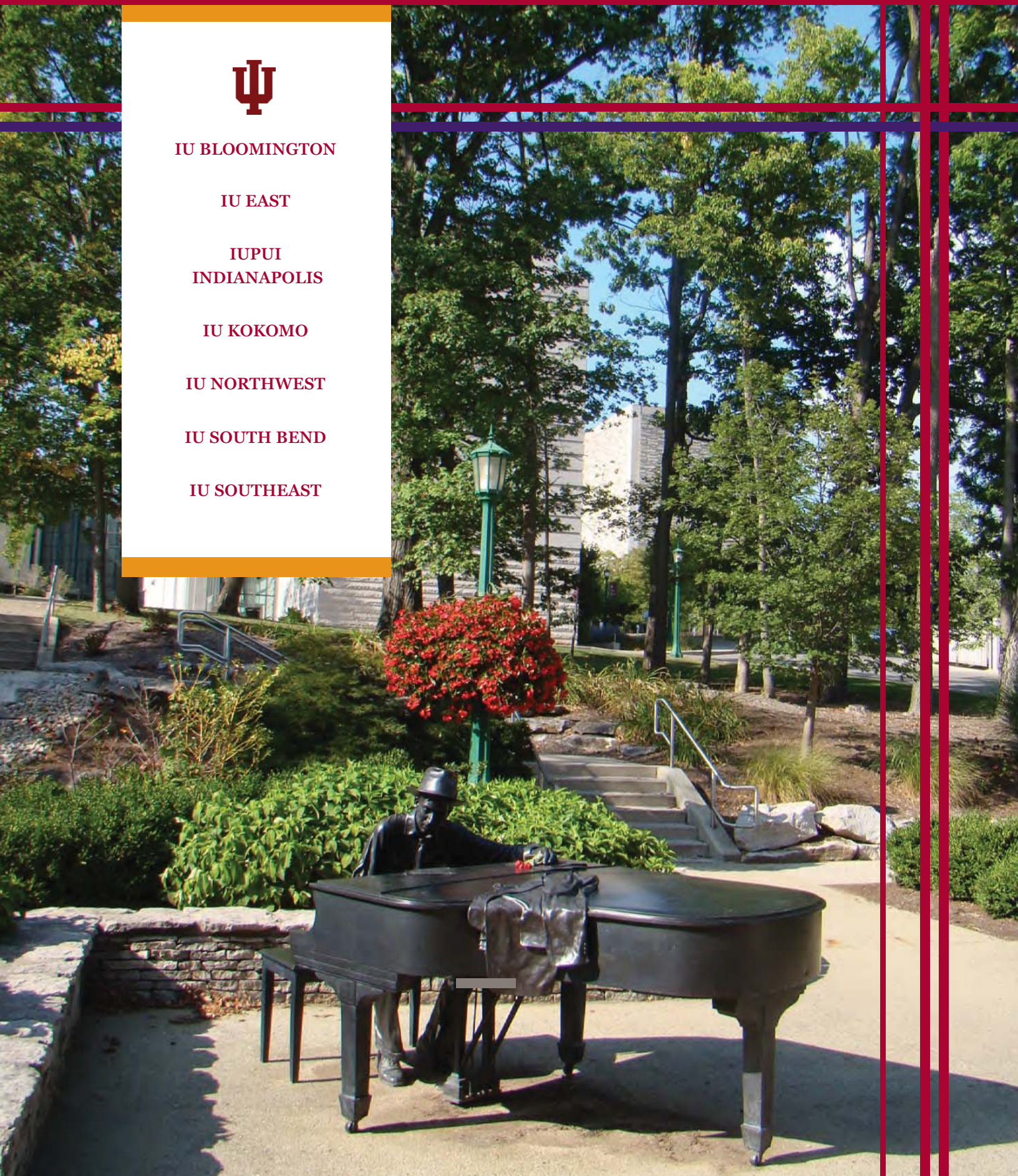
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FEDERAL SINGLE AUDIT REPORT

INDIANA UNIVERSITY

BLOOMINGTON, INDIANA

July 1, 2016 to June 30, 2017



FILED
03/20/2018

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SCHEDULE OF UNIVERSITY OFFICIALS

<u>Office</u>	<u>Official</u>	<u>Term</u>
University President	Michael A. McRobbie Ph.D.	07-01-16 to 06-30-18
Vice President and Chief Financial Officer	Joan Hagen (interim) John Sejdinaj	07-01-16 to 08-28-16 08-29-16 to 06-30-18
University Treasurer	Donald S. Lukes	07-01-16 to 06-30-18
Vice President for Research	Fred H. Cate	07-01-16 to 06-30-18
Associate Vice President Research Administration	Steven Martin	07-01-16 to 06-30-18
Chair of the Board of Trustees	(Vacant) James T. Morris Michael J. Mirro	07-01-16 to 08-11-16 08-12-16 to 08-10-17 08-11-17 to 06-30-18



STATE OF INDIANA
AN EQUAL OPPORTUNITY EMPLOYER

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INDEPENDENT AUDITOR'S REPORT ON SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

We have audited the financial statements of the business-type activities and the discretely presented component units of Indiana University (University), a component unit of the State of Indiana, as of and for the year ended June 30, 2017, and have issued our report thereon dated October 25, 2017, which contained unmodified opinions on those financial statements. Our audit was performed for the purpose of forming opinions on the financial statements as a whole. We have not performed any procedures with respect to the audited financial statements subsequent to October 25, 2017. Our report includes a reference to other auditors who audited the financial statements of the Indiana University Foundation, as described in our report on the University's financial statements.

The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by the Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. This information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditures of Federal Awards is fairly stated, in all material respects, in relation to the basic financial statements, as a whole.

Paul D. Joyce
Paul D. Joyce, CPA
State Examiner

October 25, 2017



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INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the business-type activities and the discretely presented component unit of Indiana University (University), a component unit of the State of Indiana, as of and for the year ended June 30, 2017, and the related notes to the financial statements, which collectively comprise the University's basic financial statements and have issued our report thereon dated October 25, 2017. Our report includes a reference to other auditors who audited the financial statements of the Indiana University Foundation (Foundation), as described in our report on the University's financial statements. The financial statements of the Foundation were not audited in accordance with *Government Auditing Standards*. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the University's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.


INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*
(Continued)

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the University's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.


Paul D. Joyce, CPA
State Examiner

October 25, 2017



INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL
PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

Report on Compliance for Each Major Federal Program

We have audited Indiana University's (University) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2017. The University's major federal programs are identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs.

Management's Responsibility

Management is responsible for compliance with Federal statutes, regulations, and the terms and conditions of its Federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2017.

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL
PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE
(Continued)


Report on Internal Control over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.


Paul D. Joyce, CPA
State Examiner

March 8, 2018

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS AND ACCOMPANYING NOTES

The Schedule of Expenditures of Federal Awards and accompanying notes presented were prepared by management of the University. The schedule and notes are presented as intended by the University.

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct - Pass Through / Program Title / CFDA / Pass Through Entity / Pass Through Entity Identifier	FEDERAL EXPENSES	SUBRECIPIENT EXPENDITURES
Research and Development Cluster		
Agency for International Development		
Agency for International Development		
Direct		
98.RD	\$ 126,614.00	\$ -
AID-OAA-F-16-00097		
	\$ 126,614.00	\$ -
Total	\$ 126,614.00	\$ -
	\$ 126,614.00	\$ -
Direct Total	\$ 126,614.00	\$ -
Agency for International Development Total	\$ 126,614.00	\$ -
Agency for International Development Total	\$ 126,614.00	\$ -
Corporation for National and Community Service		
Corporation for National and Community Service		
Pass Through		
AmeriCorps		
94.006	\$ 7,449.00	\$ -
INDIANA COMMISSION FOR HIGHER EDUCATION		
J22-16-C0430	\$ 593.00	\$ -
J22-16-C0431	\$ 535.00	\$ -
J22-16-C0434	\$ 702.00	\$ -
J-22-17-C0548	\$ 1,602.00	\$ -
J-22-17-C0549	\$ 1,075.00	\$ -
J-22-17-C0550	\$ 1,768.00	\$ -
J-22-17-C0551	\$ 528.00	\$ -
J-22-17-C0552	\$ 646.00	\$ -
INDIANA COMMISSION FOR HIGHER EDUCATION Total	\$ 7,449.00	\$ -
AmeriCorps Total	\$ 7,449.00	\$ -
Pass Through Total	\$ 7,449.00	\$ -
Corporation for National and Community Service Total	\$ 7,449.00	\$ -
Corporation for National and Community Service Total	\$ 7,449.00	\$ -

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Agriculture			
Department of Agriculture			
Direct			
10.RD		\$ 44.00	\$ -
13-JV-11242309-056			
		\$ 44.00	\$ -
Total		\$ 44.00	\$ -
		\$ 44.00	\$ -
Direct Total		\$ 44.00	\$ -
Department of Agriculture Total		\$ 44.00	\$ -
National Institute of Food and Agriculture			
Direct			
Agriculture and Food Research Initiative (AFRI)			
10.310		\$ 137,088.00	\$ -
		\$ 137,088.00	\$ -
Total		\$ 137,088.00	\$ -
		\$ 137,088.00	\$ -
Agriculture and Food Research Initiative (AFRI) Total		\$ 137,088.00	\$ -
Integrated Programs			
10.303		\$ 236,763.00	\$ 79,729.00
		\$ 236,763.00	\$ 79,729.00
Total		\$ 236,763.00	\$ 79,729.00
		\$ 236,763.00	\$ 79,729.00
Integrated Programs Total		\$ 236,763.00	\$ 79,729.00
Direct Total		\$ 373,851.00	\$ 79,729.00
Pass Through			
1890 Institution Capacity Building Grants			
10.216		\$ 36,108.00	\$ -
		\$ 36,108.00	\$ -
PRAIRIE VIEW A&M UNIVERSITY		\$ 36,108.00	\$ -
S150501		\$ 36,108.00	\$ -
PRAIRIE VIEW A&M UNIVERSITY Total		\$ 36,108.00	\$ -

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

1890 Institution Capacity Building Grants Total	\$	36,108.00	\$	-
 Agriculture and Food Research Initiative (AFRI) 10.310	\$	86,145.00	\$	-
 ARKANSAS STATE UNIVERSITY 0	\$	13,205.00	\$	-
ARKANSAS STATE UNIVERSITY Total	\$	13,205.00	\$	-
 OREGON STATE UNIVERSITY C0509A-A/2017-67003-26057	\$	32,283.00	\$	-
OREGON STATE UNIVERSITY Total	\$	32,283.00	\$	-
 UNIVERSITY OF NEVADA RENO UNR-15-49	\$	40,657.00	\$	-
UNIVERSITY OF NEVADA RENO Total	\$	40,657.00	\$	-
 Agriculture and Food Research Initiative (AFRI) Total	\$	86,145.00	\$	-
 Sustainable Agriculture Research and Education 10.215	\$	41,125.00	\$	9,607.00
 UNIVERSITY OF MINNESOTA H005722915	\$	41,125.00	\$	9,607.00
UNIVERSITY OF MINNESOTA Total	\$	41,125.00	\$	9,607.00
 Sustainable Agriculture Research and Education Total	\$	41,125.00	\$	9,607.00
 Pass Through Total	\$	163,378.00	\$	9,607.00
 National Institute of Food and Agriculture Total	\$	537,229.00	\$	89,336.00
 Economic Research Service Pass Through Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations 10.250	\$	21,884.00	\$	-
 PENNSYLVANIA STATE UNIVERSITY 5435-IU-USDA-0095	\$	21,884.00	\$	-
PENNSYLVANIA STATE UNIVERSITY Total	\$	21,884.00	\$	-
 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations Total	\$	21,884.00	\$	-
 Pass Through Total	\$	21,884.00	\$	-
 Economic Research Service Total	\$	21,884.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Forest Service			
Direct			
Forestry Research			
10.652	\$	60,306.00	\$ -
	\$	60,306.00	\$ -
Total	\$	60,306.00	\$ -
Forestry Research Total	\$	60,306.00	\$ -
Direct Total	\$	60,306.00	\$ -
Forest Service Total	\$	60,306.00	\$ -
Agricultural Marketing Service			
Pass Through			
Specialty Crop Block Grant Program - Farm Bill			
10.170	\$	59,409.00	\$ 22,314.00
INDIANA STATE DEPARTMENT OF AGRICULTURE			
A337-16-SCBG-15-002^0000000000000000000019177	\$	59,409.00	\$ 22,314.00
INDIANA STATE DEPARTMENT OF AGRICULTURE Total	\$	59,409.00	\$ 22,314.00
Specialty Crop Block Grant Program - Farm Bill Total	\$	59,409.00	\$ 22,314.00
Pass Through Total	\$	59,409.00	\$ 22,314.00
Agricultural Marketing Service Total	\$	59,409.00	\$ 22,314.00
Department of Agriculture Total	\$	678,872.00	\$ 111,650.00
Department of Commerce			
National Institute of Standards and Technology (nist)			
Direct			
Measurement and Engineering Research and Standards			
11.609	\$	660,887.00	\$ -
	\$	660,887.00	\$ -
Total	\$	660,887.00	\$ -
Measurement and Engineering Research and Standards Total	\$	660,887.00	\$ -
Direct Total	\$	660,887.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Pass Through				
Measurement and Engineering Research and Standards				
11.609	\$	4,461.00	\$	-
MICHIGAN TECHNOLOGICAL UNIVERSITY				
1702068Z1	\$	4,461.00	\$	-
<hr/>				
MICHIGAN TECHNOLOGICAL UNIVERSITY Total	\$	4,461.00	\$	-
Measurement and Engineering Research and Standards Total	\$	4,461.00	\$	-
Pass Through Total	\$	4,461.00	\$	-
National Institute of Standards and Technology (nist) Total	\$	665,348.00	\$	-
National Oceanic and Atmospheric Administration (noaa)				
Direct				
Applied Meteorological Research				
11.468	\$	43,549.00	\$	-
	\$	43,549.00	\$	-
<hr/>				
Total	\$	43,549.00	\$	-
Applied Meteorological Research Total	\$	43,549.00	\$	-
Direct Total	\$	43,549.00	\$	-
Pass Through				
Coastal Zone Management Administration Awards				
11.419	\$	2,794.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES				
19560	\$	2,794.00	\$	-
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INDIANA DEPARTMENT OF NATURAL RESOURCES Total	\$	2,794.00	\$	-
Coastal Zone Management Administration Awards Total	\$	2,794.00	\$	-
Pass Through Total	\$	2,794.00	\$	-
National Oceanic and Atmospheric Administration (noaa) Total	\$	46,343.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Economic Development Administration			
Direct			
Economic Development_Technical Assistance			
11.303	\$	339,220.00	\$ -
	\$	339,220.00	\$ -
Total	\$	339,220.00	\$ -
Economic Development_Technical Assistance Total	\$	339,220.00	\$ -
Direct Total	\$	339,220.00	\$ -
Economic Development Administration Total	\$	339,220.00	\$ -
Department of Commerce Total	\$	1,050,911.00	\$ -
Department of Defense			
Department of Defense			
Direct			
12.RD	\$	1,014,524.00	\$ 465,739.00
N00174-16-C-0016			
	\$	140,446.00	\$ -
Total	\$	140,446.00	\$ -
N00174-16-C-0024			
	\$	110,260.00	\$ -
Total	\$	110,260.00	\$ -
W81XWH-16-1-0230			
	\$	187,250.00	\$ -
Total	\$	187,250.00	\$ -
W912HQ-13-C-0019			
	\$	528,897.00	\$ 437,959.00
Total	\$	528,897.00	\$ 437,959.00
W912HZ-15-2-0030			
	\$	47,671.00	\$ 27,780.00
Total	\$	47,671.00	\$ 27,780.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	1,014,524.00	\$	465,739.00
Direct Total	\$	1,014,524.00	\$	465,739.00
Pass Through				
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools 12.556	\$	68,863.00	\$	-
PURDUE UNIVERSITY				
4104-76414/16088525	\$	68,863.00	\$	-
PURDUE UNIVERSITY Total	\$	68,863.00	\$	-
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools Total	\$	68,863.00	\$	-
12.RD				
40253181	\$	623,688.00	\$	-
GENERAL DYNAMICS LAND SYSTEMS				
40253181	\$	60,000.00	\$	-
GENERAL DYNAMICS LAND SYSTEMS Total	\$	60,000.00	\$	-
40278946	\$	2,363.00	\$	-
GENERAL DYNAMICS LAND SYSTEMS				
40278946	\$	2,363.00	\$	-
GENERAL DYNAMICS LAND SYSTEMS Total	\$	2,363.00	\$	-
1200791(8515)/W*1XWH-12-1-0588				
JOHNS HOPKINS UNIVERSITY				
1200791(8515)/W*1XWH-12-1-0588	\$	7,087.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	7,087.00	\$	-
27791-01/W81XWH-14-1-0141				
FOX CHASE CANCER CENTER				
27791-01/W81XWH-14-1-0141	\$	136,882.00	\$	-
FOX CHASE CANCER CENTER Total	\$	136,882.00	\$	-
35420-Z9190101				
UNIVERSITY OF MARYLAND				
35420-Z9190101	\$	42,314.00	\$	-
UNIVERSITY OF MARYLAND Total	\$	42,314.00	\$	-
6328/W9115R-10-D-0020-0005				
EXCET, INC.				
6328/W9115R-10-D-0020-0005	\$	77,124.00	\$	-
EXCET, INC. Total	\$	77,124.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

FA8650-12-C-7212 OBJECTVIDEO, INC. FA8650-12-C-7212	\$	(492.00)	\$	-
OBJECTVIDEO, INC. Total	\$	(492.00)	\$	-
LVI - 2015 LONGVIEW INTERNATIONAL 0	\$	76,917.00	\$	-
LONGVIEW INTERNATIONAL Total	\$	76,917.00	\$	-
S-114-005-003/FA8650-16-D-5403-TO-05 UES INC S-114-005-003/FA8650-16-D-5403-TO-05	\$	88,859.00	\$	-
UES INC Total	\$	88,859.00	\$	-
SR00001694/W81XWH-10-2-0134 JOHNS HOPKINS UNIVERSITY SR00001694/W81XWH-10-2-0134	\$	29,296.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	29,296.00	\$	-
W81XWH-09-2-0108 JOHNS HOPKINS UNIVERSITY W81XWH-09-2-0108	\$	67,912.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	67,912.00	\$	-
W81XWH-10-2-0090 JOHNS HOPKINS UNIVERSITY W81XWH-10-2-0090	\$	35,426.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	35,426.00	\$	-
	\$	623,688.00	\$	-
Pass Through Total	\$	692,551.00	\$	-
Department of Defense Total	\$	1,707,075.00	\$	465,739.00
Department of the Navy, Office of the Chief of Naval Research Direct Basic and Applied Scientific Research 12.300	\$	731,230.00	\$	19,714.00
Total	\$	731,230.00	\$	19,714.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Basic and Applied Scientific Research Total	\$	731,230.00	\$	19,714.00
Direct Total	\$	731,230.00	\$	19,714.00
Pass Through				
Basic and Applied Scientific Research				
12.300	\$	68,000.00	\$	-
UNIVERSITY OF MIAMI				
66651R PO#M177662	\$	47,073.00	\$	-
UNIVERSITY OF MIAMI Total	\$	47,073.00	\$	-
WRIGHT STATE UNIVERSITY				
670437-1/FA8650-16-2-6702	\$	20,927.00	\$	-
WRIGHT STATE UNIVERSITY Total	\$	20,927.00	\$	-
Basic and Applied Scientific Research Total	\$	68,000.00	\$	-
Pass Through Total	\$	68,000.00	\$	-
Department of the Navy, Office of the Chief of Naval Research Total	\$	799,230.00	\$	19,714.00
Office of the Secretary of Defense				
Pass Through				
Basic, Applied, and Advanced Research in Science and Engineering				
12.630	\$	308,997.00	\$	-
PENNSYLVANIA STATE UNIVERSITY				
4940-TIU-ARMY-0045	\$	308,997.00	\$	-
PENNSYLVANIA STATE UNIVERSITY Total	\$	308,997.00	\$	-
Basic, Applied, and Advanced Research in Science and Engineering Total	\$	308,997.00	\$	-
The Language Flagship Grants to Institutions of Higher Education				
12.550	\$	81,709.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION				
0054-IU-5-AM-280-PO4	\$	81,709.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	81,709.00	\$	-
The Language Flagship Grants to Institutions of Higher Education Total	\$	81,709.00	\$	-
Pass Through Total	\$	390,706.00	\$	-
Office of the Secretary of Defense Total	\$	390,706.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Advanced Research Projects Agency Pass Through Research and Technology Development 12.910	\$	124,670.00	\$	-
UNIVERSITY OF PENNSYLVANIA 570526	\$	82,550.00	\$	-
UNIVERSITY OF PENNSYLVANIA Total	\$	82,550.00	\$	-
UNIVERSITY OF WISCONSIN 473K395	\$	42,120.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	42,120.00	\$	-
Research and Technology Development Total	\$	124,670.00	\$	-
Pass Through Total	\$	124,670.00	\$	-
Advanced Research Projects Agency Total	\$	124,670.00	\$	-
U.S. Army Materiel Command Direct Basic Scientific Research 12.431	\$	2,152,804.00	\$	235,168.00
	\$	2,152,804.00	\$	235,168.00
Total	\$	2,152,804.00	\$	235,168.00
Basic Scientific Research Total	\$	2,152,804.00	\$	235,168.00
Direct Total	\$	2,152,804.00	\$	235,168.00
Pass Through Basic Scientific Research 12.431	\$	55,719.00	\$	-
PENNSYLVANIA STATE UNIVERSITY 5630-IU-ARO-0576	\$	4,233.00	\$	-
PENNSYLVANIA STATE UNIVERSITY Total	\$	4,233.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN 078449-15676^2015-06166-01	\$	51,486.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total	\$	51,486.00	\$	-
Basic Scientific Research Total	\$	55,719.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Pass Through Total		\$	55,719.00	\$	-
U.S. Army Materiel Command Total		\$	2,208,523.00	\$	235,168.00
U.S. Army Medical Command					
Direct					
Military Medical Research and Development					
12.420		\$	14,272,829.00	\$	7,540,490.00
		\$	14,272,829.00	\$	7,540,490.00
Total		\$	14,272,829.00	\$	7,540,490.00
Military Medical Research and Development Total		\$	14,272,829.00	\$	7,540,490.00
Direct Total		\$	14,272,829.00	\$	7,540,490.00
Pass Through					
Military Medical Research and Development					
12.420		\$	424,338.00	\$	-
JOHNS HOPKINS UNIVERSITY					
2002356190/W81XWH-10-2-0090		\$	113,010.00	\$	-
W81XW-10-2-0090		\$	3,951.00	\$	-
W81XWH-10-2-0090		\$	441.00	\$	-
W81XWH-12-1-0588		\$	5,642.00	\$	-
W81XWH-15-2-0067		\$	68,265.00	\$	-
W81XWH-15-2-0074		\$	614.00	\$	-
JOHNS HOPKINS UNIVERSITY Total		\$	191,923.00	\$	-
MASSACHUSETTS GENERAL HOSPITAL					
2229338/W81XWH-16-2-0038		\$	33,361.00	\$	-
MASSACHUSETTS GENERAL HOSPITAL Total		\$	33,361.00	\$	-
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU					
1819		\$	3,308.00	\$	-
1687 / W81XWH-12-2-0012		\$	7,332.00	\$	-
1819/W81XWH-13-1-0259		\$	14,759.00	\$	-
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU Total		\$	25,399.00	\$	-
PURDUE UNIVERSITY					
4104-60693		\$	9,573.00	\$	-
4104-60693/W81XWH-14-1-0042		\$	35,007.00	\$	-
4104-63800/W81XWH-14-1-0363		\$	31,143.00	\$	-
PURDUE UNIVERSITY Total		\$	75,723.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF ALABAMA BIRMINGHAM			
000422086-010/W81XWH-12-1-0155	\$	5,575.00	\$ -
000422086-SC010/W81XWH-12-1-0155	\$	43,664.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	49,239.00	\$ -
UNIVERSITY OF IOWA			
W000729284	\$	48,693.00	\$ -
UNIVERSITY OF IOWA Total	\$	48,693.00	\$ -
Military Medical Research and Development Total	\$	424,338.00	\$ -
Pass Through Total	\$	424,338.00	\$ -
U.S. Army Medical Command Total	\$	14,697,167.00	\$ 7,540,490.00
National Security Agency			
Direct			
Mathematical Sciences Grants Program			
12.901	\$	28,194.00	\$ -
Total	\$	28,194.00	\$ -
Mathematical Sciences Grants Program Total	\$	28,194.00	\$ -
Direct Total	\$	28,194.00	\$ -
National Security Agency Total	\$	28,194.00	\$ -
Department of the Air Force, Materiel Command			
Direct			
Air Force Defense Research Sciences Program			
12.800	\$	578,934.00	\$ 67,927.00
Total	\$	578,934.00	\$ 67,927.00
Air Force Defense Research Sciences Program Total	\$	578,934.00	\$ 67,927.00
Direct Total	\$	578,934.00	\$ 67,927.00
Department of the Air Force, Materiel Command Total	\$	578,934.00	\$ 67,927.00
Department of Defense Total	\$	20,534,499.00	\$ 8,329,038.00

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Education			
Department of Education			
Direct			
National Institute on Disability and Rehabilitation Research			
84.133	\$	(119,385.00)	\$ -
<hr/>			
Total	\$	(119,385.00)	\$ -
National Institute on Disability and Rehabilitation Research Total			
	\$	(119,385.00)	\$ -
Direct Total			
	\$	(119,385.00)	\$ -
Pass Through			
National Institute on Disability and Rehabilitation Research			
84.133	\$	(2,898.00)	\$ -
TIRR MEMORIAL HERMAN			
8100101-03	\$	(2,898.00)	\$ -
<hr/>			
TIRR MEMORIAL HERMAN Total	\$	(2,898.00)	\$ -
National Institute on Disability and Rehabilitation Research Total			
	\$	(2,898.00)	\$ -
84.RD	\$	230,878.00	\$ -
13-IU-3-1230			
CNA CORPORATION			
13-IU-3-1230	\$	5,585.00	\$ -
<hr/>			
CNA CORPORATION Total	\$	5,585.00	\$ -
2013RttT			
METROPOLITAN SCHOOL DISTRICT OF WARREN TOWNSHIP			
2013RttT	\$	56,990.00	\$ -
<hr/>			
METROPOLITAN SCHOOL DISTRICT OF WARREN TOWNSHIP Total	\$	56,990.00	\$ -
40214S04469			
MATHEMATICA POLICY RESEARCH INC			
40214S04469	\$	4,484.00	\$ -
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MATHEMATICA POLICY RESEARCH INC Total	\$	4,484.00	\$ -
A58-7-17DL-4062			
INDIANA DEPARTMENT OF EDUCATION			
A58-7-17DL-4062	\$	107,901.00	\$ -
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INDIANA DEPARTMENT OF EDUCATION Total	\$	107,901.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

A58-7-17DL-4063			
INDIANA DEPARTMENT OF EDUCATION			
A58-7-17DL-4063	\$	53,627.00	\$ -
INDIANA DEPARTMENT OF EDUCATION Total	\$	53,627.00	\$ -
Greene Education Services - 2014			
GREENE EDUCATION SERVICES			
0	\$	2,291.00	\$ -
GREENE EDUCATION SERVICES Total	\$	2,291.00	\$ -
	\$	230,878.00	\$ -
Pass Through Total	\$	227,980.00	\$ -
Department of Education Total	\$	108,595.00	\$ -
Office of Postsecondary Education			
Direct			
Overseas Programs - Doctoral Dissertation Research Abroad			
84.022	\$	99,585.00	\$ -
	\$	99,585.00	\$ -
Total	\$	99,585.00	\$ -
Overseas Programs - Doctoral Dissertation Research Abroad Total	\$	99,585.00	\$ -
Transition Programs for Students with Intellectual Disabilities into Higher Education			
84.407	\$	130,850.00	\$ 69,966.00
	\$	130,850.00	\$ 69,966.00
Total	\$	130,850.00	\$ 69,966.00
Transition Programs for Students with Intellectual Disabilities into Higher Education Total	\$	130,850.00	\$ 69,966.00
Direct Total	\$	230,435.00	\$ 69,966.00
Pass Through			
Transition Programs for Students with Intellectual Disabilities into Higher Education			
84.407	\$	44,741.00	\$ -
UNIVERSITY OF MASSACHUSETTS			
B000475767	\$	44,741.00	\$ -
UNIVERSITY OF MASSACHUSETTS Total	\$	44,741.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Transition Programs for Students with Intellectual Disabilities into Higher Education Total	\$	44,741.00	\$	-
Pass Through Total	\$	44,741.00	\$	-
Office of Postsecondary Education Total	\$	275,176.00	\$	69,966.00
Institute of Education Sciences				
Direct				
Research in Special Education				
84.324	\$	379,425.00	\$	153,046.00
	\$	379,425.00	\$	153,046.00
Total	\$	379,425.00	\$	153,046.00
Research in Special Education Total	\$	379,425.00	\$	153,046.00
Direct Total	\$	379,425.00	\$	153,046.00
Pass Through				
Education Research, Development and Dissemination				
84.305	\$	189,876.00	\$	-
EDUCATION DEVELOPMENT CENTER, INC.				
0	\$	23,180.00	\$	-
EDUCATION DEVELOPMENT CENTER, INC. Total	\$	23,180.00	\$	-
PURDUE UNIVERSITY				
4111-68681	\$	166,696.00	\$	-
PURDUE UNIVERSITY Total	\$	166,696.00	\$	-
Education Research, Development and Dissemination Total	\$	189,876.00	\$	-
Pass Through Total	\$	189,876.00	\$	-
Institute of Education Sciences Total	\$	569,301.00	\$	153,046.00
Office of Elementary and Secondary Education				
Pass Through				
English Language Acquisition State Grants				
84.365	\$	11,555.00	\$	-
UNIVERSITY NEBRASKA LINCOLN				
24-1708-0112-004/T365Z160351	\$	11,555.00	\$	-
UNIVERSITY NEBRASKA LINCOLN Total	\$	11,555.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

English Language Acquisition State Grants Total		\$	11,555.00	\$	-
Mathematics and Science Partnerships 84.366		\$	121,574.00	\$	-
INDIANA DEPARTMENT OF EDUCATION					
A58-6-16CI-3744		\$	95,565.00	\$	-
A58-6-16CI-3744^000000000000000000000019672		\$	26,009.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total		\$	121,574.00	\$	-
Mathematics and Science Partnerships Total		\$	121,574.00	\$	-
Supporting Effective Instruction State Grant (formerly Improving Teacher Quality State Grants) 84.367		\$	152,435.00	\$	-
INDIANA COMMISSION FOR HIGHER EDUCATION					
J22-16-C0462		\$	150,676.00	\$	-
INDIANA COMMISSION FOR HIGHER EDUCATION Total		\$	150,676.00	\$	-
NATIONAL WRITING PROJECT CORPORATION					
03-IN05-SEED2017-CRWPPD		\$	1,759.00	\$	-
NATIONAL WRITING PROJECT CORPORATION Total		\$	1,759.00	\$	-
Supporting Effective Instruction State Grant (formerly Improving Teacher Quality State Grants) Total		\$	152,435.00	\$	-
Twenty-First Century Community Learning Centers 84.287		\$	9,096.00	\$	-
CHRISTEL HOUSE ACADEMY					
0		\$	9,096.00	\$	-
CHRISTEL HOUSE ACADEMY Total		\$	9,096.00	\$	-
Twenty-First Century Community Learning Centers Total		\$	9,096.00	\$	-
Pass Through Total		\$	294,660.00	\$	-
Office of Elementary and Secondary Education Total		\$	294,660.00	\$	-
Office of Special Education and Rehabilitative Services Direct					
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities 84.325		\$	127,844.00	\$	-
		\$	127,844.00	\$	-

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Total		\$	127,844.00	\$	-
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities Total		\$	127,844.00	\$	-
Direct Total		\$	127,844.00	\$	-
Pass Through					
Rehabilitation Services_Vocational Rehabilitation Grants to States					
84.126		\$	343,486.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION					
VR1-6-53-16-VA-0203		\$	343,486.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total		\$	343,486.00	\$	-
Rehabilitation Services_Vocational Rehabilitation Grants to States Total		\$	343,486.00	\$	-
Special Education - State Personnel Development					
84.323		\$	664,357.00	\$	-
INDIANA DEPARTMENT OF EDUCATION					
A58-6-16DL-3351		\$	664,357.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total		\$	664,357.00	\$	-
Special Education - State Personnel Development Total		\$	664,357.00	\$	-
Pass Through Total		\$	1,007,843.00	\$	-
Office of Special Education and Rehabilitative Services Total		\$	1,135,687.00	\$	-
Office of Innovation and Improvement					
Direct					
Teacher Quality Partnership Grants					
84.336		\$	20,497.00	\$	-
Total		\$	20,497.00	\$	-
Teacher Quality Partnership Grants Total		\$	20,497.00	\$	-
Direct Total		\$	20,497.00	\$	-
Office of Innovation and Improvement Total		\$	20,497.00	\$	-
Department of Education Total		\$	2,403,916.00	\$	223,012.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Energy

Department of Energy

Direct

Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance

81.117 \$ 151,549.00 \$ -

Total \$ 151,549.00 \$ -

Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance Total \$ 151,549.00 \$ -

Office of Science Financial Assistance Program

81.049 \$ 4,051,920.00 \$ -

Total \$ 4,051,920.00 \$ -

Office of Science Financial Assistance Program Total \$ 4,051,920.00 \$ -

Renewable Energy Research and Development

81.087 \$ 116,164.00 \$ -

Total \$ 116,164.00 \$ -

Renewable Energy Research and Development Total \$ 116,164.00 \$ -

Stewardship Science Grant Program

81.112 \$ 158,215.00 \$ -

Total \$ 158,215.00 \$ -

Stewardship Science Grant Program Total \$ 158,215.00 \$ -

University Coal Research

81.057 \$ 30,418.00 \$ -

Total \$ 30,418.00 \$ -

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

University Coal Research Total	\$	30,418.00	\$	-
Direct Total	\$	4,508,266.00	\$	-
Pass Through				
Office of Science Financial Assistance Program				
81.049	\$	234,806.00	\$	-
ADELPHI TECHNOLOGY INC.				
0	\$	10,698.00	\$	-
DE-SC0009584	\$	90,573.00	\$	-
ADELPHI TECHNOLOGY INC. Total	\$	101,271.00	\$	-
UNIVERSITY OF CALIFORNIA, LOS ANGELES				
2095 G QA066	\$	7,258.00	\$	-
2095 G UA046	\$	67,383.00	\$	-
UNIVERSITY OF CALIFORNIA, LOS ANGELES Total	\$	74,641.00	\$	-
UNIVERSITY OF COLORADO				
1553194	\$	58,580.00	\$	-
UNIVERSITY OF COLORADO Total	\$	58,580.00	\$	-
UNIVERSITY OF ILLINOIS				
075940-16265	\$	314.00	\$	-
UNIVERSITY OF ILLINOIS Total	\$	314.00	\$	-
Office of Science Financial Assistance Program Total	\$	234,806.00	\$	-
Predictive Science Academic Alliance Program				
81.124	\$	285,632.00	\$	-
UNIVERSITY OF NOTRE DAME				
202199IU	\$	285,632.00	\$	-
UNIVERSITY OF NOTRE DAME Total	\$	285,632.00	\$	-
Predictive Science Academic Alliance Program Total	\$	285,632.00	\$	-
81.RD	\$	1,426,205.00	\$	-
95113				
BROOKHAVEN NATIONAL LABORATORY				
95113	\$	21,607.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total	\$	21,607.00	\$	-
155097				
SLAC NATIONAL ACCELERATOR LABORATORY				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

155097		\$	8,347.00	\$	-
SLAC NATIONAL ACCELERATOR LABORATORY Total		\$	8,347.00	\$	-
162766	BATTELLE ENERGY ALLIANCE., LLC				
162766		\$	16,210.00	\$	-
BATTELLE ENERGY ALLIANCE., LLC Total		\$	16,210.00	\$	-
190508	BROOKHAVEN NATIONAL LABORATORY				
190508		\$	47,862.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	47,862.00	\$	-
256190	BROOKHAVEN NATIONAL LABORATORY				
256190		\$	18,524.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	18,524.00	\$	-
258722	BATTELLE PACIFIC NORTHWEST LABORATORIES				
258722		\$	177,251.00	\$	-
BATTELLE PACIFIC NORTHWEST LABORATORIES Total		\$	177,251.00	\$	-
276798	BROOKHAVEN NATIONAL LABORATORY				
276798		\$	3,665.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	3,665.00	\$	-
297858	BROOKHAVEN NATIONAL LABORATORY				
297858		\$	54,261.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	54,261.00	\$	-
300284	BROOKHAVEN NATIONAL LABORATORY				
300284		\$	15,137.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	15,137.00	\$	-
308850	BROOKHAVEN NATIONAL LABORATORY				
308850		\$	85,350.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total		\$	85,350.00	\$	-
310838	BROOKHAVEN NATIONAL LABORATORY				
310838		\$	49,642.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

BROOKHAVEN NATIONAL LABORATORY Total	\$	49,642.00	\$	-
314164				
BATTELLE PACIFIC NORTHWEST LABORATORIES				
314164	\$	27,446.00	\$	-
BATTELLE PACIFIC NORTHWEST LABORATORIES Total	\$	27,446.00	\$	-
323541				
BROOKHAVEN NATIONAL LABORATORY				
323541	\$	71,273.00	\$	-
BROOKHAVEN NATIONAL LABORATORY Total	\$	71,273.00	\$	-
395672				
LOS ALAMOS NATIONAL LABORATORY				
395672	\$	197,673.00	\$	-
LOS ALAMOS NATIONAL LABORATORY Total	\$	197,673.00	\$	-
1401453				
SANDIA NATIONAL LABORATORY				
1401453	\$	37,610.00	\$	-
SANDIA NATIONAL LABORATORY Total	\$	37,610.00	\$	-
1703487				
SANDIA NATIONAL LABORATORY				
1703487	\$	30,652.00	\$	-
SANDIA NATIONAL LABORATORY Total	\$	30,652.00	\$	-
7068666				
LAWRENCE BERKELEY NATIONAL LABORATORY				
7068666	\$	205,300.00	\$	-
LAWRENCE BERKELEY NATIONAL LABORATORY Total	\$	205,300.00	\$	-
4000052291				
UT-BATTELLE LLC				
4000052291	\$	76,000.00	\$	-
UT-BATTELLE LLC Total	\$	76,000.00	\$	-
4000069723				
OAK RIDGE NATIONAL LABORATORY				
4000069723	\$	27,913.00	\$	-
OAK RIDGE NATIONAL LABORATORY Total	\$	27,913.00	\$	-
4000136502				
UT-BATTELLE LLC				
4000136502	\$	106,719.00	\$	-
UT-BATTELLE LLC Total	\$	106,719.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

4000149074				
UT-BATTELLE LLC				
4000149074		\$	63,833.00	\$ -
UT-BATTELLE LLC Total		\$	63,833.00	\$ -
13-C0937400				
THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY				
13-C0937400		\$	34,504.00	\$ -
THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY Total		\$	34,504.00	\$ -
13C0937500				
THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY				
13C0937500		\$	120,433.00	\$ -
THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY Total		\$	120,433.00	\$ -
3F-31782				
ARGONNE NATIONAL LABORATORY				
3F-31782		\$	(71,007.00)	\$ -
ARGONNE NATIONAL LABORATORY Total		\$	(71,007.00)	\$ -
		\$	1,426,205.00	\$ -
Pass Through Total		\$	1,946,643.00	\$ -
Department of Energy Total		\$	6,454,909.00	\$ -
Department of Energy Total		\$	6,454,909.00	\$ -
Department of Health and Human Services				
Department of Health and Human Services				
Direct				
93.RD		\$	16,340.00	\$ -
HHSN275201500008I				
		\$	3,251.00	\$ -
Total		\$	3,251.00	\$ -
HHSN276201500744P				
		\$	13,089.00	\$ -
Total		\$	13,089.00	\$ -
		\$	16,340.00	\$ -

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct Total	\$	16,340.00	\$	-
 Pass Through				
93.RD	\$	586,221.00	\$	-
1400301A				
UNIVERSITY OF MARYLAND				
1400301A	\$	7,627.00	\$	-
UNIVERSITY OF MARYLAND Total	\$	7,627.00	\$	-
 199651/HHSN271201200006I				
DUKE UNIVERSITY				
199651/HHSN271201200006I	\$	191,330.00	\$	-
DUKE UNIVERSITY Total	\$	191,330.00	\$	-
 GSK - 2015				
GLAXO SMITH KLINE				
0	\$	182,973.00	\$	-
GLAXO SMITH KLINE Total	\$	182,973.00	\$	-
 HHSN2682015000247A				
REGENSTRIEF INSTITUTE, INC.				
HHSN2682015000247A	\$	13,280.00	\$	-
REGENSTRIEF INSTITUTE, INC. Total	\$	13,280.00	\$	-
 HHSN-275201000003I				
DUKE UNIVERSITY				
HHSN-275201000003I	\$	293.00	\$	-
DUKE UNIVERSITY Total	\$	293.00	\$	-
 K00189100-00-S01/HHSN261201600064C				
KITWARE INC				
K00189100-00-S01/HHSN261201600064C	\$	38,052.00	\$	-
KITWARE INC Total	\$	38,052.00	\$	-
 s6020^6020.02				
WESTAT				
s6020^6020.02	\$	106,520.00	\$	-
WESTAT Total	\$	106,520.00	\$	-
 s6020^6020.05				
WESTAT				
s6020^6020.05	\$	25,386.00	\$	-
WESTAT Total	\$	25,386.00	\$	-
 VRE-IU-092016				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

OPENBIOME			
VRE-IU-092016	\$	20,760.00	\$ -
OPENBIOME Total	\$	20,760.00	\$ -
	\$	586,221.00	\$ -
Pass Through Total	\$	586,221.00	\$ -
Department of Health and Human Services Total	\$	602,561.00	\$ -
Health Resources and Services Administration			
Direct			
Emergency Medical Services for Children			
93.127	\$	101,784.00	\$ 84,683.00
	\$	101,784.00	\$ 84,683.00
Total	\$	101,784.00	\$ 84,683.00
Emergency Medical Services for Children Total	\$	101,784.00	\$ 84,683.00
Direct Total	\$	101,784.00	\$ 84,683.00
Pass Through			
Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program			
93.505	\$	296,774.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH			
13893	\$	189,593.00	\$ -
A70-2-069498, MIECH 200-1	\$	81,732.00	\$ -
A70-5-069792 (ACAFO FY14)	\$	8,221.00	\$ -
A70-5-069793 (MIECHV)	\$	17,228.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	296,774.00	\$ -
Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program Total	\$	296,774.00	\$ -
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease			
93.918	\$	3,102.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY			
H76HA00112	\$	3,102.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	3,102.00	\$ -
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease Total	\$	3,102.00	\$ -
HIV-Related Training and Technical Assistance			

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

93.145	\$	24,740.00	\$	-
ESKENAZI HEALTH U1OHA29293	\$	15,112.00	\$	-
ESKENAZI HEALTH Total	\$	15,112.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY 068475000001/6U1OHA29293-02-01	\$	9,138.00	\$	-
2015-04958-09-00	\$	490.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	9,628.00	\$	-
HIV-Related Training and Technical Assistance Total	\$	24,740.00	\$	-
Maternal and Child Health Services Block Grant to the States 93.994	\$	264,624.00	\$	16,983.00
INDIANA STATE DEPARTMENT OF HEALTH 14488	\$	99,045.00	\$	-
A70-5-069789 (MCH)^0000000000000000000014241	\$	165,579.00	\$	16,983.00
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	264,624.00	\$	16,983.00
Maternal and Child Health Services Block Grant to the States Total	\$	264,624.00	\$	16,983.00
Pass Through Total	\$	589,240.00	\$	16,983.00
Health Resources and Services Administration Total	\$	691,024.00	\$	101,666.00
National Institutes of Health Direct Aging Research 93.866	\$	10,612,885.00	\$	1,568,296.00
Total	\$	10,612,885.00	\$	1,568,296.00
Aging Research Total	\$	10,612,885.00	\$	1,568,296.00
Alcohol Research Programs 93.273	\$	10,324,986.00	\$	494,755.00
Total	\$	10,324,986.00	\$	494,755.00
Alcohol Research Programs Total	\$	10,324,986.00	\$	494,755.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Allergy and Infectious Diseases Research 93.855	\$	15,321,100.00	\$	2,576,972.00
		\$	15,321,100.00	\$
Total		\$	15,321,100.00	\$
Allergy and Infectious Diseases Research Total		\$	15,321,100.00	\$
Arthritis, Musculoskeletal and Skin Diseases Research 93.846	\$	4,639,793.00	\$	216,651.00
		\$	4,639,793.00	\$
Total		\$	4,639,793.00	\$
Arthritis, Musculoskeletal and Skin Diseases Research Total		\$	4,639,793.00	\$
Biomedical Research and Research Training 93.859	\$	14,401,720.00	\$	830,454.00
		\$	14,401,720.00	\$
Total		\$	14,401,720.00	\$
Biomedical Research and Research Training Total		\$	14,401,720.00	\$
Blood Diseases and Resources Research 93.839	\$	1,627,781.00	\$	-
		\$	1,627,781.00	\$
Total		\$	1,627,781.00	\$
Blood Diseases and Resources Research Total		\$	1,627,781.00	\$
Cancer Biology Research 93.396	\$	3,421,901.00	\$	174,957.00
		\$	3,421,901.00	\$
Total		\$	3,421,901.00	\$
Cancer Biology Research Total		\$	3,421,901.00	\$

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Cancer Cause and Prevention Research 93.393		\$	3,688,779.00	\$	1,201,361.00
		\$	3,688,779.00	\$	1,201,361.00
Total		\$	3,688,779.00	\$	1,201,361.00
Cancer Cause and Prevention Research Total		\$	3,688,779.00	\$	1,201,361.00
Cancer Centers Support Grants 93.397		\$	5,548,714.00	\$	2,287,899.00
		\$	5,548,714.00	\$	2,287,899.00
Total		\$	5,548,714.00	\$	2,287,899.00
Cancer Centers Support Grants Total		\$	5,548,714.00	\$	2,287,899.00
Cancer Detection and Diagnosis Research 93.394		\$	511,341.00	\$	137,926.00
		\$	511,341.00	\$	137,926.00
Total		\$	511,341.00	\$	137,926.00
Cancer Detection and Diagnosis Research Total		\$	511,341.00	\$	137,926.00
Cancer Research Manpower 93.398		\$	722,227.00	\$	5,252.00
		\$	722,227.00	\$	5,252.00
Total		\$	722,227.00	\$	5,252.00
Cancer Research Manpower Total		\$	722,227.00	\$	5,252.00
Cancer Treatment Research 93.395		\$	4,088,113.00	\$	502,057.00
		\$	4,088,113.00	\$	502,057.00
Total		\$	4,088,113.00	\$	502,057.00
Cancer Treatment Research Total		\$	4,088,113.00	\$	502,057.00
Cardiovascular Diseases Research					

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

93.837	\$	14,369,894.00	\$	4,644,601.00
		\$ 14,369,894.00		\$ 4,644,601.00
Total	\$	14,369,894.00	\$	4,644,601.00
Cardiovascular Diseases Research Total				
		\$ 14,369,894.00		\$ 4,644,601.00
Child Health and Human Development Extramural Research 93.865		\$ 7,898,568.00		\$ 2,862,218.00
		\$ 7,898,568.00		\$ 2,862,218.00
Total	\$	7,898,568.00	\$	2,862,218.00
Child Health and Human Development Extramural Research Total				
		\$ 7,898,568.00		\$ 2,862,218.00
Diabetes, Digestive, and Kidney Diseases Extramural Research 93.847		\$ 13,990,189.00		\$ 1,347,266.00
		\$ 13,990,189.00		\$ 1,347,266.00
Total	\$	13,990,189.00	\$	1,347,266.00
Diabetes, Digestive, and Kidney Diseases Extramural Research Total				
		\$ 13,990,189.00		\$ 1,347,266.00
Discovery and Applied Research for Technological Innovations to Improve Human Health 93.286		\$ 727,020.00		\$ 243,328.00
		\$ 727,020.00		\$ 243,328.00
Total	\$	727,020.00	\$	243,328.00
Discovery and Applied Research for Technological Innovations to Improve Human Health Total				
		\$ 727,020.00		\$ 243,328.00
Drug Abuse and Addiction Research Programs 93.279		\$ 4,088,072.00		\$ 175,617.00
		\$ 4,088,072.00		\$ 175,617.00
Total	\$	4,088,072.00	\$	175,617.00
Drug Abuse and Addiction Research Programs Total				
		\$ 4,088,072.00		\$ 175,617.00
Environmental Health 93.113		\$ 1,881,399.00		\$ 328,442.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	1,881,399.00	\$	328,442.00
Total	\$	1,881,399.00	\$	328,442.00
Environmental Health Total	\$	1,881,399.00	\$	328,442.00
Extramural Research Programs in the Neurosciences and Neurological Disorders 93.853	\$	5,977,073.00	\$	809,013.00
	\$	5,977,073.00	\$	809,013.00
Total	\$	5,977,073.00	\$	809,013.00
Extramural Research Programs in the Neurosciences and Neurological Disorders Total	\$	5,977,073.00	\$	809,013.00
Human Genome Research 93.172	\$	1,259,957.00	\$	84,097.00
	\$	1,259,957.00	\$	84,097.00
Total	\$	1,259,957.00	\$	84,097.00
Human Genome Research Total	\$	1,259,957.00	\$	84,097.00
International Research and Research Training 93.989	\$	293,961.00	\$	178,583.00
	\$	293,961.00	\$	178,583.00
Total	\$	293,961.00	\$	178,583.00
International Research and Research Training Total	\$	293,961.00	\$	178,583.00
Lung Diseases Research 93.838	\$	4,109,634.00	\$	544,280.00
	\$	4,109,634.00	\$	544,280.00
Total	\$	4,109,634.00	\$	544,280.00
Lung Diseases Research Total	\$	4,109,634.00	\$	544,280.00
Medical Library Assistance 93.879	\$	931,433.00	\$	272,746.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	931,433.00	\$	272,746.00
Total	\$	931,433.00	\$	272,746.00
Medical Library Assistance Total	\$	931,433.00	\$	272,746.00
Mental Health Research Grants 93.242	\$	4,037,024.00	\$	987,725.00
	\$	4,037,024.00	\$	987,725.00
Total	\$	4,037,024.00	\$	987,725.00
Mental Health Research Grants Total	\$	4,037,024.00	\$	987,725.00
Minority Health and Health Disparities Research 93.307	\$	388,863.00	\$	127,992.00
	\$	388,863.00	\$	127,992.00
Total	\$	388,863.00	\$	127,992.00
Minority Health and Health Disparities Research Total	\$	388,863.00	\$	127,992.00
National Center for Advancing Translational Sciences 93.350	\$	6,260,802.00	\$	2,505,186.00
	\$	6,260,802.00	\$	2,505,186.00
Total	\$	6,260,802.00	\$	2,505,186.00
National Center for Advancing Translational Sciences Total	\$	6,260,802.00	\$	2,505,186.00
NIEHS Hazardous Waste Worker Health and Safety Training 93.142	\$	276,425.00	\$	133,195.00
	\$	276,425.00	\$	133,195.00
Total	\$	276,425.00	\$	133,195.00
NIEHS Hazardous Waste Worker Health and Safety Training Total	\$	276,425.00	\$	133,195.00
Nursing Research 93.361	\$	1,779,632.00	\$	162,549.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	1,779,632.00	\$	162,549.00
Total	\$	1,779,632.00	\$	162,549.00
Nursing Research Total	\$	1,779,632.00	\$	162,549.00
Oral Diseases and Disorders Research 93.121	\$	651,905.00	\$	-
	\$	651,905.00	\$	-
Total	\$	651,905.00	\$	-
Oral Diseases and Disorders Research Total	\$	651,905.00	\$	-
Research and Training in Complementary and Integrative Health 93.213	\$	198,251.00	\$	(92,678.00)
	\$	198,251.00	\$	(92,678.00)
Total	\$	198,251.00	\$	(92,678.00)
Research and Training in Complementary and Integrative Health Total	\$	198,251.00	\$	(92,678.00)
Research Infrastructure Programs 93.351	\$	3,991,528.00	\$	-
	\$	3,991,528.00	\$	-
Total	\$	3,991,528.00	\$	-
Research Infrastructure Programs Total	\$	3,991,528.00	\$	-
Research Related to Deafness and Communication Disorders 93.173	\$	2,560,906.00	\$	293,071.00
	\$	2,560,906.00	\$	293,071.00
Total	\$	2,560,906.00	\$	293,071.00
Research Related to Deafness and Communication Disorders Total	\$	2,560,906.00	\$	293,071.00
Trans-NIH Research Support 93.310	\$	1,744,045.00	\$	279,889.00
	\$	1,744,045.00	\$	279,889.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Total		\$	1,744,045.00	\$	279,889.00
Trans-NIH Research Support Total		\$	1,744,045.00	\$	279,889.00
Vision Research 93.867		\$	6,729,005.00	\$	654,089.00
		\$	6,729,005.00	\$	654,089.00
Total		\$	6,729,005.00	\$	654,089.00
Vision Research Total		\$	6,729,005.00	\$	654,089.00
Direct Total		\$	159,054,926.00	\$	26,537,789.00
Pass Through					
Aging Research 93.866		\$	1,786,345.00	\$	-
ARKLEY BIOTECH LLC					
4R44AG049540-02		\$	83,016.00	\$	-
ARKLEY BIOTECH LLC Total		\$	83,016.00	\$	-
BETH ISRAEL DEACONESS MEDICAL CENTER					
01028716/R01AG030618		\$	16,473.00	\$	-
BETH ISRAEL DEACONESS MEDICAL CENTER Total		\$	16,473.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA					
3200590222		\$	15,762.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA Total		\$	15,762.00	\$	-
COLUMBIA UNIVERSITY					
GG010502-01/R01AG041797		\$	38,280.00	\$	-
COLUMBIA UNIVERSITY Total		\$	38,280.00	\$	-
DUKE UNIVERSITY					
2034215/1R01AG046171-01		\$	59,978.00	\$	-
DUKE UNIVERSITY Total		\$	59,978.00	\$	-
NATIONAL BUREAU OF ECONOMIC RESEARCH					
33-4051-Indiana		\$	125,255.00	\$	-
NATIONAL BUREAU OF ECONOMIC RESEARCH Total		\$	125,255.00	\$	-
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU					
1581/5U01AG024904		\$	104,534.00	\$	-
WEI2015-13/U19AG024904		\$	69,175.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU Total	\$	173,709.00	\$	-
NORTHWESTERN UNIVERSITY 60044416 IU/1R01AG049924	\$	15,118.00	\$	-
NORTHWESTERN UNIVERSITY Total	\$	15,118.00	\$	-
PROVAIDYA, LLC R41AG053117	\$	62,849.00	\$	-
PROVAIDYA, LLC Total	\$	62,849.00	\$	-
PURDUE UNIVERSITY 4102-62335	\$	1.00	\$	-
5K23AG044440-04/4102-61061	\$	27,818.00	\$	-
PURDUE UNIVERSITY Total	\$	27,819.00	\$	-
STATE UNIVERSITY OF NEW YORK 230-1137742-77320/7R03AG050856-03	\$	10,100.00	\$	-
STATE UNIVERSITY OF NEW YORK Total	\$	10,100.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN DIEGO 78282931/5U19AG010483	\$	14,340.00	\$	-
80808787/5U19AG010483-25	\$	977.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN DIEGO Total	\$	15,317.00	\$	-
UNIVERSITY OF MISSOURI-KANSAS CITY 0070926-00055003	\$	388,854.00	\$	-
UNIVERSITY OF MISSOURI-KANSAS CITY Total	\$	388,854.00	\$	-
UNIVERSITY OF PENNSYLVANIA 566914/2U01AG032984-06	\$	52,367.00	\$	-
568933/10052383/19531/U54AG052427	\$	46,884.00	\$	-
571185/5U54AG052427-02	\$	12,801.00	\$	-
571400/U01AG032984	\$	11,417.00	\$	-
UNIVERSITY OF PENNSYLVANIA Total	\$	123,469.00	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA 80649017	\$	51,270.00	\$	-
79634934/U19AG024904	\$	42,667.00	\$	-
CTAADNI037	\$	104,419.00	\$	-
CTAINI037/WFUHS110858USC/RF1AG041845	\$	46,977.00	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA Total	\$	245,333.00	\$	-
UNIVERSITY OF WASHINGTON UWSC7722/5U01AG016976-18	\$	4,039.00	\$	-
UWSC7918/R01AG042437	\$	88,093.00	\$	-
UWSC9729/2R01AG02972-06A1	\$	17,680.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF WASHINGTON Total	\$	109,812.00	\$	-
VANDERBILT UNIVERSITY MEDICAL CENTER				
VUMC56814/R01AG047992	\$	43,986.00	\$	-
VANDERBILT UNIVERSITY MEDICAL CENTER Total	\$	43,986.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS				
WU-14-204-MOD-5/4U01AG042791-04	\$	53,962.00	\$	-
WU-15-175	\$	81,516.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS Total	\$	135,478.00	\$	-
WRIGHT STATE UNIVERSITY				
669817/R01AG048946	\$	95,737.00	\$	-
WRIGHT STATE UNIVERSITY Total	\$	95,737.00	\$	-
Aging Research Total	\$	1,786,345.00	\$	-
Alcohol Research Programs				
93.273	\$	1,728,500.00	\$	-
BROWN UNIVERSITY				
480	\$	3,579.00	\$	-
BROWN UNIVERSITY Total	\$	3,579.00	\$	-
PUBLIC HEALTH INSTITUTE				
00397/P50AA005595	\$	11,904.00	\$	-
PUBLIC HEALTH INSTITUTE Total	\$	11,904.00	\$	-
RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK				
1009189-72372	\$	304,528.00	\$	-
1009189-76390/5U10AA008401-28	\$	1,123,582.00	\$	-
69157/2U10AA008401-26	\$	(2,654.00)	\$	-
RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK Total	\$	1,425,456.00	\$	-
SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION				
53253MP1660 7815 211	\$	(634.00)	\$	-
53253N P1660 7815 211/4U24AA014811-13 REVISED	\$	39,000.00	\$	-
53253NP16607815211/U24AA014811	\$	123,063.00	\$	-
SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION Total	\$	161,429.00	\$	-
SO CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION				
MT11079-IND-01	\$	13,167.00	\$	-
MT11079-IND-09/U01AA018389	\$	37,188.00	\$	-
SO CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION Total	\$	50,355.00	\$	-
STATE UNIVERSITY OF NEW YORK				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

69157/U10AA008401	\$	1,504.00	\$	-
STATE UNIVERSITY OF NEW YORK Total	\$	1,504.00	\$	-
UNIVERSITY OF IOWA W000621908^1001347202	\$	13,911.00	\$	-
UNIVERSITY OF IOWA Total	\$	13,911.00	\$	-
UNIVERSITY OF WASHINGTON UWSC6875 (747944)	\$	9,046.00	\$	-
UNIVERSITY OF WASHINGTON Total	\$	9,046.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY PD303496-SC105173	\$	51,316.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY Total	\$	51,316.00	\$	-
Alcohol Research Programs Total	\$	1,728,500.00	\$	-
Allergy and Infectious Diseases Research 93.855	\$	1,432,840.00	\$	-
BARCELONA CENTRE FOR INTERNATIONAL HEALTH RESEARCH MAL067 MVI	\$	(104.00)	\$	-
BARCELONA CENTRE FOR INTERNATIONAL HEALTH RESEARCH Total	\$	(104.00)	\$	-
BENAROYA RESEARCH INSTITUTE AT VIRGINIA MASON FY16ITN107	\$	30,863.00	\$	-
FY16ITN107/5UM1AI09565-03	\$	3,352.00	\$	-
FY16ITN181/5UM1AI109565-03	\$	65,823.00	\$	-
FY17ITN107/UM1AI109565	\$	1,856.00	\$	-
FY17ITN181/5UM1AI109565-04	\$	18,419.00	\$	-
BENAROYA RESEARCH INSTITUTE AT VIRGINIA MASON Total	\$	120,313.00	\$	-
BOLDER BIOTECHNOLOGY, INC. U01AI107340-01	\$	755,508.00	\$	-
BOLDER BIOTECHNOLOGY, INC. Total	\$	755,508.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL 115077/R01AI123001	\$	31.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL Total	\$	31.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI 137646/4R01AI104709-04	\$	83,003.00	\$	-
137646/R01AI104709	\$	49,711.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	132,714.00	\$	-
CINCINNATI CHILDRENS HOSPITAL MEDICAL CENTER				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

135406/1U54AI117804-01	\$	2,404.00	\$	-
135408/1U54AI117804-01	\$	2,794.00	\$	-
135409/1U54AI117804-01	\$	1,022.00	\$	-
CINCINNATI CHILDRENS HOSPITAL MEDICAL CENTER Total	\$	6,220.00	\$	-
CINCINNATI CHILDREN'S HOSPITAL MEDICAL CENTER				
135406/U54AI117804	\$	3,874.00	\$	-
135408/U54AI117804	\$	3,874.00	\$	-
CINCINNATI CHILDREN'S HOSPITAL MEDICAL CENTER Total	\$	7,748.00	\$	-
CLEMSON UNIVERSITY				
1816-209-2010809/5R21AI108287-02	\$	22,319.00	\$	-
CLEMSON UNIVERSITY Total	\$	22,319.00	\$	-
COLUMBIA UNIVERSITY				
2(GG007638)5R33AI098654-04	\$	(2,648.00)	\$	-
COLUMBIA UNIVERSITY Total	\$	(2,648.00)	\$	-
INSTITUTO DE INVESTIGACIONES BIOTECNOLOGICAS				
1R01AI129807-01	\$	4,012.00	\$	-
INSTITUTO DE INVESTIGACIONES BIOTECNOLOGICAS Total	\$	4,012.00	\$	-
JOHNS HOPKINS UNIVERSITY				
2002939830/R01AI102710	\$	33,327.00	\$	-
LDR 01/5UM1AI068632	\$	1,556.00	\$	-
LDR01	\$	2,033.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	36,916.00	\$	-
LENIMA FIELD DIAGNOSTICS LLC				
1R41AI120445-INDNA	\$	6,520.00	\$	-
LENIMA FIELD DIAGNOSTICS LLC Total	\$	6,520.00	\$	-
MAYO CLINIC, ROCHESTER				
IND-216758/R21AI119612	\$	23,403.00	\$	-
MAYO CLINIC, ROCHESTER Total	\$	23,403.00	\$	-
NEW YORK UNIVERSITY				
16-A0-00-006199-01/R33AI098654	\$	13,658.00	\$	-
NEW YORK UNIVERSITY Total	\$	13,658.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM				
000503356-SP002-SC001	\$	(300.00)	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	(300.00)	\$	-
UNIVERSITY OF MICHIGAN				
3002993472/R01AI094563	\$	24,044.00	\$	-

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF MICHIGAN Total	\$	24,044.00	\$	-
UNIVERSITY OF NOTRE DAME 202489IU/1R01AI108884-01A1	\$	72,828.00	\$	-
UNIVERSITY OF NOTRE DAME Total	\$	72,828.00	\$	-
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER HOUSTON 0012439/7R01AI121197-02	\$	17,823.00	\$	-
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER HOUSTON Total	\$	17,823.00	\$	-
UNIVERSITY OF TEXAS MEDICAL BRANCH GALVESTON 16-021	\$	51,568.00	\$	-
UNIVERSITY OF TEXAS MEDICAL BRANCH GALVESTON Total	\$	51,568.00	\$	-
UNIVERSITY SOUTH FLORIDA 7920-1007-00-B/1R56AI124682	\$	109,768.00	\$	-
UNIVERSITY SOUTH FLORIDA Total	\$	109,768.00	\$	-
VANDERBILT UNIVERSITY VUMC 58450/R24AI124872	\$	5,206.00	\$	-
VUMC58450/R24AI124872	\$	17,543.00	\$	-
VANDERBILT UNIVERSITY Total	\$	22,749.00	\$	-
YALE SCHOOL OF MEDICINE M16A12378 (A10555)	\$	7,750.00	\$	-
YALE SCHOOL OF MEDICINE Total	\$	7,750.00	\$	-
Allergy and Infectious Diseases Research Total	\$	1,432,840.00	\$	-
Arthritis, Musculoskeletal and Skin Diseases Research 93.846	\$	154,980.00	\$	-
CHILDREN'S HOSPITAL BOSTON RSTFE0000697314/R01AR060359	\$	39,792.00	\$	-
CHILDREN'S HOSPITAL BOSTON Total	\$	39,792.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI 134737/5P01AR048929-10	\$	2,707.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	2,707.00	\$	-
CHILDREN'S MERCY HOSPITALS & CLINICS (KANSAS CITY) 11-0013 / R01AR061513-01	\$	208.00	\$	-
CHILDREN'S MERCY HOSPITALS & CLINICS (KANSAS CITY) Total	\$	208.00	\$	-
JOHNS HOPKINS UNIVERSITY R01AR064066	\$	5,187.00	\$	-

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

JOHNS HOPKINS UNIVERSITY Total	\$	5,187.00	\$	-
PURDUE UNIVERSITY				
4102-61040	\$	(19,325.00)	\$	-
PURDUE UNIVERSITY Total	\$	(19,325.00)	\$	-
SOUTHWEST RESEARCH INSTITUTE				
1418GC002/4R01AR064244	\$	28,915.00	\$	-
SOUTHWEST RESEARCH INSTITUTE Total	\$	28,915.00	\$	-
UNIVERSITY OF CALIFORNIA, DAVIS				
201301098-02/ 5R01AR064255-03	\$	32,294.00	\$	-
201701072-01/7R01AR065398-04	\$	44,922.00	\$	-
UNIVERSITY OF CALIFORNIA, DAVIS Total	\$	77,216.00	\$	-
UNIVERSITY OF PITTSBURGH				
0046874(126426)R01AR057310	\$	20,280.00	\$	-
UNIVERSITY OF PITTSBURGH Total	\$	20,280.00	\$	-
Arthritis, Musculoskeletal and Skin Diseases Research Total	\$	154,980.00	\$	-
Biomedical Research and Research Training				
93.859	\$	629,134.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI				
130410	\$	1,726.00	\$	-
133106/5R01GM108025	\$	3,831.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	5,557.00	\$	-
HEALTH RESEARCH, INC.				
5504-01/1R01GM119152-01A1	\$	9,283.00	\$	-
HEALTH RESEARCH, INC. Total	\$	9,283.00	\$	-
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI				
0255-0921-4609/5R01GM115844-02	\$	10,854.00	\$	-
0255-0921-4609/R01GM115844	\$	160,403.00	\$	-
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI Total	\$	171,257.00	\$	-
MASSACHUSETTS INSTITUTE OF TECHNOLOGY				
5710004218	\$	21,279.00	\$	-
MASSACHUSETTS INSTITUTE OF TECHNOLOGY Total	\$	21,279.00	\$	-
NERX BIOSCIENCES, INC.				
1R43GM119880-01	\$	87,650.00	\$	-
NERX BIOSCIENCES, INC. Total	\$	87,650.00	\$	-

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

QUARRYBIO 0	\$	18,747.00	\$	-
QUARRYBIO Total	\$	18,747.00	\$	-
SUNNYLIFE PHARMA, INC. 1R43GM119880-01	\$	71,591.00	\$	-
SUNNYLIFE PHARMA, INC. Total	\$	71,591.00	\$	-
TEXAS TECH UNIVERSITY 21F093-01	\$	61,064.00	\$	-
TEXAS TECH UNIVERSITY Total	\$	61,064.00	\$	-
UNIVERSITY OF AKRON 541747-IU	\$	11,089.00	\$	-
UNIVERSITY OF AKRON Total	\$	11,089.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN DIEGO 69572869	\$	16,468.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN DIEGO Total	\$	16,468.00	\$	-
UNIVERSITY OF MISSISSIPPI MEDICAL CENTER 66100920513-IU01&IU02	\$	806.00	\$	-
UNIVERSITY OF MISSISSIPPI MEDICAL CENTER Total	\$	806.00	\$	-
UNIVERSITY OF NOTRE DAME 202231IU	\$	83,437.00	\$	-
UNIVERSITY OF NOTRE DAME Total	\$	83,437.00	\$	-
UNIVERSITY OF WISCONSIN 637K696	\$	73,203.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	73,203.00	\$	-
YC BIOELECTRIC, LLC 0	\$	(2,297.00)	\$	-
YC BIOELECTRIC, LLC Total	\$	(2,297.00)	\$	-
Biomedical Research and Research Training Total	\$	629,134.00	\$	-
Blood Diseases and Resources Research 93.839	\$	351,108.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI 108454/5R01HL108102-05	\$	251.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	251.00	\$	-
RIMEDION INC				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

2R42HL099150-02A1	\$	151,632.00	\$	-
RIMEDION INC Total	\$	151,632.00	\$	-
UNIVERSITY OF NOTRE DAME 202452/R01HL069630	\$	44,138.00	\$	-
UNIVERSITY OF NOTRE DAME Total	\$	44,138.00	\$	-
VANDERBILT UNIVERSITY MEDICAL CENTER VUMC60997/7R01HL124159-03	\$	10,702.00	\$	-
VANDERBILT UNIVERSITY MEDICAL CENTER Total	\$	10,702.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS WU-15-421	\$	82,779.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS Total	\$	82,779.00	\$	-
YORK UNIVERSITY 1R21ES027044-01	\$	61,606.00	\$	-
YORK UNIVERSITY Total	\$	61,606.00	\$	-
Blood Diseases and Resources Research Total	\$	351,108.00	\$	-
Cancer Biology Research 93.396	\$	395,987.00	\$	-
BROAD INSTITUTE 5000034-5500000615	\$	117,895.00	\$	-
5820283-5500000615	\$	8,038.00	\$	-
BROAD INSTITUTE Total	\$	125,933.00	\$	-
HARVARD UNIVERSITY 152455.5084235.0202	\$	125,778.00	\$	-
HARVARD UNIVERSITY Total	\$	125,778.00	\$	-
HEALTH RESEARCH, INC. 205-01-R01CA197996	\$	7,151.00	\$	-
HEALTH RESEARCH, INC. Total	\$	7,151.00	\$	-
MEDICAL UNIVERSITY OF SOUTH CAROLINA MUSC16-059-8B980/R01CA208514	\$	13,911.00	\$	-
MEDICAL UNIVERSITY OF SOUTH CAROLINA Total	\$	13,911.00	\$	-
SANFORD-BURNHAM MEDICAL RESEARCH INSTITUTE 59076-12624-IU/1R35CA197465-01	\$	25,945.00	\$	-
59076-12624-IU/5R35CA197465-02	\$	6,831.00	\$	-
SANFORD-BURNHAM MEDICAL RESEARCH INSTITUTE Total	\$	32,776.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF PITTSBURGH			
0040572(125387)/5R01CA182418-03	\$	21,176.00	\$ -
UNIVERSITY OF PITTSBURGH Total	\$	21,176.00	\$ -
UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER			
00003637/1U01CA196403-01	\$	11,450.00	\$ -
00003637/5U01CA196403-02	\$	57,812.00	\$ -
UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER Total	\$	69,262.00	\$ -
Cancer Biology Research Total	\$	395,987.00	\$ -
Cancer Cause and Prevention Research			
93.393	\$	783,551.00	\$ -
CHILDREN'S HOSPITAL OF PHILADELPHIA			
FP00017458_SUB190_03	\$	7,367.00	\$ -
PO 961550-RSUB	\$	2,284.00	\$ -
UG1CA189955	\$	23,703.00	\$ -
CHILDREN'S HOSPITAL OF PHILADELPHIA Total	\$	33,354.00	\$ -
GEORGETOWN UNIVERSITY			
411841-GR411064-IUR01CA129769-06A1	\$	61,369.00	\$ -
411860-GR411040R35CA197289	\$	20,103.00	\$ -
412136-GR11040/R35CA197289	\$	302,189.00	\$ -
GEORGETOWN UNIVERSITY Total	\$	383,661.00	\$ -
HARVARD UNIVERSITY			
150131.5076864.0005	\$	1,811.00	\$ -
HARVARD UNIVERSITY Total	\$	1,811.00	\$ -
KAISER FOUNDATION RESEARCH INSTITUTE			
1U24CA171524	\$	4,138.00	\$ -
RNG200623-IU-01/4U24CA171524-05	\$	3,446.00	\$ -
KAISER FOUNDATION RESEARCH INSTITUTE Total	\$	7,584.00	\$ -
KLEIN BUENDEL			
0310-0161-000/R01CA210125	\$	35,064.00	\$ -
KLEIN BUENDEL Total	\$	35,064.00	\$ -
OHIO STATE UNIVERSITY			
60048674	\$	27,495.00	\$ -
60048674/5R01CA196243-02	\$	236,034.00	\$ -
60056962/5R01CA196243-02	\$	69,355.00	\$ -
OHIO STATE UNIVERSITY Total	\$	332,884.00	\$ -
ONCONOSTIC TECHNOLOGIES, INC.			

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	HHSN26120130028C	\$	(10,807.00)	\$	-
	ONCONOSTIC TECHNOLOGIES, INC. Total	\$	(10,807.00)	\$	-
	Cancer Cause and Prevention Research Total	\$	783,551.00	\$	-
	Cancer Centers Support Grants 93.397	\$	4,390.00	\$	-
	MAYO CLINIC, ROCHESTER				
	MCR-0113-CPN	\$	3,640.00	\$	-
	MAYO CLINIC, ROCHESTER Total	\$	3,640.00	\$	-
	UNIVERSITY OF SOUTH ALABAMA				
	R01CA164940	\$	750.00	\$	-
	UNIVERSITY OF SOUTH ALABAMA Total	\$	750.00	\$	-
	Cancer Centers Support Grants Total	\$	4,390.00	\$	-
	Cancer Detection and Diagnosis Research 93.394	\$	195,600.00	\$	-
	CASE WESTERN RESERVE UNIVERSITY				
	RES509662	\$	25,915.00	\$	-
	CASE WESTERN RESERVE UNIVERSITY Total	\$	25,915.00	\$	-
	PROPORTIONAL TECHNOLOGIES, INC.				
	1R34CA206709-01A1	\$	79,645.00	\$	-
	PROPORTIONAL TECHNOLOGIES, INC. Total	\$	79,645.00	\$	-
	UNIVERSITY OF CHICAGO				
	FP054643-02C/R21CA181885	\$	2,295.00	\$	-
	UNIVERSITY OF CHICAGO Total	\$	2,295.00	\$	-
	UNIVERSITY OF MINNESOTA				
	H004548303/1R33CA183671	\$	3,250.00	\$	-
	UNIVERSITY OF MINNESOTA Total	\$	3,250.00	\$	-
	UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER				
	00004321/U01CA200468	\$	84,495.00	\$	-
	UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER Total	\$	84,495.00	\$	-
	Cancer Detection and Diagnosis Research Total	\$	195,600.00	\$	-
	Cancer Treatment Research 93.395	\$	599,015.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

CHILDREN'S HOSPITAL OF PHILADELPHIA			
FP00015221_SUB711_01/U10CA180886	\$	15,000.00	\$ -
FP00015221-SUB75-03/U10CA098543	\$	31,541.00	\$ -
U10CA098543	\$	109,305.00	\$ -
U10CA180886	\$	69,362.00	\$ -
UM1CA097452	\$	61,490.00	\$ -
CHILDREN'S HOSPITAL OF PHILADELPHIA Total	\$	286,698.00	\$ -
DUQUESNE UNIVERSITY			
G1300051	\$	47,524.00	\$ -
DUQUESNE UNIVERSITY Total	\$	47,524.00	\$ -
EASTERN COOPERATIVE ONCOLOGY			
5U10CA180820-01-INDU1	\$	15,960.00	\$ -
UG1CA189828	\$	17,664.00	\$ -
EASTERN COOPERATIVE ONCOLOGY Total	\$	33,624.00	\$ -
MAYO CLINIC, ROCHESTER			
THE-180003-03/R01CA163803-01	\$	16,364.00	\$ -
MAYO CLINIC, ROCHESTER Total	\$	16,364.00	\$ -
MICHIGAN STATE UNIVERSITY			
RC102514IU	\$	(3,286.00)	\$ -
MICHIGAN STATE UNIVERSITY Total	\$	(3,286.00)	\$ -
NORTHWESTERN UNIVERSITY			
60042540IU/7R01CA182832-03	\$	61,844.00	\$ -
NORTHWESTERN UNIVERSITY Total	\$	61,844.00	\$ -
PHENIX MEDICAL LLC			
0	\$	19,433.00	\$ -
PHENIX MEDICAL LLC Total	\$	19,433.00	\$ -
PURDUE UNIVERSITY			
4102-79838/1R01CA207288-01A1	\$	7,457.00	\$ -
PURDUE UNIVERSITY Total	\$	7,457.00	\$ -
QRKANSWER LLC			
1R41CA195777-01-A1	\$	100,453.00	\$ -
QRKANSWER LLC Total	\$	100,453.00	\$ -
UNIVERSITY OF MICHIGAN			
3004452992/1R01CA214567-01	\$	10,200.00	\$ -
UNIVERSITY OF MICHIGAN Total	\$	10,200.00	\$ -
UNIVERSITY OF MINNESOTA			

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

H004627903/R01CA182543	\$	14,929.00	\$	-
UNIVERSITY OF MINNESOTA Total	\$	14,929.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL				
5107329	\$	3,775.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	3,775.00	\$	-
Cancer Treatment Research Total	\$	599,015.00	\$	-
Cardiovascular Diseases Research				
93.837	\$	1,220,669.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL				
SUM1AI06836-10	\$	3,535.00	\$	-
U01HL101422	\$	48,816.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL Total	\$	52,351.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI				
109037/5U10HL109673-05	\$	52,273.00	\$	-
138149/1UG1HL135678-01	\$	55,929.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	108,202.00	\$	-
COOPER UNIVERSITY HEALTH CARE				
311500191IU/R01HL112815-01A1	\$	27,009.00	\$	-
COOPER UNIVERSITY HEALTH CARE Total	\$	27,009.00	\$	-
DUKE UNIVERSITY				
179451/196823/208268	\$	27,996.00	\$	-
203-8270/R01HL134211	\$	16,356.00	\$	-
DUKE UNIVERSITY Total	\$	44,352.00	\$	-
GEORGIA HEALTH SCIENCES UNIVERSITY				
31427-2/4R01HL056259-17	\$	67,469.00	\$	-
GEORGIA HEALTH SCIENCES UNIVERSITY Total	\$	67,469.00	\$	-
MASSACHUSETTS GENERAL HOSPITAL				
5U01HL123336-02	\$	7,552.00	\$	-
MASSACHUSETTS GENERAL HOSPITAL Total	\$	7,552.00	\$	-
NEW ENGLAND RESEARCH INSTITUTE				
0	\$	38,113.00	\$	-
U01HL107407	\$	10,300.00	\$	-
U01HL68270/NERI/CORDES,T	\$	420.00	\$	-
U10H068270/UDENAFIL	\$	4,386.00	\$	-
U10HL068270	\$	38,205.00	\$	-
NEW ENGLAND RESEARCH INSTITUTE Total	\$	91,424.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

NEW YORK UNIVERSITY			
R01HL119153	\$	1,174.00	\$ -
NEW YORK UNIVERSITY Total	\$	1,174.00	\$ -
PURDUE UNIVERSITY			
4102-70205/5R01HL125385-02	\$	106,131.00	\$ -
4102-77038/1U01HL128834-01A1	\$	43,372.00	\$ -
PURDUE UNIVERSITY Total	\$	149,503.00	\$ -
RTI INTERNATIONAL			
214047	\$	43,585.00	\$ -
RTI INTERNATIONAL Total	\$	43,585.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM			
000503570-023/U01HL120338	\$	1,728.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	1,728.00	\$ -
UNIVERSITY OF CALIFORNIA, LOS ANGELES			
1553 G NG059/5P01HL078931-0	\$	34,169.00	\$ -
UNIVERSITY OF CALIFORNIA, LOS ANGELES Total	\$	34,169.00	\$ -
UNIVERSITY OF CHICAGO			
FP056317-F/5UH2HL123816	\$	5,969.00	\$ -
UNIVERSITY OF CHICAGO Total	\$	5,969.00	\$ -
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL			
15050209	\$	5,856.00	\$ -
5050209/U54HL096458-12	\$	48,832.00	\$ -
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	54,688.00	\$ -
UNIVERSITY OF PENNSYLVANIA			
570162/2R01HL107904-05	\$	10,294.00	\$ -
UNIVERSITY OF PENNSYLVANIA Total	\$	10,294.00	\$ -
UNIVERSITY OF PITTSBURGH			
0050018 (128904-1)/1R56HL128317-01A1	\$	2,445.00	\$ -
UNIVERSITY OF PITTSBURGH Total	\$	2,445.00	\$ -
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER HOUSTON			
UM1HL087318-07/0008802R	\$	610.00	\$ -
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER HOUSTON Total	\$	610.00	\$ -
UNIVERSITY OF WISCONSIN			
688K612/1U01HL130163-01	\$	17,585.00	\$ -
UNIVERSITY OF WISCONSIN Total	\$	17,585.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

WAYNE STATE UNIVERSITY			
WSU15064/7R01HL111459	\$	167,938.00	\$ -
WSU16094/5R01HL111459-05	\$	230,880.00	\$ -
WAYNE STATE UNIVERSITY Total	\$	398,818.00	\$ -
WRIGHT STATE UNIVERSITY			
669915/R01HL062996	\$	69,746.00	\$ -
R01HL062996	\$	31,160.00	\$ -
WRIGHT STATE UNIVERSITY Total	\$	100,906.00	\$ -
YALE UNIVERSITY			
R01HL125918	\$	836.00	\$ -
YALE UNIVERSITY Total	\$	836.00	\$ -
Cardiovascular Diseases Research Total	\$	1,220,669.00	\$ -
Child Health and Human Development Extramural Research 93.865	\$	649,200.00	\$ 1,795.00
BAYLOR COLLEGE OF MEDICINE			
5601150535/5R01HD032067-20	\$	17,822.00	\$ -
BAYLOR COLLEGE OF MEDICINE Total	\$	17,822.00	\$ -
DUKE UNIVERSITY			
201316	\$	6,361.00	\$ -
178785/HHSN-2752010000031	\$	24,922.00	\$ -
DUKE UNIVERSITY Total	\$	31,283.00	\$ -
EMOTED, LLC.			
Prime 1R41HD077967-01A1	\$	3.00	\$ -
EMOTED, LLC. Total	\$	3.00	\$ -
PACIFIC INSTITUTE FOR RESEARCH AND EVALUATION			
0735/R01HD078415	\$	26,820.00	\$ -
PACIFIC INSTITUTE FOR RESEARCH AND EVALUATION Total	\$	26,820.00	\$ -
RTI INTERNATIONAL			
0212456/U1HD036790	\$	247,087.00	\$ -
RTI INTERNATIONAL Total	\$	247,087.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM			
000388010-024/U01HD040533-15	\$	35,687.00	\$ -
000388010-24/5U01HD040533-1	\$	(11,287.00)	\$ -
000388010-24/5U01HD040533-15	\$	112,713.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	137,113.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF ARKANSAS MEDICAL CENTER 34589	\$	15,561.00	\$	-
UNIVERSITY OF ARKANSAS MEDICAL CENTER Total	\$	15,561.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 5106199/3U24HD089880	\$	148,438.00	\$	1,795.00
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	148,438.00	\$	1,795.00
UNIVERSITY OF PITTSBURGH 0048860(126783-7)/5P2CHD086843-02	\$	21,877.00	\$	-
UNIVERSITY OF PITTSBURGH Total	\$	21,877.00	\$	-
WAYNE STATE UNIVERSITY WSU14061-A5/U10HD021385	\$	3,196.00	\$	-
WAYNE STATE UNIVERSITY Total	\$	3,196.00	\$	-
Child Health and Human Development Extramural Research Total	\$	649,200.00	\$	1,795.00
Diabetes, Digestive, and Kidney Diseases Extramural Research 93.847	\$	2,026,736.00	\$	-
AUGUSTA UNIVERSITY 30835-2/2U24DK076169	\$	15,518.00	\$	-
30835-30/2U24DK076169-11	\$	12,177.00	\$	-
AUGUSTA UNIVERSITY Total	\$	27,695.00	\$	-
BAYLOR COLLEGE OF MEDICINE 102277452/R21DK106650	\$	21,429.00	\$	-
BAYLOR COLLEGE OF MEDICINE Total	\$	21,429.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL 106378	\$	(200.00)	\$	-
BRIGHAM AND WOMEN'S HOSPITAL Total	\$	(200.00)	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI 135927/1R01DK102890-01A1	\$	17,791.00	\$	-
CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI Total	\$	17,791.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA 330182/5U01DK066174	\$	8,399.00	\$	-
330182/5U01DK066174-14	\$	14,554.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA Total	\$	22,953.00	\$	-
CITY OF HOPE 51504.2000556.669310/5U01DK104162-03	\$	4,520.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

CITY OF HOPE Total	\$	4,520.00	\$	-
DUKE UNIVERSITY U01 DK065176	\$	6,123.00	\$	-
DUKE UNIVERSITY Total	\$	6,123.00	\$	-
GEORGE WASHINGTON UNIVERSITY R01DK104845	\$	527.00	\$	-
S-GRD1516-MW9/5U01DK098246	\$	44,875.00	\$	-
S-GRD1617-AT9/4U01DK098246-05	\$	446,535.00	\$	-
GEORGE WASHINGTON UNIVERSITY Total	\$	491,937.00	\$	-
INDIANA UNIVERSITY HEALTH 2P01DK056788	\$	87,003.00	\$	-
INDIANA UNIVERSITY HEALTH Total	\$	87,003.00	\$	-
LUMENA PHARMACEUTICALS LUM001-301	\$	3,421.00	\$	-
LUMENA PHARMACEUTICALS Total	\$	3,421.00	\$	-
MAYO CLINIC, ROCHESTER IND-182970-03/U54DK100227-04	\$	36,270.00	\$	-
MAYO CLINIC, ROCHESTER Total	\$	36,270.00	\$	-
MEDICAL UNIVERSITY OF SOUTH CAROLINA MUSC15-022	\$	35,433.00	\$	-
MEDICAL UNIVERSITY OF SOUTH CAROLINA Total	\$	35,433.00	\$	-
NATIONWIDE CHILDREN'S HOSPITAL 952616	\$	9,595.00	\$	-
NATIONWIDE CHILDREN'S HOSPITAL Total	\$	9,595.00	\$	-
NEW YORK INSTITUTE OF TECHNOLOGY R15DK091749 / 1	\$	5,873.00	\$	-
NEW YORK INSTITUTE OF TECHNOLOGY Total	\$	5,873.00	\$	-
OHIO STATE UNIVERSITY 60050982/R01DK106394	\$	39,922.00	\$	-
OHIO STATE UNIVERSITY Total	\$	39,922.00	\$	-
PHOENIX SCIENCE AND TECHNOLOGY, INC. 5R44DK089703-03	\$	333.00	\$	-
PHOENIX SCIENCE AND TECHNOLOGY, INC. Total	\$	333.00	\$	-
PURDUE UNIVERSITY 4102-77893/R01DK106540-01A1	\$	65,889.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

4102-79907/1R01DK109398-01A1	\$	3,273.00	\$	-
PURDUE UNIVERSITY Total	\$	69,162.00	\$	-
RUTGERS UNIVERSITY				
0036/1R01DK109714-01A1	\$	87,908.00	\$	-
RUTGERS UNIVERSITY Total	\$	87,908.00	\$	-
STANFORD UNIVERSITY				
60980368-115260	\$	53,763.00	\$	-
60980368-115260/1DP3DK104059-01	\$	119,314.00	\$	-
STANFORD UNIVERSITY Total	\$	173,077.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM				
000508172-SP006-005/5P30DK074038	\$	1,605.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	1,605.00	\$	-
UNIVERSITY OF MICHIGAN				
SUBK00002630	\$	110,602.00	\$	-
UNIVERSITY OF MICHIGAN Total	\$	110,602.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL				
5034105	\$	3,226.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	3,226.00	\$	-
UNIVERSITY OF PENNSYLVANIA				
565184/R01DK102694-03	\$	67,609.00	\$	-
UNIVERSITY OF PENNSYLVANIA Total	\$	67,609.00	\$	-
UNIVERSITY OF PITTSBURGH				
0019927 (124826-10)/U01DK072146-08	\$	1,577.00	\$	-
UNIVERSITY OF PITTSBURGH Total	\$	1,577.00	\$	-
UNIVERSITY OF WASHINGTON				
763459/2P01DK043881-20	\$	7,559.00	\$	-
UWSC7926/5P01DK043881-22	\$	375,129.00	\$	-
UNIVERSITY OF WASHINGTON Total	\$	382,688.00	\$	-
UNIVERSITY OF WISCONSIN				
363K635/R01DK072126/	\$	3,550.00	\$	-
651K361-1R56DK109692-01	\$	4,075.00	\$	-
701K783/1R01DK109692-01A	\$	36,484.00	\$	-
R01DK072126/363K635	\$	29,812.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	73,921.00	\$	-
UNIVERSITY SOUTH FLORIDA				
6119-1295-00-C	\$	114,743.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

6163-1005-00-BD/UC4DK097835-01	\$	5,623.00	\$	-
6163-1008-00-BD/UC4DK106993	\$	5,593.00	\$	-
UNIVERSITY SOUTH FLORIDA Total	\$	125,959.00	\$	-
WAKE FOREST UNIVERSITY				
WFUHS 110857	\$	23,407.00	\$	-
WFUHS 113617/R01DK099164	\$	68,134.00	\$	-
WAKE FOREST UNIVERSITY Total	\$	91,541.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS				
WU-15-395	\$	27,763.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS Total	\$	27,763.00	\$	-
Diabetes, Digestive, and Kidney Diseases Extramural Research Total	\$	2,026,736.00	\$	-
Drug Abuse and Addiction Research Programs				
93.279	\$	620,376.00	\$	83,072.00
ARIZONA STATE UNIVERSITY				
11-598	\$	273.00	\$	-
ARIZONA STATE UNIVERSITY Total	\$	273.00	\$	-
ARRHYTHMOTEC, INC.				
R42DA043391	\$	200,256.00	\$	83,072.00
ARRHYTHMOTEC, INC. Total	\$	200,256.00	\$	83,072.00
CINCINNATI CHILDRENS HOSPITAL MEDICAL CENTER				
134918	\$	20,695.00	\$	-
CINCINNATI CHILDRENS HOSPITAL MEDICAL CENTER Total	\$	20,695.00	\$	-
JOHNS HOPKINS UNIVERSITY				
2002188560	\$	44,458.00	\$	-
2003128912	\$	49,215.00	\$	-
JOHNS HOPKINS UNIVERSITY Total	\$	93,673.00	\$	-
NORTHEASTERN UNIVERSITY				
500392-78052	\$	213,161.00	\$	-
NORTHEASTERN UNIVERSITY Total	\$	213,161.00	\$	-
UNIVERSITY OF BRITISH COLUMBIA				
5RD01DA036307-03	\$	(10,255.00)	\$	-
UNIVERSITY OF BRITISH COLUMBIA Total	\$	(10,255.00)	\$	-
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION				
3048105936-10-027	\$	498.00	\$	-
3210000356-17-070	\$	57,029.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION Total	\$	57,527.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY				
PT110943-SC106171	\$	17,816.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY Total	\$	17,816.00	\$	-
YALE UNIVERSITY				
M17A12651(A10477)/5R21DA040852-02	\$	27,230.00	\$	-
YALE UNIVERSITY Total	\$	27,230.00	\$	-
Drug Abuse and Addiction Research Programs Total	\$	620,376.00	\$	83,072.00
Environmental Health				
93.113	\$	30,098.00	\$	-
PURDUE UNIVERSITY				
4102-45220/1R01ES020529	\$	1,510.00	\$	-
PURDUE UNIVERSITY Total	\$	1,510.00	\$	-
UNIVERSITY OF TORONTO				
495477-Subgrant1	\$	28,588.00	\$	-
UNIVERSITY OF TORONTO Total	\$	28,588.00	\$	-
Environmental Health Total	\$	30,098.00	\$	-
Extramural Research Programs in the Neurosciences and Neurological Disorders				
93.853	\$	1,174,519.00	\$	-
ANAGIN, INC				
1R43NS095489-01	\$	94,165.00	\$	-
ANAGIN, INC Total	\$	94,165.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL				
112118/5R21NS08852-02	\$	137,453.00	\$	-
BRIGHAM AND WOMEN'S HOSPITAL Total	\$	137,453.00	\$	-
DARTMOUTH COLLEGE				
R754/R01NS092853	\$	(849.00)	\$	-
DARTMOUTH COLLEGE Total	\$	(849.00)	\$	-
MASSACHUSETTS GENERAL HOSPITAL				
0	\$	27,290.00	\$	-
220901/K12NS080223	\$	(6,809.00)	\$	-
MASSACHUSETTS GENERAL HOSPITAL Total	\$	20,481.00	\$	-
PURDUE UNIVERSITY				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

4102-47416/1R01NS073636-02	\$	13,423.00	\$	-
PURDUE UNIVERSITY Total	\$	13,423.00	\$	-
REGENTS OF THE UNIVERSITY OF CALIFORNIA				
9673sc/1R01NS092764-01A1	\$	9,369.00	\$	-
REGENTS OF THE UNIVERSITY OF CALIFORNIA Total	\$	9,369.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM				
00426787-003/U01NS041588	\$	45,431.00	\$	-
U01NS041588/000426787-003	\$	27,407.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	72,838.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO				
6025sc / U01NS058634	\$	114,391.00	\$	-
730sc/4U012NS086090	\$	11,894.00	\$	-
7930sc/U01NS086090-03	\$	3,137.00	\$	-
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO Total	\$	129,422.00	\$	-
UNIVERSITY OF CINCINNATI				
008566-009/5R01NS055860-10	\$	79,009.00	\$	-
009554-002	\$	(3,236.00)	\$	-
009554-0085R01NS030678-02	\$	17,560.00	\$	-
UNIVERSITY OF CINCINNATI Total	\$	93,333.00	\$	-
UNIVERSITY OF IOWA				
1001291567JLB/5R01NS04008-12	\$	82,785.00	\$	-
UNIVERSITY OF IOWA Total	\$	82,785.00	\$	-
UNIVERSITY OF KENTUCKY				
3200000811-17-076/P01NS097197	\$	209,099.00	\$	-
3210000402-17-082/P01NS097197-01	\$	96,211.00	\$	-
UNIVERSITY OF KENTUCKY Total	\$	305,310.00	\$	-
UNIVERSITY OF MASSACHUSETTS				
OSP2017012/5R01NS096740-02	\$	158,755.00	\$	-
WA00254927/RFS2016096/1R56NS082349-01A1	\$	26,144.00	\$	-
UNIVERSITY OF MASSACHUSETTS Total	\$	184,899.00	\$	-
UNIVERSITY OF MICHIGAN				
3002402618/1R01NS07794601A1	\$	(4,723.00)	\$	-
UNIVERSITY OF MICHIGAN Total	\$	(4,723.00)	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA				
65333452/5R01NS080655-05	\$	18,681.00	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA Total	\$	18,681.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

WORCESTER POLYTECHNIC INSTITUTE			
16-210950-02	\$	17,932.00	\$ -
WORCESTER POLYTECHNIC INSTITUTE Total	\$	17,932.00	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders Total	\$	1,174,519.00	\$ -
Human Genome Research			
93.172	\$	819,498.00	\$ -
HARVARD UNIVERSITY			
132626-5085850	\$	819,498.00	\$ -
HARVARD UNIVERSITY Total	\$	819,498.00	\$ -
Human Genome Research Total	\$	819,498.00	\$ -
International Research and Research Training			
93.989	\$	8,024.00	\$ 5,821.00
MAINE MEDICAL RESEARCH CENTER INSTITUTE			
REMICK-111054B	\$	8,024.00	\$ 5,821.00
MAINE MEDICAL RESEARCH CENTER INSTITUTE Total	\$	8,024.00	\$ 5,821.00
International Research and Research Training Total	\$	8,024.00	\$ 5,821.00
Lung Diseases Research			
93.838	\$	542,865.00	\$ 28,494.00
CALIFORNIA MEDICAL INNOVATIONS INSTITUTE			
U01HL118738	\$	65,287.00	\$ -
CALIFORNIA MEDICAL INNOVATIONS INSTITUTE Total	\$	65,287.00	\$ -
CLEVELAND CLINIC			
676-SUB/5U01HL125177	\$	13,923.00	\$ -
676-SUB/5U01HL125177-03	\$	13,969.00	\$ -
CLEVELAND CLINIC Total	\$	27,892.00	\$ -
DUKE UNIVERSITY			
203-1445	\$	25,996.00	\$ -
DUKE UNIVERSITY Total	\$	25,996.00	\$ -
HOSPITAL FOR SICK CHILDREN			
3210515185	\$	(750.00)	\$ -
HOSPITAL FOR SICK CHILDREN Total	\$	(750.00)	\$ -
JOHNS HOPKINS UNIVERSITY			
2003162616/5U01HL121812	\$	28,494.00	\$ 28,494.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

JOHNS HOPKINS UNIVERSITY Total		\$	28,494.00	\$	28,494.00
MAYO CLINIC, ROCHESTER					
IND-221763/R01HL126647-03		\$	13,128.00	\$	-
IND-221763-01/R01HL126647		\$	2,939.00	\$	-
MAYO CLINIC, ROCHESTER Total		\$	16,067.00	\$	-
NATIONAL JEWISH HEALTH					
20101101		\$	4,548.00	\$	-
NATIONAL JEWISH HEALTH Total		\$	4,548.00	\$	-
OREGON HEALTH & SCIENCE UNIVERSITY					
1002080_IU		\$	310,833.00	\$	-
1006309_INDIANA		\$	(385.00)	\$	-
1006309_INDIANA/5R01HL129060-02		\$	38,410.00	\$	-
OREGON HEALTH & SCIENCE UNIVERSITY Total		\$	348,858.00	\$	-
SEATTLE CHILDREN'S RESEARCH INSTITUTE					
10953SUB		\$	17,041.00	\$	-
SEATTLE CHILDREN'S RESEARCH INSTITUTE Total		\$	17,041.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY					
FP00001149_SA001/1R21HL123044-01A1		\$	9,432.00	\$	-
VIRGINIA COMMONWEALTH UNIVERSITY Total		\$	9,432.00	\$	-
Lung Diseases Research Total		\$	542,865.00	\$	28,494.00
Medical Library Assistance					
93.879		\$	4,471.00	\$	-
UNIVERSITY OF ILLINOIS AT CHICAGO					
2010-06621-07-04/HHSN-276-2011-00005C		\$	2,897.00	\$	-
UNIVERSITY OF ILLINOIS AT CHICAGO Total		\$	2,897.00	\$	-
UNIVERSITY OF IOWA					
W000896080/1UG4LM012346-01		\$	1,574.00	\$	-
UNIVERSITY OF IOWA Total		\$	1,574.00	\$	-
Medical Library Assistance Total		\$	4,471.00	\$	-
Mental Health Research Grants					
93.242		\$	889,238.00	\$	-
ANAGIN, INC					
1R34MH103936-01		\$	13,915.00	\$	-
ANAGIN, INC Total		\$	13,915.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

BRIGHAM AND WOMEN'S HOSPITAL			
114873/5U01MH109977-02	\$	69,602.00	\$ -
114873/U01MH109977	\$	154,603.00	\$ -
BRIGHAM AND WOMEN'S HOSPITAL Total	\$	224,205.00	\$ -
CHILDREN'S HOSPITAL OF PHILADELPHIA			
N02-CM-62212	\$	42,211.00	\$ -
CHILDREN'S HOSPITAL OF PHILADELPHIA Total	\$	42,211.00	\$ -
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU			
7975sc	\$	28,749.00	\$ -
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU Total	\$	28,749.00	\$ -
PURDUE UNIVERSITY			
4102-80009/5R01MH104402-04	\$	991.00	\$ -
PURDUE UNIVERSITY Total	\$	991.00	\$ -
REGENTS OF THE UNIVERSITY OF CALIFORNIA			
UCSD 10313961-007	\$	(2,092.00)	\$ -
REGENTS OF THE UNIVERSITY OF CALIFORNIA Total	\$	(2,092.00)	\$ -
RESEARCH FOUNDATION CITY UNIVERSITY OF NEW YORK			
41846-A/4R01MH094310-04	\$	199,881.00	\$ -
R01MH094310/41846A^1	\$	(6,125.00)	\$ -
RESEARCH FOUNDATION CITY UNIVERSITY OF NEW YORK Total	\$	193,756.00	\$ -
STANFORD UNIVERSITY			
60715940-11747/R01MH102638	\$	53,964.00	\$ -
STANFORD UNIVERSITY Total	\$	53,964.00	\$ -
UNIVERSITY OF CALIFORNIA, SAN DIEGO			
54733310	\$	115,524.00	\$ -
UNIVERSITY OF CALIFORNIA, SAN DIEGO Total	\$	115,524.00	\$ -
UNIVERSITY OF KANSAS			
FY2015-037-M1	\$	(3,165.00)	\$ -
UNIVERSITY OF KANSAS Total	\$	(3,165.00)	\$ -
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION			
3048111703-15-061/5R34MH104208-03	\$	33,644.00	\$ -
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION Total	\$	33,644.00	\$ -
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL			
5106112/U01MH110925	\$	4,457.00	\$ -
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	4,457.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF TEXAS AT AUSTIN UTA14-000922	\$	(2,790.00)	\$	-
UNIVERSITY OF TEXAS AT AUSTIN Total	\$	(2,790.00)	\$	-
UNIVERSITY OF UTAH 10042775-01	\$	54,470.00	\$	-
UNIVERSITY OF UTAH Total	\$	54,470.00	\$	-
UNIVERSITY OF WASHINGTON UWSC8571	\$	4,341.00	\$	-
UWSC8571/3UH3MH106338-0251	\$	273.00	\$	-
UNIVERSITY OF WASHINGTON Total	\$	4,614.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS WU-17-442	\$	35,703.00	\$	-
WU-17-45	\$	91,082.00	\$	-
WASHINGTON UNIVERSITY IN ST. LOUIS Total	\$	126,785.00	\$	-
Mental Health Research Grants Total	\$	889,238.00	\$	-
Microbiology and Infectious Diseases Research 93.856	\$	11,360.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM 000500918-T010-SC006	\$	11,360.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	11,360.00	\$	-
Microbiology and Infectious Diseases Research Total	\$	11,360.00	\$	-
National Center for Advancing Translational Sciences 93.350	\$	78,837.00	\$	22,459.00
TUFTS UNIVERSITY HH9120/3UL1TR001064-04S1	\$	36,331.00	\$	-
TUFTS UNIVERSITY Total	\$	36,331.00	\$	-
UNIVERSITY OF PITTSBURGH 0055353(12885-8)/3UL1TR001857-01S1	\$	42,506.00	\$	22,459.00
UNIVERSITY OF PITTSBURGH Total	\$	42,506.00	\$	22,459.00
National Center for Advancing Translational Sciences Total	\$	78,837.00	\$	22,459.00
National Center on Sleep Disorders Research 93.233	\$	26,965.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF CHICAGO			
FP053428/R01HL119161	\$	26,965.00	\$ -
UNIVERSITY OF CHICAGO Total	\$	26,965.00	\$ -
National Center on Sleep Disorders Research Total	\$	26,965.00	\$ -
Nursing Research			
93.361	\$	10,020.00	\$ -
BARRON ASSOCIATES, INC.			
500-SC02	\$	10,020.00	\$ -
BARRON ASSOCIATES, INC. Total	\$	10,020.00	\$ -
Nursing Research Total	\$	10,020.00	\$ -
Oral Diseases and Disorders Research			
93.121	\$	988,022.00	\$ 175,496.00
ALLEGHENY-SINGER RESEARCH INSTITUTE			
497045/R01DE022973	\$	7,842.00	\$ -
ALLEGHENY-SINGER RESEARCH INSTITUTE Total	\$	7,842.00	\$ -
BOSTON UNIVERSITY			
4500002177	\$	51,126.00	\$ -
4500001965/1UH2DE025505-01	\$	9,939.00	\$ -
BOSTON UNIVERSITY Total	\$	61,065.00	\$ -
HEALTHPARTNERS INSTITUTE FOR EDUCATION & RESEARCH			
X1510700INDIANA/U01DE026135-01	\$	70,225.00	\$ -
HEALTHPARTNERS INSTITUTE FOR EDUCATION & RESEARCH Total	\$	70,225.00	\$ -
REGENSTRIEF INSTITUTE, INC.			
HHSN276201400008C	\$	255,879.00	\$ -
REGENSTRIEF INSTITUTE, INC. Total	\$	255,879.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM			
000412838-037/5U19DE022516-03	\$	(11,700.00)	\$ -
000412838-064/4U19DE022516-05	\$	417,426.00	\$ 175,496.00
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	405,726.00	\$ 175,496.00
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO			
8473sc/5R01DE019284-08	\$	27,202.00	\$ -
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO Total	\$	27,202.00	\$ -
UNIVERSITY OF MICHIGAN			
416975	\$	1,453.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

3003313214	\$	10,128.00	\$	-
3004327694	\$	74,461.00	\$	-
33003256742	\$	3,781.00	\$	-
3004328162/4U01DE021412-06	\$	70,260.00	\$	-
UNIVERSITY OF MICHIGAN Total	\$	160,083.00	\$	-
Oral Diseases and Disorders Research Total	\$	988,022.00	\$	175,496.00
Research and Training in Complementary and Integrative Health 93.213	\$	446,803.00	\$	-
BAYLOR UNIVERSITY				
32270117-01	\$	48,148.00	\$	-
BAYLOR UNIVERSITY Total	\$	48,148.00	\$	-
DUKE UNIVERSITY				
203-8388	\$	9,648.00	\$	-
DUKE UNIVERSITY Total	\$	9,648.00	\$	-
PURDUE UNIVERSITY				
4102-64547/1R01AT008754-02	\$	240,949.00	\$	-
4102-70442/R01AT008754	\$	117,186.00	\$	-
PURDUE UNIVERSITY Total	\$	358,135.00	\$	-
REFER2INPUT				
1R41AT008649	\$	30,872.00	\$	-
REFER2INPUT Total	\$	30,872.00	\$	-
Research and Training in Complementary and Integrative Health Total	\$	446,803.00	\$	-
Research Related to Deafness and Communication Disorders 93.173	\$	251,283.00	\$	-
AUBURN UNIVERSITY				
14-CLA-201281-IU	\$	8,496.00	\$	-
AUBURN UNIVERSITY Total	\$	8,496.00	\$	-
OHIO STATE UNIVERSITY				
60055938/R21DC016134	\$	4,240.00	\$	-
60057048-IU/R01DC014956	\$	148,720.00	\$	-
OHIO STATE UNIVERSITY Total	\$	152,960.00	\$	-
PURDUE UNIVERSITY				
4102-47912/R01DC0117459	\$	10,550.00	\$	-
4102-69961/5R01DC014070-02	\$	49,059.00	\$	-
4102-69982/5R01DC014070-02	\$	5,561.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

PURDUE UNIVERSITY Total	\$	65,170.00	\$	-
UNIVERSITY OF CALIFORNIA, IRVINE 2014-3132	\$	24,657.00	\$	-
UNIVERSITY OF CALIFORNIA, IRVINE Total	\$	24,657.00	\$	-
Research Related to Deafness and Communication Disorders Total	\$	251,283.00	\$	-
Trans-NIH Research Support 93.310	\$	199,476.00	\$	-
OREGON HEALTH & SCIENCE UNIVERSITY 1009658	\$	27,105.00	\$	-
OREGON HEALTH & SCIENCE UNIVERSITY Total	\$	27,105.00	\$	-
PURDUE UNIVERSITY 4102-77686/1OT2OD023847-01	\$	42,900.00	\$	-
PURDUE UNIVERSITY Total	\$	42,900.00	\$	-
UNIVERSITY OF FLORIDA UFDSP00010968/R01HD086700	\$	100,652.00	\$	-
UNIVERSITY OF FLORIDA Total	\$	100,652.00	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA CTAFYN037/M15A12218(A10043)/4UH3TR000967-02	\$	28,819.00	\$	-
UNIVERSITY OF SOUTHERN CALIFORNIA Total	\$	28,819.00	\$	-
Trans-NIH Research Support Total	\$	199,476.00	\$	-
Vision Research 93.867	\$	542,417.00	\$	-
AEON IMAGING, LLC 0	\$	233,811.00	\$	-
AEON IMAGING, LLC Total	\$	233,811.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA 3209850817	\$	32.00	\$	-
CHILDREN'S HOSPITAL OF PHILADELPHIA Total	\$	32.00	\$	-
EMORY UNIVERSITY T475948	\$	1,335.00	\$	-
T684306/5UG1EY025553-02	\$	10,956.00	\$	-
EMORY UNIVERSITY Total	\$	12,291.00	\$	-
JAEB CENTER FOR HEALTH RESEARCH INC				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

C02-U10EY11751	\$	3,017.00	\$	-
U10 EY11751	\$	3,759.00	\$	-
JAEB CENTER FOR HEALTH RESEARCH INC Total	\$	6,776.00	\$	-
MICHIGAN STATE UNIVERSITY				
RC105692IU/5R01EY016077-09	\$	113,955.00	\$	-
MICHIGAN STATE UNIVERSITY Total	\$	113,955.00	\$	-
NORTHEASTERN UNIVERSITY				
500375-78051	\$	30,923.00	\$	-
NORTHEASTERN UNIVERSITY Total	\$	30,923.00	\$	-
SALUS UNIVERSITY				
88801-IU Mod 1/5R21EY026664-02	\$	807.00	\$	-
88801-IU/1R21EY026664	\$	8,688.00	\$	-
SALUS UNIVERSITY Total	\$	9,495.00	\$	-
TARGAZYME INC				
1R43EY023463-01A1	\$	18,958.00	\$	-
TARGAZYME INC Total	\$	18,958.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM				
000512405-001/1R01EY027316-01	\$	14,632.00	\$	-
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	14,632.00	\$	-
UNIVERSITY OF MIAMI				
668676/4R01EY022038-05	\$	26,123.00	\$	-
R01Ey022038-01A1/660002	\$	15,052.00	\$	-
UNIVERSITY OF MIAMI Total	\$	41,175.00	\$	-
UNIVERSITY OF MINNESOTA				
A003630301	\$	21,584.00	\$	-
UNIVERSITY OF MINNESOTA Total	\$	21,584.00	\$	-
UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER				
1EY023427-IU/7R01EY023427-03	\$	38,785.00	\$	-
UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER Total	\$	38,785.00	\$	-
Vision Research Total	\$	542,417.00	\$	-
93.RD	\$	19,203.00	\$	-
FP046846/HHSN261201100071C				
UNIVERSITY OF CHICAGO				
FP046846/HHSN261201100071C	\$	19,203.00	\$	-
UNIVERSITY OF CHICAGO Total	\$	19,203.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	19,203.00	\$	-
Pass Through Total	\$	18,621,530.00	\$	317,137.00
National Institutes of Health Total	\$	177,676,456.00	\$	26,854,926.00
Office of the Secretary				
Pass Through				
Policy Research and Evaluation Grants				
93.239	\$	17.00	\$	-
UNIVERSITY OF CALIFORNIA, DAVIS				
201120402-17	\$	17.00	\$	-
UNIVERSITY OF CALIFORNIA, DAVIS Total	\$	17.00	\$	-
Policy Research and Evaluation Grants Total	\$	17.00	\$	-
Pass Through Total	\$	17.00	\$	-
Office of the Secretary Total	\$	17.00	\$	-
Administration for Community Living				
Direct				
ACL National Institute on Disability, Independent Living, and Rehabilitation Research				
93.433	\$	804,798.00	\$	33,345.00
	\$	804,798.00	\$	33,345.00
Total	\$	804,798.00	\$	33,345.00
ACL National Institute on Disability, Independent Living, and Rehabilitation Research Total	\$	804,798.00	\$	33,345.00
Direct Total	\$	804,798.00	\$	33,345.00
Pass Through				
ACL National Institute on Disability, Independent Living, and Rehabilitation Research				
93.433	\$	241,786.00	\$	-
REHABILITATION INSTITUTE OF CHICAGO				
90RT5027	\$	123,660.00	\$	-
REHABILITATION INSTITUTE OF CHICAGO Total	\$	123,660.00	\$	-
TIRR MEMORIAL HERMAN				
810010-03/90DP0060	\$	65,194.00	\$	-
8100101-03	\$	48,032.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

TIRR MEMORIAL HERMAN Total	\$	113,226.00	\$	-
UNIVERSITY OF ILLINOIS AT CHICAGO				
16351	\$	4,900.00	\$	-
UNIVERSITY OF ILLINOIS AT CHICAGO Total	\$	4,900.00	\$	-
ACL National Institute on Disability, Independent Living, and Rehabilitation Research Total	\$	241,786.00	\$	-
Pass Through Total	\$	241,786.00	\$	-
Administration for Community Living Total	\$	1,046,584.00	\$	33,345.00
Substance Abuse and Mental Health Services Administration				
Direct				
Substance Abuse and Mental Health Services_Projects of Regional and National Significance				
93.243	\$	33,161.00	\$	(55,223.00)
	\$	33,161.00	\$	(55,223.00)
Total	\$	33,161.00	\$	(55,223.00)
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total	\$	33,161.00	\$	(55,223.00)
Direct Total	\$	33,161.00	\$	(55,223.00)
Pass Through				
Block Grants for Community Mental Health Services				
93.958	\$	269,188.00	\$	-
ESKENAZI HEALTH				
17469	\$	214,531.00	\$	-
ESKENAZI HEALTH Total	\$	214,531.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY				
A55-6-49-16-2I-1580	\$	25,449.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	25,449.00	\$	-
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION				
A55-3-49-13-2C-0203	\$	29,208.00	\$	-
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION Total	\$	29,208.00	\$	-
Block Grants for Community Mental Health Services Total	\$	269,188.00	\$	-
Block Grants for Prevention and Treatment of Substance Abuse				
93.959	\$	319,408.00	\$	31,868.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION			
A55-4-49-14-GG-0203	\$	33,597.00	\$ 31,868.00
A-55-4-49-14-GG-0203/3B08TI1010019	\$	285,811.00	\$ -
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION Total	\$	319,408.00	\$ 31,868.00
Block Grants for Prevention and Treatment of Substance Abuse Total	\$	319,408.00	\$ 31,868.00
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)			
93.104	\$	141,359.00	\$ -
COMMUNITY MENTAL HEALTH CENTER,INC.			
0	\$	141,359.00	\$ -
COMMUNITY MENTAL HEALTH CENTER,INC. Total	\$	141,359.00	\$ -
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) Total	\$	141,359.00	\$ -
Substance Abuse and Mental Health Services_Projcts of Regional and National Significance			
93.243	\$	190,825.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY			
1H79SM063330	\$	30,548.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	30,548.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH			
A70-4-069807 0000000000000000000014302	\$	60,029.00	\$ -
A70-4-069807^0000000000000000000014302	\$	91,083.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	151,112.00	\$ -
INDIANA STATE UNIVERSITY			
IU15-125A1	\$	9,165.00	\$ -
INDIANA STATE UNIVERSITY Total	\$	9,165.00	\$ -
Substance Abuse and Mental Health Services_Projcts of Regional and National Significance Total	\$	190,825.00	\$ -
Pass Through Total	\$	920,780.00	\$ 31,868.00
Substance Abuse and Mental Health Services Administration Total	\$	953,941.00	\$ (23,355.00)
Centers for Disease Control and Prevention			
Pass Through			
Centers for Disease Control and Prevention_Investigations and Technical Assistance			
93.283	\$	78,522.00	\$ 30,125.00
ESKENAZI HEALTH			
3U36OE000004-03W1	\$	19,099.00	\$ -
ESKENAZI HEALTH Total	\$	19,099.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

TASK FORCE FOR GLOBAL HEALTH			
0	\$	12,412.00	\$ 3,257.00
TASK FORCE FOR GLOBAL HEALTH Total	\$	12,412.00	\$ 3,257.00
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION			
3200000227-16-207	\$	21,994.00	\$ 13,269.00
3200000968-17-181/5NU58DP005400-03-00	\$	25,017.00	\$ 13,599.00
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION Total	\$	47,011.00	\$ 26,868.00
Centers for Disease Control and Prevention_ Investigations and Technical Assistance Total	\$	78,522.00	\$ 30,125.00
Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development			
93.823	\$	18,598.00	\$ -
UNIVERSITY OF MARYLAND			
715/U54CK000450	\$	18,598.00	\$ -
UNIVERSITY OF MARYLAND Total	\$	18,598.00	\$ -
Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development Total	\$	18,598.00	\$ -
Global AIDS			
93.067	\$	164,281.00	\$ -
MOI UNIVERSITY FACULTY OF HEALTH SCIENCES			
5U01GH000765-02	\$	164,281.00	\$ -
MOI UNIVERSITY FACULTY OF HEALTH SCIENCES Total	\$	164,281.00	\$ -
Global AIDS Total	\$	164,281.00	\$ -
Injury Prevention and Control Research and State and Community Based Programs			
93.136	\$	256,697.00	\$ 8,282.00
INDIANA STATE DEPARTMENT OF HEALTH			
16140	\$	256,697.00	\$ 8,282.00
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	256,697.00	\$ 8,282.00
Injury Prevention and Control Research and State and Community Based Programs Total	\$	256,697.00	\$ 8,282.00
National State Based Tobacco Control Programs			
93.305	\$	34,984.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH			
17222	\$	34,984.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	34,984.00	\$ -

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

National State Based Tobacco Control Programs Total	\$	34,984.00	\$	-
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF)				
93.758	\$	55,163.00	\$	16,798.00
INDIANA STATE DEPARTMENT OF HEALTH				
14188	\$	32,847.00	\$	16,798.00
15450	\$	22,316.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	55,163.00	\$	16,798.00
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) Total	\$	55,163.00	\$	16,798.00
Sodium Reduction in Communities				
93.082	\$	47,316.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY				
0	\$	9,778.00	\$	-
CW2010331	\$	37,538.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	47,316.00	\$	-
Sodium Reduction in Communities Total	\$	47,316.00	\$	-
Pass Through Total	\$	655,561.00	\$	55,205.00
Centers for Disease Control and Prevention Total	\$	655,561.00	\$	55,205.00
Agency for Healthcare Research and Quality				
Direct				
Research on Healthcare Costs, Quality and Outcomes				
93.226	\$	3,524,569.00	\$	731,060.00
	\$	3,524,569.00	\$	731,060.00
Total	\$	3,524,569.00	\$	731,060.00
Research on Healthcare Costs, Quality and Outcomes Total	\$	3,524,569.00	\$	731,060.00
Direct Total	\$	3,524,569.00	\$	731,060.00
Pass Through				
Research on Healthcare Costs, Quality and Outcomes				
93.226	\$	27,069.00	\$	-
BETH ISRAEL DEACONESS MEDICAL CENTER				
01029686/7R01HS024520-02	\$	1,877.00	\$	-
BETH ISRAEL DEACONESS MEDICAL CENTER Total	\$	1,877.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

PURDUE UNIVERSITY			
4102-77073/1R18HS024887-01	\$	8,016.00	\$ -
PURDUE UNIVERSITY Total	\$	8,016.00	\$ -
UNIVERSITY OF MARYLAND, BALTIMORE COUNTY			
1400238/13126/4R24HS022135-03	\$	5,516.00	\$ -
1400238C/5R24HS022135-04	\$	11,660.00	\$ -
UNIVERSITY OF MARYLAND, BALTIMORE COUNTY Total	\$	17,176.00	\$ -
Research on Healthcare Costs, Quality and Outcomes Total	\$	27,069.00	\$ -
Pass Through Total	\$	27,069.00	\$ -
Agency for Healthcare Research and Quality Total	\$	3,551,638.00	\$ 731,060.00
Centers for Medicare and Medicaid Services			
Direct			
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents			
93.621	\$	1,027,039.00	\$ 1,027,039.00
	\$	1,027,039.00	\$ 1,027,039.00
Total	\$	1,027,039.00	\$ 1,027,039.00
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents Total	\$	1,027,039.00	\$ 1,027,039.00
Direct Total	\$	1,027,039.00	\$ 1,027,039.00
Pass Through			
Children's Health Insurance Program			
93.767	\$	23,696.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM			
000512572-SC002-MEN/C60112018	\$	23,696.00	\$ -
UNIVERSITY OF ALABAMA BIRMINGHAM Total	\$	23,696.00	\$ -
Children's Health Insurance Program Total	\$	23,696.00	\$ -
Pass Through Total	\$	23,696.00	\$ -
Centers for Medicare and Medicaid Services Total	\$	1,050,735.00	\$ 1,027,039.00
Food and Drug Administration			
Direct			
Food and Drug Administration_Research			

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

93.103	\$	305,844.00	\$	-
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Total	\$	305,844.00	\$	-
Food and Drug Administration_Research Total	\$	305,844.00	\$	-
Direct Total	\$	305,844.00	\$	-
Pass Through				
Food and Drug Administration_Research				
93.103	\$	289,279.00	\$	-
CHEMIGEN LLC				
1R01FD004790	\$	4,406.00	\$	-
CHEMIGEN LLC Total	\$	4,406.00	\$	-
PURDUE UNIVERSITY				
4102-70961/5U01FD005259	\$	7,068.00	\$	-
4102-77030/5U01FD005259-03	\$	277,805.00	\$	-
PURDUE UNIVERSITY Total	\$	284,873.00	\$	-
Food and Drug Administration_Research Total	\$	289,279.00	\$	-
Pass Through Total	\$	289,279.00	\$	-
Food and Drug Administration Total	\$	595,123.00	\$	-
Administration for Children and Families				
Pass Through				
Healthy Marriage Promotion and Responsible Fatherhood Grants				
93.086	\$	16,450.00	\$	-
CENTER FOR POLICY RESEARCH				
0	\$	16,450.00	\$	-
CENTER FOR POLICY RESEARCH Total	\$	16,450.00	\$	-
Healthy Marriage Promotion and Responsible Fatherhood Grants Total	\$	16,450.00	\$	-
Temporary Assistance for Needy Families				
93.558	\$	68,383.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
18606	\$	68,383.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	68,383.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Temporary Assistance for Needy Families Total	\$	68,383.00	\$	-
Pass Through Total	\$	84,833.00	\$	-
Administration for Children and Families Total	\$	84,833.00	\$	-
Department of Health and Human Services Total	\$	186,908,473.00	\$	28,779,886.00
Department of Homeland Security				
Department of Homeland Security				
Direct				
97.RD	\$	165,023.00	\$	75,022.00
D15PC00169				
	\$	165,023.00	\$	75,022.00
Total	\$	165,023.00	\$	75,022.00
	\$	165,023.00	\$	75,022.00
Direct Total	\$	165,023.00	\$	75,022.00
Pass Through				
97.RD	\$	4,120.00	\$	-
HHSP233201600071C				
KESTREL TECHNOLOGY LLC				
HHSP233201600071C	\$	4,120.00	\$	-
KESTREL TECHNOLOGY LLC Total	\$	4,120.00	\$	-
	\$	4,120.00	\$	-
Pass Through Total	\$	4,120.00	\$	-
Department of Homeland Security Total	\$	169,143.00	\$	75,022.00
Federal Emergency Management Agency (fema)				
Pass Through				
Assistance to Firefighters Grant				
97.044	\$	28,847.00	\$	-
UNIVERSITY OF GEORGIA				
RR766-051/S001022	\$	28,847.00	\$	-
UNIVERSITY OF GEORGIA Total	\$	28,847.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Assistance to Firefighters Grant Total	\$	28,847.00	\$	-
Pass Through Total	\$	28,847.00	\$	-
Federal Emergency Management Agency (fema) Total	\$	28,847.00	\$	-
Department of Homeland Security Total	\$	197,990.00	\$	75,022.00
Department of Housing and Urban Development				
Office of Community Planning and Development				
Pass Through				
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii				
14.228	\$	2,144.00	\$	-
INDIANA OFFICE COMMUNITY & RURAL AFFAIRS				
A192-16-PSC-1001	\$	2,144.00	\$	-
INDIANA OFFICE COMMUNITY & RURAL AFFAIRS Total	\$	2,144.00	\$	-
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii Total	\$	2,144.00	\$	-
Pass Through Total	\$	2,144.00	\$	-
Office of Community Planning and Development Total	\$	2,144.00	\$	-
Department of Housing and Urban Development Total	\$	2,144.00	\$	-
Department of Justice				
Bureau of Justice Assistance				
Pass Through				
Drug Court Discretionary Grant Program				
16.585	\$	9,270.00	\$	-
INDIANA JUDICIAL CENTER				
2013-DC-BX-0018	\$	9,270.00	\$	-
INDIANA JUDICIAL CENTER Total	\$	9,270.00	\$	-
Drug Court Discretionary Grant Program Total	\$	9,270.00	\$	-
Project Safe Neighborhoods				
16.609	\$	62,731.00	\$	-
CITY OF INDIANAPOLIS				
13555^PO #1200000281	\$	45,719.00	\$	-
13555^PO #16DPS-1600013442	\$	17,012.00	\$	-
CITY OF INDIANAPOLIS Total	\$	62,731.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Project Safe Neighborhoods Total	\$	62,731.00	\$	-
Pass Through Total	\$	72,001.00	\$	-
Bureau of Justice Assistance Total	\$	72,001.00	\$	-
National Institute of Justice Direct Criminal Justice Research and Development_Graduate Research Fellowships 16.562	\$	79,575.00	\$	-
	\$	79,575.00	\$	-
Total	\$	79,575.00	\$	-
Criminal Justice Research and Development_Graduate Research Fellowships Total	\$	79,575.00	\$	-
National Institute of Justice Research, Evaluation, and Development Project Grants 16.560	\$	476,756.00	\$	36,221.00
	\$	476,756.00	\$	36,221.00
Total	\$	476,756.00	\$	36,221.00
National Institute of Justice Research, Evaluation, and Development Project Grants Total	\$	476,756.00	\$	36,221.00
Direct Total	\$	556,331.00	\$	36,221.00
Pass Through National Institute of Justice Research, Evaluation, and Development Project Grants 16.560	\$	77,327.00	\$	-
MICHIGAN STATE UNIVERSITY RC105656 IU	\$	24,171.00	\$	-
MICHIGAN STATE UNIVERSITY Total	\$	24,171.00	\$	-
UNIVERSITY OF ARKANSAS SA1605120-2015-ZA-BX-001	\$	46,033.00	\$	-
SA1612108/2013-ZA-BX-0001	\$	7,123.00	\$	-
UNIVERSITY OF ARKANSAS Total	\$	53,156.00	\$	-
National Institute of Justice Research, Evaluation, and Development Project Grants Total	\$	77,327.00	\$	-
Pass Through Total	\$	77,327.00	\$	-
National Institute of Justice Total	\$	633,658.00	\$	36,221.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Justice Total		\$ 705,659.00	\$ 36,221.00
 Department of Labor Department of Labor Pass Through			
17.RD		\$ 242,597.00	\$ -
000000000000000000014310 (C1-5-IUBRC-WDQI) INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT 000000000000000000014310 (C1-5-IUBRC-WDQI)		\$ 219,097.00	\$ -
INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT Total		\$ 219,097.00	\$ -
 YCC - 2016-2017 METROPOLITAN SCHOOL DISTRICT OF PIKE TOWNSHIP 0		\$ 23,500.00	\$ -
METROPOLITAN SCHOOL DISTRICT OF PIKE TOWNSHIP Total		\$ 23,500.00	\$ -
		\$ 242,597.00	\$ -
Pass Through Total		\$ 242,597.00	\$ -
 Department of Labor Total		\$ 242,597.00	\$ -
Department of Labor Total		\$ 242,597.00	\$ -
 Department of State Department of State Pass Through			
19.RD		\$ 26,235.00	\$ 17,692.00
USIEF/OSI/2014/04 UNITED STATES-INDIA EDUCATIONAL FOUNDATION USIEF/OSI/2014/04		\$ 26,235.00	\$ 17,692.00
UNITED STATES-INDIA EDUCATIONAL FOUNDATION Total		\$ 26,235.00	\$ 17,692.00
		\$ 26,235.00	\$ 17,692.00
Pass Through Total		\$ 26,235.00	\$ 17,692.00
 Department of State Total		\$ 26,235.00	\$ 17,692.00
 Bureau of Educational and Cultural Affairs Pass Through Academic Exchange Programs - Scholars 19.401		\$ (3,749.00)	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INSTITUTE OF INTERNATIONAL EDUCATION				
AJFDP-U1659E1	\$	(3,749.00)	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	(3,749.00)	\$	-
Academic Exchange Programs - Scholars Total	\$	(3,749.00)	\$	-
Pass Through Total	\$	(3,749.00)	\$	-
Bureau of Educational and Cultural Affairs Total	\$	(3,749.00)	\$	-
Bureau of Intelligence and Research				
Direct				
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union				
19.300	\$	25,098.00	\$	-
	\$	25,098.00	\$	-
Total	\$	25,098.00	\$	-
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union Total	\$	25,098.00	\$	-
Direct Total	\$	25,098.00	\$	-
Bureau of Intelligence and Research Total	\$	25,098.00	\$	-
Department of State Total	\$	47,584.00	\$	17,692.00
Department of the Interior				
U.S. Geological Survey				
Direct				
Earthquake Hazards Program Assistance				
15.807	\$	23,056.00	\$	-
	\$	23,056.00	\$	-
Total	\$	23,056.00	\$	-
Earthquake Hazards Program Assistance Total	\$	23,056.00	\$	-
Energy Cooperatives to Support the National Coal Resources Data System (NCRDS)				
15.819	\$	13,860.00	\$	-
	\$	13,860.00	\$	-
Total	\$	13,860.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) Total	\$	13,860.00	\$	-
Minerals Resources External Research Program				
15.816	\$	50.00	\$	-
	\$	50.00	\$	-
Total	\$	50.00	\$	-
Minerals Resources External Research Program Total	\$	50.00	\$	-
National Cooperative Geologic Mapping				
15.810	\$	135,568.00	\$	-
	\$	135,568.00	\$	-
Total	\$	135,568.00	\$	-
National Cooperative Geologic Mapping Total	\$	135,568.00	\$	-
U.S. Geological Survey_ Research and Data Collection				
15.808	\$	160,428.00	\$	-
	\$	160,428.00	\$	-
Total	\$	160,428.00	\$	-
U.S. Geological Survey_ Research and Data Collection Total	\$	160,428.00	\$	-
Direct Total	\$	332,962.00	\$	-
Pass Through				
Assistance to State Water Resources Research Institutes				
15.805	\$	15,000.00	\$	-
PURDUE UNIVERSITY				
4107-73618	\$	15,000.00	\$	-
PURDUE UNIVERSITY Total	\$	15,000.00	\$	-
Assistance to State Water Resources Research Institutes Total	\$	15,000.00	\$	-
Pass Through Total	\$	15,000.00	\$	-
U.S. Geological Survey Total	\$	347,962.00	\$	-

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Office of Surface Mining Pass Through Abandoned Mine Land Reclamation (AMLR) 15.252	\$	21,812.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES E23-16-008276	\$	21,812.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES Total	\$	21,812.00	\$	-
Abandoned Mine Land Reclamation (AMLR) Total	\$	21,812.00	\$	-
Pass Through Total	\$	21,812.00	\$	-
Office of Surface Mining Total	\$	21,812.00	\$	-
Department of the Interior Total	\$	369,774.00	\$	-
Department of Transportation Federal Highway Administration (fhwa) Pass Through Highway Planning and Construction 20.205	\$	25,330.00	\$	-
NORTHWESTERN INDIANA REGIONAL PLANNING COMMISSION 0	\$	25,330.00	\$	-
NORTHWESTERN INDIANA REGIONAL PLANNING COMMISSION Total	\$	25,330.00	\$	-
Highway Planning and Construction Total	\$	25,330.00	\$	-
Pass Through Total	\$	25,330.00	\$	-
Federal Highway Administration (fhwa) Total	\$	25,330.00	\$	-
Office of the Secretary (ost) Administration Secretariate Pass Through Transportation Planning, Research and Education 20.931	\$	36,376.00	\$	-
UNIVERSITY OF PITTSBURGH 0038672 (409661-1)	\$	36,376.00	\$	-
UNIVERSITY OF PITTSBURGH Total	\$	36,376.00	\$	-
Transportation Planning, Research and Education Total	\$	36,376.00	\$	-
University Transportation Centers Program 20.701	\$	90,527.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

OHIO STATE UNIVERSITY			
60040605	\$	90,527.00	\$ -
OHIO STATE UNIVERSITY Total	\$	90,527.00	\$ -
University Transportation Centers Program Total	\$	90,527.00	\$ -
Pass Through Total	\$	126,903.00	\$ -
Office of the Secretary (ost) Administration Secretariate Total	\$	126,903.00	\$ -
National Highway Traffic Safety Administration (nhtsa)			
Pass Through			
State and Community Highway Safety			
20.600	\$	106,587.00	\$ -
INDIANA CRIMINAL JUSTICE INSTITUTE			
EDS# D3-16-10211	\$	106,587.00	\$ -
INDIANA CRIMINAL JUSTICE INSTITUTE Total	\$	106,587.00	\$ -
State and Community Highway Safety Total	\$	106,587.00	\$ -
Pass Through Total	\$	106,587.00	\$ -
National Highway Traffic Safety Administration (nhtsa) Total	\$	106,587.00	\$ -
Department of Transportation Total	\$	258,820.00	\$ -
Department of Veterans Affairs			
Department of Veterans Affairs			
Direct			
64.RD	\$	265,814.00	\$ -
VA251-15-D0056			
	\$	265,814.00	\$ -
Total	\$	265,814.00	\$ -
	\$	265,814.00	\$ -
Direct Total	\$	265,814.00	\$ -
Pass Through			
64.RD	\$	7,278.00	\$ -
T4NG-VA118-16-D-1008-0007-CMS			

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

COGNITIVE MEDICAL SYSTEMS, INC. T4NG-VA118-16-D-1008-0007-CMS	\$	7,278.00	\$	-
COGNITIVE MEDICAL SYSTEMS, INC. Total	\$	7,278.00	\$	-
	\$	7,278.00	\$	-
Pass Through Total	\$	7,278.00	\$	-
Department of Veterans Affairs Total	\$	273,092.00	\$	-
Department of Veterans Affairs Total	\$	273,092.00	\$	-
Environmental Protection Agency Office of the Administrator Pass Through Performance Partnership Grants 66.605	\$	258,680.00	\$	-
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT A305-6-190^0000000000000000000018901	\$	258,680.00	\$	-
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT Total	\$	258,680.00	\$	-
Performance Partnership Grants Total	\$	258,680.00	\$	-
Pass Through Total	\$	258,680.00	\$	-
Office of the Administrator Total	\$	258,680.00	\$	-
Office of Water Direct Great Lakes Program 66.469	\$	1,388,784.00	\$	13,553.00
	\$	1,388,784.00	\$	13,553.00
Total	\$	1,388,784.00	\$	13,553.00
Great Lakes Program Total	\$	1,388,784.00	\$	13,553.00
Direct Total	\$	1,388,784.00	\$	13,553.00
Pass Through Nonpoint Source Implementation Grants 66.460	\$	138,389.00	\$	-

INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

A305-4-216	\$	138,389.00	\$	-
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT Total	\$	138,389.00	\$	-
Nonpoint Source Implementation Grants Total	\$	138,389.00	\$	-
Pass Through Total	\$	138,389.00	\$	-
Office of Water Total	\$	1,527,173.00	\$	13,553.00
Environmental Protection Agency Total	\$	1,785,853.00	\$	13,553.00
Library of Congress				
Library of Congress				
Direct				
42.RD	\$	136,910.00	\$	-
GA16C0013				
	\$	136,910.00	\$	-
Total	\$	136,910.00	\$	-
	\$	136,910.00	\$	-
Direct Total	\$	136,910.00	\$	-
Library of Congress Total	\$	136,910.00	\$	-
Library of Congress Total	\$	136,910.00	\$	-
National Aeronautics and Space Administration				
National Aeronautics and Space Administration				
Direct				
Science				
43.001	\$	369,240.00	\$	47,598.00
	\$	366,998.00	\$	47,598.00
Total	\$	366,998.00	\$	47,598.00
SMITHSONIAN ASTROPHYSICAL OBSERVATORY				
	\$	2,242.00	\$	-
SMITHSONIAN ASTROPHYSICAL OBSERVATORY Total	\$	2,242.00	\$	-
Science Total	\$	369,240.00	\$	47,598.00
Space Operations				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

43.007	\$	281,831.00	\$	4,719.00
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Total	\$	281,831.00	\$	4,719.00
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Space Operations Total	\$	281,831.00	\$	4,719.00
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Direct Total	\$	651,071.00	\$	52,317.00
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Pass Through				
Education				
43.008	\$	22,500.00	\$	-
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PURDUE UNIVERSITY				
0	\$	15,000.00	\$	-
4103-76022	\$	7,500.00	\$	-
PURDUE UNIVERSITY Total	\$	22,500.00	\$	-
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Education Total	\$	22,500.00	\$	-
<hr/>				
Science				
43.001	\$	340,624.00	\$	-
<hr/>				
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB				
1558111	\$	52,508.00	\$	-
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB Total	\$	52,508.00	\$	-
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CORNELL UNIVERSITY				
75643-10713	\$	26,671.00	\$	-
CORNELL UNIVERSITY Total	\$	26,671.00	\$	-
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SPACE TELESCOPE SCIENCE INSTITUTE				
HST-AR-12830.001-A	\$	28,396.00	\$	-
SPACE TELESCOPE SCIENCE INSTITUTE Total	\$	28,396.00	\$	-
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UNIVERSITY OF KANSAS				
FY2016-120	\$	174,381.00	\$	-
UNIVERSITY OF KANSAS Total	\$	174,381.00	\$	-
<hr/>				
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL				
5106982	\$	58,668.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	58,668.00	\$	-
<hr/>				
Science Total	\$	340,624.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Space Operations 43.007		\$	29,664.00	\$	-
TEXAS A&M UNIVERSITY					
C3411/NNX13AM43G		\$	29,664.00	\$	-
TEXAS A&M UNIVERSITY Total		\$	29,664.00	\$	-
Space Operations Total		\$	29,664.00	\$	-
43.RD		\$	239,481.00	\$	-
1509905					
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB					
1509905		\$	33,876.00	\$	-
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB Total		\$	33,876.00	\$	-
1530010					
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB					
1530010		\$	14,160.00	\$	-
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB Total		\$	14,160.00	\$	-
1535357					
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB					
1535357		\$	(10,934.00)	\$	-
CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB Total		\$	(10,934.00)	\$	-
12-0230					
MALIN SPACE SCIENCE SYSTEMS					
12-0230		\$	111,525.00	\$	-
MALIN SPACE SCIENCE SYSTEMS Total		\$	111,525.00	\$	-
HST-AR-13273.001-A					
SPACE TELESCOPE SCIENCE INSTITUTE					
HST-AR-13273.001-A		\$	13,057.00	\$	-
SPACE TELESCOPE SCIENCE INSTITUTE Total		\$	13,057.00	\$	-
HST-GO-13297.25-A					
SPACE TELESCOPE SCIENCE INSTITUTE					
HST-GO-13297.25-A		\$	19,738.00	\$	-
SPACE TELESCOPE SCIENCE INSTITUTE Total		\$	19,738.00	\$	-
HST-GO-14108.001-A					
SPACE TELESCOPE SCIENCE INSTITUTE					
HST-GO-14108.001-A		\$	52,200.00	\$	-
SPACE TELESCOPE SCIENCE INSTITUTE Total		\$	52,200.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

NNX14C559C			
STORAGENERGY			
NNX14C559C	\$	5,859.00	\$ -
STORAGENERGY Total	\$	5,859.00	\$ -
	\$	239,481.00	\$ -
Pass Through Total	\$	632,269.00	\$ -
National Aeronautics and Space Administration Total	\$	1,283,340.00	\$ 52,317.00
National Aeronautics and Space Administration Total	\$	1,283,340.00	\$ 52,317.00
National Archives and Records Administration			
National Archives and Records Administration			
Direct			
National Historical Publications and Records Grants			
89.003	\$	58,203.00	\$ -
	\$	58,203.00	\$ -
Total	\$	58,203.00	\$ -
-06- National Historical Publications and Records Grants Total	\$	58,203.00	\$ -
Direct Total	\$	58,203.00	\$ -
National Archives and Records Administration Total	\$	58,203.00	\$ -
National Archives and Records Administration Total	\$	58,203.00	\$ -
National Endowment for the Arts			
National Endowment for the Arts			
Direct			
Promotion of the Arts_Grants to Organizations and Individuals			
45.024	\$	3,070.00	\$ -
	\$	3,070.00	\$ -
Total	\$	3,070.00	\$ -
Promotion of the Arts_Grants to Organizations and Individuals Total	\$	3,070.00	\$ -
Direct Total	\$	3,070.00	\$ -
Pass Through			

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Promotion of the Arts_Partnership Agreements 45.025	\$	7,990.00	\$	-
INDIANA ARTS COMMISSION				
IN MP-160076	\$	7,990.00	\$	-
INDIANA ARTS COMMISSION Total	\$	7,990.00	\$	-
Promotion of the Arts_Partnership Agreements Total	\$	7,990.00	\$	-
Pass Through Total	\$	7,990.00	\$	-
National Endowment for the Arts Total	\$	11,060.00	\$	-
National Endowment for the Arts Total	\$	11,060.00	\$	-
National Science Foundation				
National Science Foundation				
Direct				
Biological Sciences				
47.074	\$	5,005,036.00	\$	42,142.00
	\$	5,005,036.00	\$	42,142.00
Total	\$	5,005,036.00	\$	42,142.00
Biological Sciences Total	\$	5,005,036.00	\$	42,142.00
Computer and Information Science and Engineering				
47.070	\$	18,896,818.00	\$	3,095,536.00
	\$	18,896,818.00	\$	3,095,536.00
Total	\$	18,896,818.00	\$	3,095,536.00
Computer and Information Science and Engineering Total	\$	18,896,818.00	\$	3,095,536.00
Education and Human Resources				
47.076	\$	4,309,500.00	\$	266,225.00
	\$	4,309,500.00	\$	266,225.00
Total	\$	4,309,500.00	\$	266,225.00
Education and Human Resources Total	\$	4,309,500.00	\$	266,225.00
Engineering Grants				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

47.041		\$	1,153,463.00	\$	-
		\$	1,153,463.00	\$	-
Total		\$	1,153,463.00	\$	-
Engineering Grants Total		\$	1,153,463.00	\$	-
Geosciences					
47.050		\$	1,957,946.00	\$	125,429.00
		\$	1,957,946.00	\$	125,429.00
Total		\$	1,957,946.00	\$	125,429.00
Geosciences Total		\$	1,957,946.00	\$	125,429.00
Mathematical and Physical Sciences					
47.049		\$	8,726,346.00	\$	106,683.00
		\$	8,726,346.00	\$	106,683.00
Total		\$	8,726,346.00	\$	106,683.00
Mathematical and Physical Sciences Total		\$	8,726,346.00	\$	106,683.00
Office of Cyberinfrastructure					
47.080		\$	77,559.00	\$	-
		\$	77,559.00	\$	-
Total		\$	77,559.00	\$	-
Office of Cyberinfrastructure Total		\$	77,559.00	\$	-
Office of International Science and Engineering					
47.079		\$	(100.00)	\$	-
		\$	(100.00)	\$	-
Total		\$	(100.00)	\$	-
Office of International Science and Engineering Total		\$	(100.00)	\$	-
Social, Behavioral, and Economic Sciences					
47.075		\$	2,388,868.00	\$	41,337.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	2,388,868.00	\$	41,337.00
Total	\$	2,388,868.00	\$	41,337.00
Social, Behavioral, and Economic Sciences Total	\$	2,388,868.00	\$	41,337.00
47.RD	\$	432,054.00	\$	-
DMR-1355404				
Total	\$	258,760.00	\$	-
IOS-1555481				
Total	\$	173,294.00	\$	-
	\$	432,054.00	\$	-
Direct Total	\$	42,947,490.00	\$	3,677,352.00
Pass Through				
Biological Sciences				
47.074	\$	503,130.00	\$	-
COLORADO STATE UNIVERSITY				
G-4023-3	\$	291,762.00	\$	-
COLORADO STATE UNIVERSITY Total	\$	291,762.00	\$	-
CORNELL UNIVERSITY				
73662-10527	\$	53,645.00	\$	-
CORNELL UNIVERSITY Total	\$	53,645.00	\$	-
HOWARD UNIVERSITY				
0008117-100053702	\$	(29,250.00)	\$	-
0008117-100053702^0008117-1000063042	\$	39,000.00	\$	-
HOWARD UNIVERSITY Total	\$	9,750.00	\$	-
IOWA STATE UNIVERSITY				
420-40-02C	\$	44,449.00	\$	-
IOWA STATE UNIVERSITY Total	\$	44,449.00	\$	-
KANSAS STATE UNIVERSITY				
S13030	\$	31,020.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

KANSAS STATE UNIVERSITY Total	\$	31,020.00	\$	-
MIAMI UNIVERSITY OF OHIO G02462	\$	30,884.00	\$	-
MIAMI UNIVERSITY OF OHIO Total	\$	30,884.00	\$	-
MICHIGAN STATE UNIVERSITY RC101759IU-PU/IOS-1237969	\$	14,043.00	\$	-
MICHIGAN STATE UNIVERSITY Total	\$	14,043.00	\$	-
PURDUE UNIVERSITY 4101-76656/1561448/DBI	\$	3,902.00	\$	-
PURDUE UNIVERSITY Total	\$	3,902.00	\$	-
UNIVERSITY OF GEORGIA RR167-627/S000814	\$	19,376.00	\$	-
RR272-67115001367/1637522	\$	165.00	\$	-
RR272-815/5054216	\$	4,134.00	\$	-
UNIVERSITY OF GEORGIA Total	\$	23,675.00	\$	-
Biological Sciences Total	\$	503,130.00	\$	-
Computer and Information Science and Engineering 47.070	\$	3,606,402.00	\$	-
GEORGIA INSTITUTE OF TECHNOLOGY RD199-G14	\$	702.00	\$	-
GEORGIA INSTITUTE OF TECHNOLOGY Total	\$	702.00	\$	-
NORTHEASTERN UNIVERSITY 502268-78050	\$	378,996.00	\$	-
NORTHEASTERN UNIVERSITY Total	\$	378,996.00	\$	-
RAYTHEON BBN TECHNOLOGIES CORPORATION 1956, PO #9500012015	\$	(23,515.00)	\$	-
2005^PO #9500013176	\$	129,561.00	\$	-
RAYTHEON BBN TECHNOLOGIES CORPORATION Total	\$	106,046.00	\$	-
RENSELAER POLYTECHNIC INSTITUTE A12551	\$	208,275.00	\$	-
RENSELAER POLYTECHNIC INSTITUTE Total	\$	208,275.00	\$	-
RUTGERS UNIVERSITY 5227	\$	9,861.00	\$	-
5231	\$	19,709.00	\$	-
RUTGERS UNIVERSITY Total	\$	29,570.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY AT BUFFALO - SUNY			
R965418	\$	117,978.00	\$ -
UNIVERSITY AT BUFFALO - SUNY Total	\$	117,978.00	\$ -
UNIVERSITY OF CALIFORNIA, LOS ANGELES			
0070 G RB790	\$	4,362.00	\$ -
UNIVERSITY OF CALIFORNIA, LOS ANGELES Total	\$	4,362.00	\$ -
UNIVERSITY OF CALIFORNIA, SAN DIEGO			
33529192	\$	103,048.00	\$ -
37985459	\$	28,146.00	\$ -
64723598	\$	421,349.00	\$ -
77840127	\$	510,213.00	\$ -
UNIVERSITY OF CALIFORNIA, SAN DIEGO Total	\$	1,062,756.00	\$ -
UNIVERSITY OF ILLINOIS			
15922	\$	18,863.00	\$ -
2015-07710-01	\$	31,999.00	\$ -
UNIVERSITY OF ILLINOIS Total	\$	50,862.00	\$ -
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN			
15825	\$	74,735.00	\$ -
083842-16148	\$	855,408.00	\$ -
2011-00318-16	\$	334,013.00	\$ -
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total	\$	1,264,156.00	\$ -
UNIVERSITY OF MICHIGAN			
3003646554	\$	195,845.00	\$ -
UNIVERSITY OF MICHIGAN Total	\$	195,845.00	\$ -
UNIVERSITY OF TEXAS AT AUSTIN			
UTA14-000006	\$	186,854.00	\$ -
UNIVERSITY OF TEXAS AT AUSTIN Total	\$	186,854.00	\$ -
Computer and Information Science and Engineering Total	\$	3,606,402.00	\$ -
Education and Human Resources			
47.076	\$	621,630.00	\$ -
AMERICAN PHYSICAL SOCIETY			
005-2015	\$	6,265.00	\$ -
BP-005-2016	\$	54,835.00	\$ -
AMERICAN PHYSICAL SOCIETY Total	\$	61,100.00	\$ -
BUTLER UNIVERSITY			

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

001096-1213TCDRLC-1323117	\$	43,091.00	\$	-
001130-IU-01/1609219	\$	15,925.00	\$	-
BUTLER UNIVERSITY Total	\$	59,016.00	\$	-
CHICAGO STATE UNIVERSITY				
53875	\$	185,431.00	\$	-
HRD-1202563	\$	16,632.00	\$	-
CHICAGO STATE UNIVERSITY Total	\$	202,063.00	\$	-
COUNCIL ON UNDERGRADUATE RESEARCH				
1625354-02	\$	29,406.00	\$	-
COUNCIL ON UNDERGRADUATE RESEARCH Total	\$	29,406.00	\$	-
ILLINOIS STATE UNIVERSITY				
A17-0061-S001/1642182	\$	5,746.00	\$	-
ILLINOIS STATE UNIVERSITY Total	\$	5,746.00	\$	-
PENNSYLVANIA STATE UNIVERSITY				
E16-074867	\$	11,590.00	\$	-
PENNSYLVANIA STATE UNIVERSITY Total	\$	11,590.00	\$	-
PURDUE UNIVERSITY				
4101-39116	\$	6,475.00	\$	-
PURDUE UNIVERSITY Total	\$	6,475.00	\$	-
UNIVERSITY OF GEORGIA				
RR246-091/S001466	\$	84,410.00	\$	-
UNIVERSITY OF GEORGIA Total	\$	84,410.00	\$	-
UNIVERSITY OF KANSAS				
FY2016-055	\$	62,163.00	\$	-
UNIVERSITY OF KANSAS Total	\$	62,163.00	\$	-
UNIVERSITY OF MISSOURI, COLUMBIA				
C00032406-2	\$	72,936.00	\$	-
UNIVERSITY OF MISSOURI, COLUMBIA Total	\$	72,936.00	\$	-
UNIVERSITY OF NEBRASKA				
25-0536-0023-004/DUE-1405512	\$	16,722.00	\$	-
UNIVERSITY OF NEBRASKA Total	\$	16,722.00	\$	-
UNIVERSITY OF WISCONSIN				
1419973/563K603	\$	10,003.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	10,003.00	\$	-
Education and Human Resources Total	\$	621,630.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Engineering Grants 47.041		\$	264,353.00	\$	-
AERODYN COMBUSTION LLC					
0		\$	49,508.00	\$	-
AERODYN COMBUSTION LLC Total		\$	49,508.00	\$	-
BALL STATE UNIVERSITY					
G0635 IUPUI SC/IIP-1464654		\$	5,847.00	\$	-
G0635IUPUI/IIP-1464654		\$	6,228.00	\$	-
BALL STATE UNIVERSITY Total		\$	12,075.00	\$	-
FLORIDA STATE UNIVERSITY					
R01806/UMN49255		\$	12,551.00	\$	-
FLORIDA STATE UNIVERSITY Total		\$	12,551.00	\$	-
GREEN FORTRESS ENGINEERING					
1648748		\$	35,658.00	\$	-
GREEN FORTRESS ENGINEERING Total		\$	35,658.00	\$	-
PURDUE UNIVERSITY					
4101-71587		\$	129,803.00	\$	-
PURDUE UNIVERSITY Total		\$	129,803.00	\$	-
UNIVERSITY OF LOUISVILLE					
16-1120-02/1639609		\$	1,489.00	\$	-
UNIVERSITY OF LOUISVILLE Total		\$	1,489.00	\$	-
UNIVERSITY OF NOTRE DAME					
202106IUSB		\$	5,366.00	\$	-
UNIVERSITY OF NOTRE DAME Total		\$	5,366.00	\$	-
WRIGHT STATE UNIVERSITY					
669245		\$	17,903.00	\$	-
WRIGHT STATE UNIVERSITY Total		\$	17,903.00	\$	-
Engineering Grants Total		\$	264,353.00	\$	-
Geosciences 47.050		\$	483,936.00	\$	-
PRINCETON UNIVERSITY					
SUB0000084		\$	234,202.00	\$	-
PRINCETON UNIVERSITY Total		\$	234,202.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF GEORGIA RR100-621/4353888	\$	53,281.00	\$	-
UNIVERSITY OF GEORGIA Total	\$	53,281.00	\$	-
UNIVERSITY OF IDAHO IDK828-SB-001	\$	5,312.00	\$	-
UNIVERSITY OF IDAHO Total	\$	5,312.00	\$	-
UNIVERSITY OF ILLINOIS 2013-04254-07	\$	47,415.00	\$	-
2013-04254-07^072212-14708	\$	15,930.00	\$	-
UNIVERSITY OF ILLINOIS Total	\$	63,345.00	\$	-
UNIVERSITY OF MINNESOTA A003176708	\$	33,613.00	\$	-
UNIVERSITY OF MINNESOTA Total	\$	33,613.00	\$	-
UNIVERSITY OF NEBRASKA 25-0514-0139-002	\$	22,072.00	\$	-
UNIVERSITY OF NEBRASKA Total	\$	22,072.00	\$	-
UNIVERSITY OF TEXAS AT AUSTIN UTA12-000579	\$	72,111.00	\$	-
UNIVERSITY OF TEXAS AT AUSTIN Total	\$	72,111.00	\$	-
Geosciences Total	\$	483,936.00	\$	-
Mathematical and Physical Sciences 47.049	\$	1,228,482.00	\$	-
AMERICAN PHYSICAL SOCIETY 0	\$	10,760.00	\$	-
AMERICAN PHYSICAL SOCIETY Total	\$	10,760.00	\$	-
STONY BROOK UNIVERSITY 76749/1136652/2	\$	198.00	\$	-
STONY BROOK UNIVERSITY Total	\$	198.00	\$	-
UNIVERSITY AT BUFFALO - SUNY R999963	\$	8,343.00	\$	-
UNIVERSITY AT BUFFALO - SUNY Total	\$	8,343.00	\$	-
UNIVERSITY OF CHICAGO 47654-A	\$	130,839.00	\$	-
FP052629	\$	13,449.00	\$	-
UNIVERSITY OF CHICAGO Total	\$	144,288.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN 2012-02457-01	\$	38,351.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total	\$	38,351.00	\$	-
UNIVERSITY OF MINNESOTA A004711801	\$	39,057.00	\$	-
UNIVERSITY OF MINNESOTA Total	\$	39,057.00	\$	-
UNIVERSITY OF NEBRASKA 28-0521-0199-002	\$	36,423.00	\$	-
UNIVERSITY OF NEBRASKA Total	\$	36,423.00	\$	-
UNIVERSITY OF NOTRE DAME 202209IU	\$	(75.00)	\$	-
UNIVERSITY OF NOTRE DAME Total	\$	(75.00)	\$	-
UNIVERSITY OF WISCONSIN 447K963	\$	951,137.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	951,137.00	\$	-
Mathematical and Physical Sciences Total	\$	1,228,482.00	\$	-
Office of Cyberinfrastructure 47.080	\$	429,940.00	\$	-
UNIVERSITY OF MICHIGAN 3002083949	\$	233,358.00	\$	-
UNIVERSITY OF MICHIGAN Total	\$	233,358.00	\$	-
UNIVERSITY OF TEXAS AT AUSTIN UTA13-000071	\$	196,582.00	\$	-
UNIVERSITY OF TEXAS AT AUSTIN Total	\$	196,582.00	\$	-
Office of Cyberinfrastructure Total	\$	429,940.00	\$	-
Office of International Science and Engineering 47.079	\$	44,274.00	\$	-
ST EDWARDS UNIVERSITY 2016-2019-1	\$	44,274.00	\$	-
ST EDWARDS UNIVERSITY Total	\$	44,274.00	\$	-
Office of International Science and Engineering Total	\$	44,274.00	\$	-
Social, Behavioral, and Economic Sciences				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	47.075		\$	88,030.00	\$	-
	RESEARCH FOUNDATION CITY UNIVERSITY OF NEW YORK					
	40C54-A		\$	(1,959.00)	\$	-
	RESEARCH FOUNDATION CITY UNIVERSITY OF NEW YORK Total		\$	(1,959.00)	\$	-
	UC HASTINGS COLLEGE OF THE LAW					
	12-21188-02-041317		\$	70,466.00	\$	-
	UC HASTINGS COLLEGE OF THE LAW Total		\$	70,466.00	\$	-
	UNIVERSITY OF CALIFORNIA, SAN DIEGO					
	79413515		\$	860.00	\$	-
	UNIVERSITY OF CALIFORNIA, SAN DIEGO Total		\$	860.00	\$	-
	UNIVERSITY OF MARYLAND					
	45373-Z4401002/SES1343123		\$	7,650.00	\$	-
	UNIVERSITY OF MARYLAND Total		\$	7,650.00	\$	-
	UNIVERSITY OF NORTH CAROLINA AT GREENSBORO					
	20170044		\$	11,013.00	\$	-
	UNIVERSITY OF NORTH CAROLINA AT GREENSBORO Total		\$	11,013.00	\$	-
	Social, Behavioral, and Economic Sciences Total		\$	88,030.00	\$	-
	Pass Through Total		\$	7,270,177.00	\$	-
	National Science Foundation Total		\$	50,217,667.00	\$	3,677,352.00
	National Science Foundation Total		\$	50,217,667.00	\$	3,677,352.00
	Social Security Administration					
	Social Security Administration					
	Pass Through					
	Social Security_Research and Demonstration					
	96.007		\$	1,059.00	\$	-
	BOSTON COLLEGE					
	5002099-52		\$	1,059.00	\$	-
	BOSTON COLLEGE Total		\$	1,059.00	\$	-
	Social Security_Research and Demonstration Total		\$	1,059.00	\$	-
	Pass Through Total		\$	1,059.00	\$	-
	Social Security Administration Total		\$	1,059.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Social Security Administration Total	\$	1,059.00	\$	-
National Endowment for the Humanities				
National Endowment for the Humanities				
Direct				
Promotion of the Humanities_Division of Preservation and Access				
45.149	\$	255,739.00	\$	72,617.00
	\$	255,739.00	\$	72,617.00
Total	\$	255,739.00	\$	72,617.00
Promotion of the Humanities_Division of Preservation and Access Total	\$	255,739.00	\$	72,617.00
Promotion of the Humanities_Office of Digital Humanities				
45.169	\$	14,266.00	\$	-
	\$	14,266.00	\$	-
Total	\$	14,266.00	\$	-
Promotion of the Humanities_Office of Digital Humanities Total	\$	14,266.00	\$	-
Promotion of the Humanities_Research				
45.161	\$	186,099.00	\$	-
	\$	186,099.00	\$	-
Total	\$	186,099.00	\$	-
Promotion of the Humanities_Research Total	\$	186,099.00	\$	-
Direct Total	\$	456,104.00	\$	72,617.00
Pass Through				
Promotion of the Humanities_Division of Preservation and Access				
45.149	\$	23,749.00	\$	-
BAY AREA VIDEO COALITION				
0	\$	23,749.00	\$	-
BAY AREA VIDEO COALITION Total	\$	23,749.00	\$	-
Promotion of the Humanities_Division of Preservation and Access Total	\$	23,749.00	\$	-
Promotion of the Humanities_Federal/State Partnership				
45.129	\$	4,401.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

ALASKA HUMANITIES FORUM			
G16-0001	\$	2,714.00	\$ -
ALASKA HUMANITIES FORUM Total	\$	2,714.00	\$ -
INDIANA HUMANITIES COUNCIL			
16-1004	\$	1,687.00	\$ -
INDIANA HUMANITIES COUNCIL Total	\$	1,687.00	\$ -
Promotion of the Humanities_Federal/State Partnership Total	\$	4,401.00	\$ -
Promotion of the Humanities_Office of Digital Humanities			
45.169	\$	20,004.00	\$ -
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN			
2014-04776-03	\$	20,004.00	\$ -
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total	\$	20,004.00	\$ -
Promotion of the Humanities_Office of Digital Humanities Total	\$	20,004.00	\$ -
Promotion of the Humanities_Research			
45.161	\$	26,013.00	\$ -
MICHIGAN STATE UNIVERSITY			
RC101958IU	\$	26,013.00	\$ -
MICHIGAN STATE UNIVERSITY Total	\$	26,013.00	\$ -
Promotion of the Humanities_Research Total	\$	26,013.00	\$ -
Pass Through Total	\$	74,167.00	\$ -
National Endowment for the Humanities Total	\$	530,271.00	\$ 72,617.00
National Endowment for the Humanities Total	\$	530,271.00	\$ 72,617.00
Institute of Museum and Library Services			
Institute of Museum and Library Services			
Direct			
Laura Bush 21st Century Librarian Program			
45.313	\$	147,015.00	\$ -
	\$	147,015.00	\$ -
Total	\$	147,015.00	\$ -
Laura Bush 21st Century Librarian Program Total	\$	147,015.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct Total		\$	147,015.00	\$	-
Pass Through					
Laura Bush 21st Century Librarian Program					
45.313		\$	(1,248.00)	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN					
2015-02117-01		\$	(1,248.00)	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total		\$	(1,248.00)	\$	-
Laura Bush 21st Century Librarian Program Total		\$	(1,248.00)	\$	-
National Leadership Grants					
45.312		\$	18,275.00	\$	-
ALEXANDRIA ARCHIVE INSTITUTE					
0		\$	18,275.00	\$	-
ALEXANDRIA ARCHIVE INSTITUTE Total		\$	18,275.00	\$	-
National Leadership Grants Total		\$	18,275.00	\$	-
Pass Through Total		\$	17,027.00	\$	-
Institute of Museum and Library Services Total		\$	164,042.00	\$	-
Institute of Museum and Library Services Total		\$	164,042.00	\$	-
Research and Development Cluster Total		\$	274,451,708.00	\$	41,388,360.00
TANF Cluster					
Department of Health and Human Services					
Administration for Children and Families					
Pass Through					
Temporary Assistance for Needy Families (TANF) State Programs					
93.558		\$	126,648.00	\$	-
SERVE INDIANA					
18839		\$	126,648.00	\$	-
SERVE INDIANA Total		\$	126,648.00	\$	-
Temporary Assistance for Needy Families (TANF) State Programs Total		\$	126,648.00	\$	-
Pass Through Total		\$	126,648.00	\$	-
Administration for Children and Families Total		\$	126,648.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Health and Human Services Total	\$	126,648.00	\$	-
TANF Cluster Total	\$	126,648.00	\$	-
Aging Cluster				
Department of Health and Human Services				
Administration for Community Living				
Pass Through				
Nutrition Services Incentive Program				
93.053	\$	74,566.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A345-6-89-16-0V-0203	\$	74,566.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	74,566.00	\$	-
Nutrition Services Incentive Program Total	\$	74,566.00	\$	-
Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers				
93.044	\$	190,048.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A345-6-89-16-0V-0203	\$	190,048.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	190,048.00	\$	-
Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers Total	\$	190,048.00	\$	-
Special Programs for the Aging_Title III, Part C_Nutrition Services				
93.045	\$	562,731.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A345-6-89-16-0V-0203	\$	562,731.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	562,731.00	\$	-
Special Programs for the Aging_Title III, Part C_Nutrition Services Total	\$	562,731.00	\$	-
Pass Through Total	\$	827,345.00	\$	-
Administration for Community Living Total	\$	827,345.00	\$	-
Department of Health and Human Services Total	\$	827,345.00	\$	-
Aging Cluster Total	\$	827,345.00	\$	-
Highway Safety Cluster				
Department of Transportation				

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

National Highway Traffic Safety Administration (nhtsa)			
Pass Through			
National Priority Safety Programs			
20.616	\$	225,133.00	\$ 9,407.00
INDIANA CRIMINAL JUSTICE INSTITUTE			
D3-16-10268	\$	225,133.00	\$ 9,407.00
INDIANA CRIMINAL JUSTICE INSTITUTE Total	\$	225,133.00	\$ 9,407.00
National Priority Safety Programs Total	\$	225,133.00	\$ 9,407.00
State and Community Highway Safety			
20.600	\$	459,000.00	\$ -
INDIANA CRIMINAL JUSTICE INSTITUTE			
D3-17-11206	\$	459,000.00	\$ -
INDIANA CRIMINAL JUSTICE INSTITUTE Total	\$	459,000.00	\$ -
State and Community Highway Safety Total	\$	459,000.00	\$ -
Pass Through Total	\$	684,133.00	\$ 9,407.00
National Highway Traffic Safety Administration (nhtsa) Total	\$	684,133.00	\$ 9,407.00
Department of Transportation Total	\$	684,133.00	\$ 9,407.00
Highway Safety Cluster Total	\$	684,133.00	\$ 9,407.00
Medicaid Cluster			
Department of Health and Human Services			
Centers for Medicare and Medicaid Services			
Pass Through			
Medical Assistance Program			
93.778	\$	248,384.00	\$ -
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION			
A345-6-49-16-AG-0203	\$	64,154.00	\$ -
A345-7-89-17-KM-0203	\$	184,230.00	\$ -
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	248,384.00	\$ -
Medical Assistance Program Total	\$	248,384.00	\$ -
Pass Through Total	\$	248,384.00	\$ -
Centers for Medicare and Medicaid Services Total	\$	248,384.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Department of Health and Human Services Total	\$	248,384.00	\$	-
Medicaid Cluster Total	\$	248,384.00	\$	-
Special Education Cluster (IDEA)				
Department of Education				
Office of Special Education and Rehabilitative Services				
Pass Through				
Special Education_Grants to States				
84.027	\$	364,007.00	\$	-
INDIANA DEPARTMENT OF EDUCATION				
00000000000000000000000018510^A58-6-16DL-3166	\$	293,706.00	\$	-
A58-6-16DL-3166	\$	70,301.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total	\$	364,007.00	\$	-
Special Education_Grants to States Total	\$	364,007.00	\$	-
Pass Through Total	\$	364,007.00	\$	-
Office of Special Education and Rehabilitative Services Total	\$	364,007.00	\$	-
Department of Education Total	\$	364,007.00	\$	-
Special Education Cluster (IDEA) Total	\$	364,007.00	\$	-
TRIO Cluster				
Department of Education				
Office of Postsecondary Education				
Direct				
TRIO_McNair Post-Baccalaureate Achievement				
84.217	\$	175,153.00	\$	-
	\$	175,153.00	\$	-
Total	\$	175,153.00	\$	-
TRIO_McNair Post-Baccalaureate Achievement Total	\$	175,153.00	\$	-
TRIO_Student Support Services				
84.042	\$	794,849.00	\$	-
	\$	794,849.00	\$	-
Total	\$	794,849.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

TRIO_Student Support Services Total		\$	794,849.00	\$	-
TRIO_Upward Bound 84.047		\$	669,088.00	\$	-
		\$	669,088.00	\$	-
Total		\$	669,088.00	\$	-
TRIO_Upward Bound Total		\$	669,088.00	\$	-
Direct Total		\$	1,639,090.00	\$	-
Office of Postsecondary Education Total		\$	1,639,090.00	\$	-
Department of Education Total		\$	1,639,090.00	\$	-
TRIO Cluster Total		\$	1,639,090.00	\$	-
Student Financial Assistance Cluster					
Department of Education					
Office of Federal Student Aid					
Direct					
Federal Direct Student Loans 84.268		\$	465,230,337.00		
		\$	465,230,337.00		
		\$	465,230,337.00		
Federal Direct Student Loans Total		\$	465,230,337.00		
Federal Pell Grant Program 84.063		\$	94,053,679.00		
		\$	94,053,679.00		
		\$	94,053,679.00		
Federal Pell Grant Program Total		\$	94,053,679.00		
Federal Perkins Loan Program 84.038		\$	219,122.00		
		\$	219,122.00		

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	219,122.00
Federal Perkins Loan Program Total	\$	219,122.00
Federal Perkins Loan Program - Loan / Loan Guarantee 84.038	\$	44,452,572.00
	\$	44,452,572.00
	\$	44,452,572.00
Federal Perkins Loan Program - Loan / Loan Guarantee Total	\$	44,452,572.00
Federal Supplemental Educational Opportunity Grants 84.007	\$	3,292,240.00
	\$	3,292,240.00
	\$	3,292,240.00
Federal Supplemental Educational Opportunity Grants Total	\$	3,292,240.00
Federal Work-Study Program 84.033	\$	3,926,937.00
	\$	3,926,937.00
	\$	3,926,937.00
Federal Work-Study Program Total	\$	3,926,937.00
Direct Total	\$	611,174,887.00
Office of Federal Student Aid Total	\$	611,174,887.00
Department of Education Total	\$	611,174,887.00
Department of Health and Human Services Health Resources and Services Administration Direct ARRA - Nurse Faculty Loan Program 93.408	\$	403,506.00
	\$	403,506.00
	\$	403,506.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

ARRA - Nurse Faculty Loan Program Total	\$	403,506.00	
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students 93.342	\$	21,314,200.00	
	\$	21,314,200.00	
	\$	21,314,200.00	
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students Total	\$	21,314,200.00	
Nurse Faculty Loan Program 93.264	\$	938,494.00	
	\$	938,494.00	
	\$	938,494.00	
Nurse Faculty Loan Program Total	\$	938,494.00	
Nursing Student Loans 93.364	\$	2,019,688.00	
	\$	2,019,688.00	
	\$	2,019,688.00	
Nursing Student Loans Total	\$	2,019,688.00	
Direct Total	\$	24,675,888.00	
Health Resources and Services Administration Total	\$	24,675,888.00	
Department of Health and Human Services Total	\$	24,675,888.00	
Student Financial Assistance Cluster Total	\$	635,850,775.00	
Other Programs			
Agency for International Development			
Agency for International Development			
Direct			
USAID Foreign Assistance for Programs Overseas			
98.001	\$	188,425.00	\$ (1,080.00)

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	188,425.00	\$	(1,080.00)
Total	\$	188,425.00	\$	(1,080.00)
USAID Foreign Assistance for Programs Overseas Total	\$	188,425.00	\$	(1,080.00)
98.U21	\$	989,227.00	\$	613,021.00
AID-668-A-16-00004				
	\$	989,227.00	\$	613,021.00
Total	\$	989,227.00	\$	613,021.00
	\$	989,227.00	\$	613,021.00
Direct Total	\$	1,177,652.00	\$	611,941.00
Pass Through				
Cooperative Development Program (CDP)				
98.002	\$	13,848.00	\$	-
PALLADIUM INTERNATIONAL, LLC				
217706-IU-001/AID-615-A-16-00007	\$	13,848.00	\$	-
PALLADIUM INTERNATIONAL, LLC Total	\$	13,848.00	\$	-
Cooperative Development Program (CDP) Total	\$	13,848.00	\$	-
USAID Foreign Assistance for Programs Overseas				
98.001	\$	430,529.00	\$	-
REGENSTRIEF INSTITUTE, INC.				
AID-OAA-L-14-00004	\$	241,203.00	\$	-
REGENSTRIEF INSTITUTE, INC. Total	\$	241,203.00	\$	-
WORLD LEARNING				
S01-AID-167-A-14-00002	\$	189,326.00	\$	-
WORLD LEARNING Total	\$	189,326.00	\$	-
USAID Foreign Assistance for Programs Overseas Total	\$	430,529.00	\$	-
98.U22	\$	1,721,346.00	\$	307,183.00
AID-497-C-12-00001-IU-01				
CHEMONICS INTERNATIONAL				
AID-497-C-12-00001-IU-01	\$	75,969.00	\$	-
CHEMONICS INTERNATIONAL Total	\$	75,969.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

AID-615-A-12-00001			
MOI TEACHING AND REFERRAL HOSPITAL			
AID-615-A-12-00001	\$	1,645,377.00	\$ 307,183.00
MOI TEACHING AND REFERRAL HOSPITAL Total	\$	1,645,377.00	\$ 307,183.00
	\$	1,721,346.00	\$ 307,183.00
Pass Through Total	\$	2,165,723.00	\$ 307,183.00
Agency for International Development Total	\$	3,343,375.00	\$ 919,124.00
Agency for International Development Total	\$	3,343,375.00	\$ 919,124.00
Corporation for National and Community Service			
Corporation for National and Community Service			
Direct			
Volunteers in Service to America			
94.013	\$	12,419.00	\$ -
	\$	12,419.00	\$ -
Total	\$	12,419.00	\$ -
Volunteers in Service to America Total	\$	12,419.00	\$ -
Direct Total	\$	12,419.00	\$ -
Pass Through			
AmeriCorps			
94.006	\$	127,825.00	\$ -
INDIANA COMMISSION FOR HIGHER EDUCATION			
J22-16-CO429	\$	26.00	\$ -
J-22-17-C0549	\$	2,000.00	\$ -
J-22-17-C0550	\$	2,000.00	\$ -
INDIANA COMMISSION FOR HIGHER EDUCATION Total	\$	4,026.00	\$ -
INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT			
14331	\$	49,372.00	\$ -
17103	\$	73,530.00	\$ -
20068	\$	897.00	\$ -
INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT Total	\$	123,799.00	\$ -
AmeriCorps Total	\$	127,825.00	\$ -
Pass Through Total	\$	127,825.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Corporation for National and Community Service Total		\$	140,244.00	\$	-
Corporation for National and Community Service Total		\$	140,244.00	\$	-
Department of Agriculture					
Department of Agriculture					
Direct					
10.U01		\$	147,962.00	\$	-
14-CS-11091202-009					
		\$	273.00	\$	-
Total		\$	273.00	\$	-
14-CS-11132466-023					
		\$	147,689.00	\$	-
Total		\$	147,689.00	\$	-
		\$	147,962.00	\$	-
Direct Total		\$	147,962.00	\$	-
Pass Through					
10.U02		\$	25,079.00	\$	-
18257					
INDIANA DEPARTMENT OF EDUCATION					
18257		\$	25,079.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total		\$	25,079.00	\$	-
		\$	25,079.00	\$	-
Pass Through Total		\$	25,079.00	\$	-
Department of Agriculture Total		\$	173,041.00	\$	-
Food and Nutrition Service					
Pass Through					
Child and Adult Care Food Program					
10.558		\$	116,108.00	\$	-
INDIANA DEPARTMENT OF EDUCATION					
1490103		\$	80,080.00	\$	-
1530220		\$	12,228.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

1530240	\$	15,797.00	\$	-
1530270	\$	8,003.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total	\$	116,108.00	\$	-
Child and Adult Care Food Program Total	\$	116,108.00	\$	-
Pass Through Total	\$	116,108.00	\$	-
Food and Nutrition Service Total	\$	116,108.00	\$	-
Department of Agriculture Total	\$	289,149.00	\$	-
Department of Commerce				
Department of Commerce				
Direct				
11.U03	\$	1,482,181.00	\$	-
16111011-0000-000				
	\$	67,571.00	\$	-
Total	\$	67,571.00	\$	-
ST-1330-13-CQ-0037				
	\$	1,414,610.00	\$	-
Total	\$	1,414,610.00	\$	-
	\$	1,482,181.00	\$	-
Direct Total	\$	1,482,181.00	\$	-
Department of Commerce Total	\$	1,482,181.00	\$	-
National Oceanic and Atmospheric Administration (noaa)				
Pass Through				
Coastal Zone Management Administration Awards				
11.419	\$	21,870.00	\$	-
GEORGIA DEPARTMENT OF NATURAL RESOURCES				
0	\$	13,063.00	\$	-
GCMP2016-2020 309	\$	4,549.00	\$	-
GEORGIA DEPARTMENT OF NATURAL RESOURCES Total	\$	17,612.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES				
E16-4-BKS0325	\$	4,258.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES Total	\$	4,258.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Coastal Zone Management Administration Awards Total	\$	21,870.00	\$	-
Pass Through Total	\$	21,870.00	\$	-
National Oceanic and Atmospheric Administration (noaa) Total	\$	21,870.00	\$	-
Department of Commerce Total	\$	1,504,051.00	\$	-
Department of Defense				
Department of Defense				
Direct				
12.U04	\$	28,836.00	\$	-
DOD IPA - 2016				
	\$	13,592.00	\$	-
Total	\$	13,592.00	\$	-
N00164-15-D-S013				
	\$	1.00	\$	-
Total	\$	1.00	\$	-
Richard Ryan Stevens				
	\$	15,243.00	\$	-
Total	\$	15,243.00	\$	-
	\$	28,836.00	\$	-
Direct Total	\$	28,836.00	\$	-
Pass Through				
12.U05	\$	401,022.00	\$	-
421225C059				
MONTANA STATE UNIVERSITY				
421225C059	\$	21,978.00	\$	-
MONTANA STATE UNIVERSITY Total	\$	21,978.00	\$	-
IDB - 2016				
INSTITUTE FOR DEFENSE AND BUSINESS				
0	\$	63,445.00	\$	-
INSTITUTE FOR DEFENSE AND BUSINESS Total	\$	63,445.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

IDB - 2017				
INSTITUTE FOR DEFENSE AND BUSINESS				
	0	\$	64,691.00	\$ -
INSTITUTE FOR DEFENSE AND BUSINESS Total		\$	64,691.00	\$ -
SP11061-01				
GEORGIA STATE UNIVERSITY				
	SP11061-01	\$	250,908.00	\$ -
GEORGIA STATE UNIVERSITY Total		\$	250,908.00	\$ -
		\$	401,022.00	\$ -
Pass Through Total		\$	401,022.00	\$ -
Department of Defense Total		\$	429,858.00	\$ -
Department of the Navy, Office of the Chief of Naval Research				
Direct				
Basic and Applied Scientific Research				
	12.300	\$	210,948.00	\$ -
		\$	210,948.00	\$ -
Total		\$	210,948.00	\$ -
Basic and Applied Scientific Research Total		\$	210,948.00	\$ -
Direct Total		\$	210,948.00	\$ -
Department of the Navy, Office of the Chief of Naval Research Total		\$	210,948.00	\$ -
Office of the Secretary of Defense				
Pass Through				
Basic, Applied, and Advanced Research in Science and Engineering				
	12.630	\$	3,828.00	\$ -
ACADEMY OF APPLIED SCIENCE				
	SG-16-046/SG-16-047	\$	3,828.00	\$ -
ACADEMY OF APPLIED SCIENCE Total		\$	3,828.00	\$ -
Basic, Applied, and Advanced Research in Science and Engineering Total		\$	3,828.00	\$ -
ROTC Language and Culture Training Grants				
	12.357	\$	226,063.00	\$ -
INSTITUTE OF INTERNATIONAL EDUCATION				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

2603-IU-26-GO-017-PO2	\$	10,320.00	\$	-
2603-IU-26-GO-51-PO3	\$	215,743.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	226,063.00	\$	-
ROTC Language and Culture Training Grants Total	\$	226,063.00	\$	-
The Language Flagship Grants to Institutions of Higher Education 12.550	\$	1,228,271.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION				
0054-IU-5^SSS-280-PO6	\$	36,000.00	\$	-
0054-IU-5-ARA-280-PO1	\$	315,951.00	\$	-
0054-IU-5-CHN-280-PO2	\$	292,836.00	\$	-
0054-IU-5-LR-280-PO9	\$	2,411.00	\$	-
0054-IU-5-SSA-280-PO11	\$	21,000.00	\$	-
0054-IU-5-SSC-280-PO5	\$	114,846.00	\$	-
0054-IU-5-SSC-280-PO8	\$	133,873.00	\$	-
0054-IU-5-SST-280-PO10	\$	25,924.00	\$	-
0054-IU-5-SST-280-PO7	\$	21,258.00	\$	-
0054-IU-5-TUR-280-PO3	\$	297,258.00	\$	-
NSEP-U631063-IU-TUR	\$	(5,821.00)	\$	-
NSEP-U631073-IU-CHN	\$	(14,730.00)	\$	-
NSEP-U631073-IU-SWA	\$	(12,535.00)	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	1,228,271.00	\$	-
The Language Flagship Grants to Institutions of Higher Education Total	\$	1,228,271.00	\$	-
Pass Through Total	\$	1,458,162.00	\$	-
Office of the Secretary of Defense Total	\$	1,458,162.00	\$	-
U.S. Army Medical Command Pass Through Military Medical Research and Development 12.420	\$	19,925.00	\$	-
CORNELL UNIVERSITY 09010049 / W81XWH-09-1-0596	\$	16,958.00	\$	-
CORNELL UNIVERSITY Total	\$	16,958.00	\$	-
TISSUE GENESIS INC. W81XWH-10-2-0108	\$	2,967.00	\$	-
TISSUE GENESIS INC. Total	\$	2,967.00	\$	-
Military Medical Research and Development Total	\$	19,925.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Pass Through Total		\$	19,925.00	\$	-
U.S. Army Medical Command Total		\$	19,925.00	\$	-
National Security Agency					
Direct					
Language Grant Program					
12.900		\$	33,356.00	\$	-
		\$	33,356.00	\$	-
Total		\$	33,356.00	\$	-
Language Grant Program Total		\$	33,356.00	\$	-
Direct Total		\$	33,356.00	\$	-
National Security Agency Total		\$	33,356.00	\$	-
Department of the Air Force, Materiel Command					
Pass Through					
Air Force Defense Research Sciences Program					
12.800		\$	35,258.00	\$	-
AERODYN COMBUSTION LLC					
0		\$	35,258.00	\$	-
AERODYN COMBUSTION LLC Total		\$	35,258.00	\$	-
Air Force Defense Research Sciences Program Total		\$	35,258.00	\$	-
Pass Through Total		\$	35,258.00	\$	-
Department of the Air Force, Materiel Command Total		\$	35,258.00	\$	-
Department of Defense Total		\$	2,187,507.00	\$	-
Department of Education					
Department of Education					
Pass Through					
National Writing Project					
84.928		\$	4,152.00	\$	-
NATIONAL WRITING PROJECT CORPORATION					
03-IN05		\$	4,152.00	\$	-
NATIONAL WRITING PROJECT CORPORATION Total		\$	4,152.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

National Writing Project Total		\$	4,152.00	\$	-
84.U16		\$	155,950.00	\$	-
20039					
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION					
20039		\$	23,948.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total		\$	23,948.00	\$	-
GA06-3-53-13-5F-0203					
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION					
GA06-3-53-13-5F-0203		\$	132,002.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total		\$	132,002.00	\$	-
		\$	155,950.00	\$	-
Pass Through Total		\$	160,102.00	\$	-
Department of Education Total		\$	160,102.00	\$	-
Office of Postsecondary Education					
Direct					
Centers for International Business Education					
84.220		\$	294,674.00	\$	35,292.00
		\$	294,674.00	\$	35,292.00
Total		\$	294,674.00	\$	35,292.00
Centers for International Business Education Total		\$	294,674.00	\$	35,292.00
Graduate Assistance in Areas of National Need					
84.200		\$	414,451.00	\$	-
		\$	414,451.00	\$	-
Total		\$	414,451.00	\$	-
Graduate Assistance in Areas of National Need Total		\$	414,451.00	\$	-
Language Resource Centers					
84.229		\$	340,673.00	\$	-
		\$	340,673.00	\$	-
Total		\$	340,673.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Language Resource Centers Total		\$	340,673.00	\$	-
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program					
84.015		\$	1,516,160.00	\$	-
		\$	1,516,160.00	\$	-
Total		\$	1,516,160.00	\$	-
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program Total		\$	1,516,160.00	\$	-
Direct Total		\$	2,565,958.00	\$	35,292.00
Pass Through					
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program					
84.015		\$	138,993.00	\$	-
COLUMBIA UNIVERSITY					
1(GG009724)		\$	20,679.00	\$	-
COLUMBIA UNIVERSITY Total		\$	20,679.00	\$	-
STANFORD UNIVERSITY					
0		\$	15,432.00	\$	-
STANFORD UNIVERSITY Total		\$	15,432.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN					
076419-15461		\$	102,882.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total		\$	102,882.00	\$	-
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program Total		\$	138,993.00	\$	-
Undergraduate International Studies and Foreign Language Programs					
84.016		\$	7,044.00	\$	-
IVY TECH COMMUNITY COLLEGE OF INDIANA					
PO158448		\$	7,044.00	\$	-
IVY TECH COMMUNITY COLLEGE OF INDIANA Total		\$	7,044.00	\$	-
Undergraduate International Studies and Foreign Language Programs Total		\$	7,044.00	\$	-
Pass Through Total		\$	146,037.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Office of Postsecondary Education Total		\$	2,711,995.00	\$	35,292.00
Institute of Education Sciences					
Pass Through					
Education Research, Development and Dissemination					
84.305		\$	31,213.00	\$	-
NORTHWESTERN UNIVERSITY					
SP0025587-PROJ0012003		\$	31,213.00	\$	-
NORTHWESTERN UNIVERSITY Total		\$	31,213.00	\$	-
Education Research, Development and Dissemination Total		\$	31,213.00	\$	-
Pass Through Total		\$	31,213.00	\$	-
Institute of Education Sciences Total		\$	31,213.00	\$	-
Office of Elementary and Secondary Education					
Direct					
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers)					
84.004		\$	1,001,446.00	\$	43,291.00
		\$	1,001,446.00	\$	43,291.00
Total		\$	1,001,446.00	\$	43,291.00
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) Total		\$	1,001,446.00	\$	43,291.00
English Language Acquisition State Grants					
84.365		\$	137,837.00	\$	-
		\$	137,837.00	\$	-
Total		\$	137,837.00	\$	-
English Language Acquisition State Grants Total		\$	137,837.00	\$	-
Direct Total		\$	1,139,283.00	\$	43,291.00
Pass Through					
Mathematics and Science Partnerships					
84.366		\$	214,572.00	\$	-
INDIANA DEPARTMENT OF EDUCATION					
A58-6-16CI-3743		\$	186,133.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

A58-6-16CI-3743^0000000000000000000019671	\$	28,439.00	\$	-
INDIANA DEPARTMENT OF EDUCATION Total	\$	214,572.00	\$	-
Mathematics and Science Partnerships Total	\$	214,572.00	\$	-
Supporting Effective Instruction State Grant (formerly Improving Teacher Quality State Grants) 84.367	\$	54,799.00	\$	-
INDIANA COMMISSION FOR HIGHER EDUCATION				
J22-15-C0353	\$	34,652.00	\$	-
INDIANA COMMISSION FOR HIGHER EDUCATION Total	\$	34,652.00	\$	-
NATIONAL WRITING PROJECT CORPORATION				
03-IN05-SEED2012	\$	(5,600.00)	\$	-
03-IN05-SEED2016	\$	11,380.00	\$	-
03-IN05-SEED2016-ILI	\$	1,228.00	\$	-
94-IN02-SEED2012	\$	4,748.00	\$	-
94-IN02-SEED2016-ILI	\$	8,581.00	\$	-
NATIONAL WRITING PROJECT CORPORATION Total	\$	20,337.00	\$	-
UNIVERSITY OF IDAHO				
ETK379-SB-002	\$	(190.00)	\$	-
UNIVERSITY OF IDAHO Total	\$	(190.00)	\$	-
Supporting Effective Instruction State Grant (formerly Improving Teacher Quality State Grants) Total	\$	54,799.00	\$	-
Twenty-First Century Community Learning Centers 84.287	\$	301,020.00	\$	-
KENTUCKY DEPARTMENT OF EDUCATION				
PON2 540 1500002744 1	\$	179.00	\$	-
PON2 540 1600003270 2	\$	300,841.00	\$	-
KENTUCKY DEPARTMENT OF EDUCATION Total	\$	301,020.00	\$	-
Twenty-First Century Community Learning Centers Total	\$	301,020.00	\$	-
Pass Through Total	\$	570,391.00	\$	-
Office of Elementary and Secondary Education Total	\$	1,709,674.00	\$	43,291.00
Office of Special Education and Rehabilitative Services Pass Through				
Rehabilitation Services_Vocational Rehabilitation Grants to States 84.126	\$	526,131.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

VR1-5-53-15-VL-0203	\$	510,864.00	\$	-
VR1-5-53-15-VL-0203^0000000000000000000020734	\$	15,267.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	526,131.00	\$	-
Rehabilitation Services_Vocational Rehabilitation Grants to States Total	\$	526,131.00	\$	-
Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities 84.326	\$	63,675.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL				
5039319	\$	63,675.00	\$	-
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL Total	\$	63,675.00	\$	-
Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities Total	\$	63,675.00	\$	-
Special Education-Grants for Infants and Families 84.181	\$	35,543.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
GA06-5-53-15-5F-0203	\$	35,543.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	35,543.00	\$	-
Special Education-Grants for Infants and Families Total	\$	35,543.00	\$	-
Pass Through Total	\$	625,349.00	\$	-
Office of Special Education and Rehabilitative Services Total	\$	625,349.00	\$	-
Office of Career, Technical, and Adult Education Pass Through				
Career and Technical Education -- Basic Grants to States 84.048	\$	12,235.00	\$	-
BALL STATE UNIVERSITY				
A58-7-17CI-3852	\$	11,999.00	\$	-
BALL STATE UNIVERSITY Total	\$	11,999.00	\$	-
IVY TECH STATE COLLEGE NORTHWEST				
0	\$	236.00	\$	-
IVY TECH STATE COLLEGE NORTHWEST Total	\$	236.00	\$	-
Career and Technical Education -- Basic Grants to States Total	\$	12,235.00	\$	-
Pass Through Total	\$	12,235.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Office of Career, Technical, and Adult Education Total	\$	12,235.00	\$	-
Office of Innovation and Improvement				
Direct				
Fund for the Improvement of Education				
84.215	\$	69,432.00	\$	-
	\$	69,432.00	\$	-
Total	\$	69,432.00	\$	-
Fund for the Improvement of Education Total	\$	69,432.00	\$	-
Direct Total	\$	69,432.00	\$	-
Pass Through				
Fund for the Improvement of Education				
84.215	\$	122,356.00	\$	-
MARY RIGG NEIGHBORHOOD CENTER				
0	\$	57,083.00	\$	-
U215J150075	\$	65,273.00	\$	-
MARY RIGG NEIGHBORHOOD CENTER Total	\$	122,356.00	\$	-
Fund for the Improvement of Education Total	\$	122,356.00	\$	-
Pass Through Total	\$	122,356.00	\$	-
Office of Innovation and Improvement Total	\$	191,788.00	\$	-
Department of Education Total	\$	5,442,356.00	\$	78,583.00
Department of Energy				
Department of Energy				
Pass Through				
Fossil Energy Research and Development				
81.089	\$	7,060.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN				
2005-05060-27	\$	7,060.00	\$	-
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN Total	\$	7,060.00	\$	-
Fossil Energy Research and Development Total	\$	7,060.00	\$	-
Renewable Energy Research and Development				
81.087	\$	60,832.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

WEST VIRGINIA UNIVERSITY				
10-733-IU-2		\$	60,832.00	\$ -
WEST VIRGINIA UNIVERSITY Total		\$	60,832.00	\$ -
Renewable Energy Research and Development Total		\$	60,832.00	\$ -
81.U15		\$	238,802.00	\$ -
116535				
BATTELLE ENERGY ALLIANCE., LLC				
116535		\$	10,024.00	\$ -
BATTELLE ENERGY ALLIANCE., LLC Total		\$	10,024.00	\$ -
240296				
BROOKHAVEN NATIONAL LABORATORY				
240296		\$	122,375.00	\$ -
BROOKHAVEN NATIONAL LABORATORY Total		\$	122,375.00	\$ -
347370				
BATTELLE MEMORIAL INSTITUTE				
347370		\$	106,403.00	\$ -
BATTELLE MEMORIAL INSTITUTE Total		\$	106,403.00	\$ -
		\$	238,802.00	\$ -
Pass Through Total		\$	306,694.00	\$ -
Department of Energy Total		\$	306,694.00	\$ -
Department of Energy Total		\$	306,694.00	\$ -
Department of Health and Human Services				
Department of Health and Human Services				
Direct				
93.U17		\$	761,011.00	\$ -
HHSN26800010/HHSN268201200005I				
		\$	205,865.00	\$ -
Total		\$	205,865.00	\$ -
HHSN268201200005I				
		\$	54,242.00	\$ -
Total		\$	54,242.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

HHSN268201200005I/				
Total		\$	128,695.00	\$ -
		\$	128,695.00	\$ -
HHSN268201200005I/HHSN26800009				
Total		\$	196,137.00	\$ -
		\$	196,137.00	\$ -
HHSN268201200005I/TAA				
Total		\$	52,664.00	\$ -
		\$	52,664.00	\$ -
HHSN276201600212P				
Total		\$	114,455.00	\$ -
		\$	114,455.00	\$ -
Steven Lacey				
Total		\$	8,953.00	\$ -
		\$	8,953.00	\$ -
		\$	761,011.00	\$ -
Direct Total		\$	761,011.00	\$ -
Pass Through				
93.U18		\$	226,029.00	\$ -
7500129571/200-2010-37217				
REGENSTRIEF INSTITUTE, INC.				
7500129571/200-2010-37217		\$	102,443.00	\$ -
REGENSTRIEF INSTITUTE, INC. Total		\$	102,443.00	\$ -
AUCD - 2014				
ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES				
0		\$	(272.00)	\$ -
ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES Total		\$	(272.00)	\$ -
D1-14-006				
INDIANA ALCOHOL & TOBACCO COMMISSION				
D1-14-006		\$	122,575.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INDIANA ALCOHOL & TOBACCO COMMISSION Total		\$	122,575.00	\$	-
S250211-8					
EASTERN VIRGINIA MEDICAL SCHOOL					
S250211-8		\$	1,283.00	\$	-
EASTERN VIRGINIA MEDICAL SCHOOL Total		\$	1,283.00	\$	-
		\$	226,029.00	\$	-
Pass Through Total		\$	226,029.00	\$	-
Department of Health and Human Services Total		\$	987,040.00	\$	-
Health Resources and Services Administration					
Direct					
Advanced Education Nursing Traineeships					
93.358		\$	100,958.00	\$	-
		\$	100,958.00	\$	-
Total		\$	100,958.00	\$	-
Advanced Education Nursing Traineeships Total		\$	100,958.00	\$	-
Area Health Education Centers					
93.107		\$	880,011.00	\$	691,965.00
		\$	880,011.00	\$	691,965.00
Total		\$	880,011.00	\$	691,965.00
Area Health Education Centers Total		\$	880,011.00	\$	691,965.00
Emergency Medical Services for Children					
93.127		\$	131,675.00	\$	73,860.00
		\$	131,675.00	\$	73,860.00
Total		\$	131,675.00	\$	73,860.00
Emergency Medical Services for Children Total		\$	131,675.00	\$	73,860.00
Grants for Primary Care Training and Enhancement					
93.884		\$	255,881.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

		\$	255,881.00	\$	-
Total		\$	255,881.00	\$	-
Grants for Primary Care Training and Enhancement Total		\$	255,881.00	\$	-
Health Careers Opportunity Program					
93.822		\$	638,343.00	\$	-
		\$	638,343.00	\$	-
Total		\$	638,343.00	\$	-
Health Careers Opportunity Program Total		\$	638,343.00	\$	-
Maternal and Child Health Federal Consolidated Programs					
93.110		\$	1,052,827.00	\$	119,553.00
		\$	1,052,827.00	\$	119,553.00
Total		\$	1,052,827.00	\$	119,553.00
Maternal and Child Health Federal Consolidated Programs Total		\$	1,052,827.00	\$	119,553.00
Maternal and Child Health Services Block Grant to the States					
93.994		\$	3,499.00	\$	-
		\$	3,499.00	\$	-
Total		\$	3,499.00	\$	-
Maternal and Child Health Services Block Grant to the States Total		\$	3,499.00	\$	-
Nurse Education, Practice Quality and Retention Grants					
93.359		\$	84,281.00	\$	24,702.00
		\$	84,281.00	\$	24,702.00
Total		\$	84,281.00	\$	24,702.00
Nurse Education, Practice Quality and Retention Grants Total		\$	84,281.00	\$	24,702.00
PPHF Geriatric Education Centers					
93.969		\$	771,812.00	\$	88,776.00
		\$	771,812.00	\$	88,776.00

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Total	\$	771,812.00	\$	88,776.00
PPHF Geriatric Education Centers Total	\$	771,812.00	\$	88,776.00
Prevention and Public Health Fund (PPHF) Public Health Traineeships 93.964	\$	1,899.00	\$	-
	\$	1,899.00	\$	-
Total	\$	1,899.00	\$	-
Prevention and Public Health Fund (PPHF) Public Health Traineeships Total	\$	1,899.00	\$	-
Direct Total	\$	3,921,186.00	\$	998,856.00
Pass Through				
Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices 93.130	\$	117,626.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH				
15483	\$	93,460.00	\$	-
18938	\$	24,166.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	117,626.00	\$	-
Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices Total	\$	117,626.00	\$	-
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease 93.918	\$	52,845.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY				
0	\$	15,172.00	\$	-
CW2008305/H76HA00112	\$	5,751.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	20,923.00	\$	-
MARION COUNTY HEALTH DEPARTMENT				
H76HA00112	\$	31,922.00	\$	-
MARION COUNTY HEALTH DEPARTMENT Total	\$	31,922.00	\$	-
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease Total	\$	52,845.00	\$	-
Grants to States for Operation of State Offices of Rural Health 93.913	\$	14,806.00	\$	8,728.00
INDIANA STATE DEPARTMENT OF HEALTH				
0000000000000000000000000016205/H95RRH00136	\$	14,806.00	\$	8,728.00
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	14,806.00	\$	8,728.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Grants to States for Operation of State Offices of Rural Health Total		\$	14,806.00	\$	8,728.00
HIV Emergency Relief Project Grants 93.914		\$	66,413.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY H89HA11463		\$	10,229.00	\$	-
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total		\$	10,229.00	\$	-
MARION COUNTY HEALTH DEPARTMENT H89HA11463		\$	56,184.00	\$	-
MARION COUNTY HEALTH DEPARTMENT Total		\$	56,184.00	\$	-
HIV Emergency Relief Project Grants Total		\$	66,413.00	\$	-
HIV-Related Training and Technical Assistance 93.145		\$	18,500.00	\$	-
ESKENAZI HEALTH 0		\$	18,500.00	\$	-
ESKENAZI HEALTH Total		\$	18,500.00	\$	-
HIV-Related Training and Technical Assistance Total		\$	18,500.00	\$	-
Maternal and Child Health Federal Consolidated Programs 93.110		\$	410,963.00	\$	105,648.00
INDIANA STATE DEPARTMENT OF HEALTH 17263/D70MC27544		\$	255,667.00	\$	66,304.00
A70-4-069716/H25MC00263		\$	12,778.00	\$	-
A70-5-069805		\$	122,001.00	\$	39,344.00
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	390,446.00	\$	105,648.00
NORTHWESTERN UNIVERSITY 60044174IU		\$	6,064.00	\$	-
NORTHWESTERN UNIVERSITY Total		\$	6,064.00	\$	-
ORGANIZATION OF TERATOLOGY INFORMATION SPECIALISTS 0		\$	14,453.00	\$	-
ORGANIZATION OF TERATOLOGY INFORMATION SPECIALISTS Total		\$	14,453.00	\$	-
Maternal and Child Health Federal Consolidated Programs Total		\$	410,963.00	\$	105,648.00
Maternal and Child Health Services Block Grant to the States 93.994		\$	734,234.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INDIANA STATE DEPARTMENT OF HEALTH			
13592	\$	38,754.00	\$ -
14303	\$	194,571.00	\$ -
14474	\$	81,798.00	\$ -
14495	\$	386,113.00	\$ -
16164	\$	32,998.00	\$ -
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	734,234.00	\$ -
Maternal and Child Health Services Block Grant to the States Total	\$	734,234.00	\$ -
Public Health Training Centers Program			
93.516	\$	97,831.00	\$ -
UNIVERSITY OF ILLINOIS AT CHICAGO			
16162/5UB6HP278810300	\$	68,688.00	\$ -
2014-06897-10-00/6UB6hP27881-02-01	\$	29,143.00	\$ -
UNIVERSITY OF ILLINOIS AT CHICAGO Total	\$	97,831.00	\$ -
Public Health Training Centers Program Total	\$	97,831.00	\$ -
Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant			
93.259	\$	2,932.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY			
1 DR67RH27442-01-00	\$	2,932.00	\$ -
HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY Total	\$	2,932.00	\$ -
Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant Total	\$	2,932.00	\$ -
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program			
93.912	\$	20,574.00	\$ -
INDIANA RURAL HEALTH ASSOCIATION			
5D06HR26832-03-00	\$	20,574.00	\$ -
INDIANA RURAL HEALTH ASSOCIATION Total	\$	20,574.00	\$ -
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program Total	\$	20,574.00	\$ -
Pass Through Total	\$	1,536,724.00	\$ 114,376.00
Health Resources and Services Administration Total	\$	5,457,910.00	\$ 1,113,232.00
Office of the Secretary			

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct				
Research on Research Integrity				
93.085		\$	507.00	\$ -
		\$	507.00	\$ -
Total		\$	507.00	\$ -
Research on Research Integrity Total		\$	507.00	\$ -
Direct Total		\$	507.00	\$ -
Pass Through				
National Ebola Training and Education Center (NETEC)				
93.825		\$	38,175.00	\$ -
UNIVERSITY OF NEBRASKA MEDICAL CENTER				
36-5430-3005-001		\$	(16.00)	\$ -
36-5430-3005-001^36-5420-3001-001		\$	38,191.00	\$ -
UNIVERSITY OF NEBRASKA MEDICAL CENTER Total		\$	38,175.00	\$ -
National Ebola Training and Education Center (NETEC) Total		\$	38,175.00	\$ -
Pass Through Total		\$	38,175.00	\$ -
Office of the Secretary Total		\$	38,682.00	\$ -
Administration for Community Living				
Direct				
University Centers for Excellence in Developmental Disabilities Education, Research, and Service				
93.632		\$	548,455.00	\$ -
		\$	548,455.00	\$ -
Total		\$	548,455.00	\$ -
University Centers for Excellence in Developmental Disabilities Education, Research, and Service Total		\$	548,455.00	\$ -
Direct Total		\$	548,455.00	\$ -
Pass Through				
ACL National Institute on Disability, Independent Living, and Rehabilitation Research				
93.433		\$	5,879.00	\$ -
UNIVERSITY OF ILLINOIS AT CHICAGO				
2016-02023-01-04		\$	5,879.00	\$ -

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

UNIVERSITY OF ILLINOIS AT CHICAGO Total	\$	5,879.00	\$	-
ACL National Institute on Disability, Independent Living, and Rehabilitation Research Total	\$	5,879.00	\$	-
National Family Caregiver Support, Title III, Part E 93.052	\$	39,563.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION A345-6-89-16-0V-0203	\$	39,563.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	39,563.00	\$	-
National Family Caregiver Support, Title III, Part E Total	\$	39,563.00	\$	-
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services 93.043	\$	8,339.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION A345-6-89-16-0V-0203	\$	8,339.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	8,339.00	\$	-
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services Total	\$	8,339.00	\$	-
Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals 93.042	\$	6,246.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION A345-6-89-16-0V-0203	\$	6,246.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	6,246.00	\$	-
Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals Total	\$	6,246.00	\$	-
Pass Through Total	\$	60,027.00	\$	-
Administration for Community Living Total	\$	608,482.00	\$	-
Substance Abuse and Mental Health Services Administration Direct Substance Abuse and Mental Health Services_Projects of Regional and National Significance 93.243	\$	664,808.00	\$	84,930.00
Total	\$	664,808.00	\$	84,930.00
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total	\$	664,808.00	\$	84,930.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct Total	\$	664,808.00	\$	84,930.00
Pass Through				
Block Grants for Prevention and Treatment of Substance Abuse 93.959	\$	1,407,984.00	\$	-
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION				
19256	\$	25,523.00	\$	-
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION Total	\$	25,523.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A55-5-53-15-2H-0203	\$	1,347,461.00	\$	-
A55-5-53-15-2H-0203^00000000000000000000000018468	\$	35,000.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	1,382,461.00	\$	-
 Block Grants for Prevention and Treatment of Substance Abuse Total	\$	1,407,984.00	\$	-
 Drug-Free Communities Support Program Grants 93.276	\$	7,563.00	\$	-
UPSTREAM PREVENTION, INC				
0	\$	7,563.00	\$	-
UPSTREAM PREVENTION, INC Total	\$	7,563.00	\$	-
 Drug-Free Communities Support Program Grants Total	\$	7,563.00	\$	-
 Substance Abuse and Mental Health Services_Projects of Regional and National Significance 93.243	\$	1,235,969.00	\$	16,000.00
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION				
17561	\$	172,636.00	\$	-
0000000000000000000000000016536^A55-3-53-13-PS-0203	\$	224,501.00	\$	-
000000000000000000000000017121^A55-6-93-16-PS-0203	\$	21,752.00	\$	-
A55-2-53-12-WZ-0203	\$	272,907.00	\$	-
A55-3-53-13-PS-0203	\$	(15,871.00)	\$	-
A55-6-49-16-CG-0203	\$	144,722.00	\$	16,000.00
A55-6-49-16-PO-0203/1H79TI1026149	\$	159,804.00	\$	-
A55-6-93-16-PS-0203	\$	32,549.00	\$	-
INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION Total	\$	1,013,000.00	\$	16,000.00
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A55-5-53-15-PS-0203	\$	13,624.00	\$	-
A55-6-94-16-PS-0203	\$	134,977.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	148,601.00	\$	-
 INDIANA STATE UNIVERSITY				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

IU15-125	\$	67,870.00	\$	-
INDIANA STATE UNIVERSITY Total	\$	67,870.00	\$	-
MENTAL HEALTH AMERICA OF INDIANA				
0	\$	6,498.00	\$	-
MENTAL HEALTH AMERICA OF INDIANA Total	\$	6,498.00	\$	-
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total	\$	1,235,969.00	\$	16,000.00
Pass Through Total	\$	2,651,516.00	\$	16,000.00
Substance Abuse and Mental Health Services Administration Total	\$	3,316,324.00	\$	100,930.00
Centers for Disease Control and Prevention				
Direct				
Rare Disorders: Research, Surveillance, Health Promotion, and Education				
93.315	\$	119,962.00	\$	-
Total	\$	119,962.00	\$	-
Rare Disorders: Research, Surveillance, Health Promotion, and Education Total	\$	119,962.00	\$	-
Direct Total	\$	119,962.00	\$	-
Pass Through				
Birth Defects and Developmental Disabilities - Prevention and Surveillance				
93.073	\$	5,062.00	\$	-
ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES				
0	\$	5,062.00	\$	-
ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES Total	\$	5,062.00	\$	-
Birth Defects and Developmental Disabilities - Prevention and Surveillance Total	\$	5,062.00	\$	-
Centers for Disease Control and Prevention_Investigations and Technical Assistance				
93.283	\$	15,006.00	\$	-
UNIVERSITY OF WISCONSIN				
651K350/U01DD001007	\$	15,006.00	\$	-
UNIVERSITY OF WISCONSIN Total	\$	15,006.00	\$	-
Centers for Disease Control and Prevention_Investigations and Technical Assistance Total	\$	15,006.00	\$	-
Environmental Public Health and Emergency Response				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

93.070		\$	62,082.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH					
14416		\$	37,469.00	\$	-
16745		\$	24,613.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	62,082.00	\$	-
Environmental Public Health and Emergency Response Total		\$	62,082.00	\$	-
Global AIDS					
93.067		\$	55,757.00	\$	-
REGENSTRIEF INSTITUTE, INC.					
4727-000-15-CR-01		\$	55,757.00	\$	-
REGENSTRIEF INSTITUTE, INC. Total		\$	55,757.00	\$	-
Global AIDS Total		\$	55,757.00	\$	-
Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements					
93.074		\$	33.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH					
14779		\$	33.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	33.00	\$	-
Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements Total		\$	33.00	\$	-
Immunization Cooperative Agreements					
93.268		\$	2,462.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH					
14950		\$	2,462.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	2,462.00	\$	-
Immunization Cooperative Agreements Total		\$	2,462.00	\$	-
Injury Prevention and Control Research and State and Community Based Programs					
93.136		\$	143,648.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH					
15262		\$	105,321.00	\$	-
18471		\$	38,327.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	143,648.00	\$	-
Injury Prevention and Control Research and State and Community Based Programs Total		\$	143,648.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

National State Based Tobacco Control Programs 93.305	\$	21,000.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH 0000016913/U58DP005989	\$	21,000.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total	\$	21,000.00	\$	-
National State Based Tobacco Control Programs Total	\$	21,000.00	\$	-
Pass Through Total	\$	305,050.00	\$	-
Centers for Disease Control and Prevention Total	\$	425,012.00	\$	-
Centers for Medicare and Medicaid Services Direct ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) 93.638	\$	11,115,886.00	\$	10,259,937.00
	\$	11,115,886.00	\$	10,259,937.00
Total	\$	11,115,886.00	\$	10,259,937.00
ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) Total	\$	11,115,886.00	\$	10,259,937.00
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents 93.621	\$	2,968,996.00	\$	2,363,245.00
	\$	2,968,996.00	\$	2,363,245.00
Total	\$	2,968,996.00	\$	2,363,245.00
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents Total	\$	2,968,996.00	\$	2,363,245.00
Direct Total	\$	14,084,882.00	\$	12,623,182.00
Pass Through Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations 93.779	\$	6,259.00	\$	-
REGENSTRIEF INSTITUTE, INC. HHSM-500-2016-00040C	\$	6,259.00	\$	-
REGENSTRIEF INSTITUTE, INC. Total	\$	6,259.00	\$	-
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations Total	\$	6,259.00	\$	-
Pass Through Total	\$	6,259.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Centers for Medicare and Medicaid Services Total		\$	14,091,141.00	\$	12,623,182.00
Food and Drug Administration					
Pass Through					
Food and Drug Administration_Research					
93.103		\$	1,785.00	\$	-
ASSOCIATION OF FOOD AND DRUG OFFICIALS					
G-T-1611-04426		\$	1,785.00	\$	-
ASSOCIATION OF FOOD AND DRUG OFFICIALS Total		\$	1,785.00	\$	-
Food and Drug Administration_Research Total		\$	1,785.00	\$	-
Pass Through Total		\$	1,785.00	\$	-
Food and Drug Administration Total		\$	1,785.00	\$	-
Administration for Children and Families					
Pass Through					
Affordable Care Act (ACA) Abstinence Education Program					
93.235		\$	7,931.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH					
18838		\$	7,931.00	\$	-
INDIANA STATE DEPARTMENT OF HEALTH Total		\$	7,931.00	\$	-
Affordable Care Act (ACA) Abstinence Education Program Total		\$	7,931.00	\$	-
Child Welfare Research Training or Demonstration					
93.648		\$	201,775.00	\$	-
RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK					
15-54		\$	166,598.00	\$	-
16-42		\$	35,177.00	\$	-
RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK Total		\$	201,775.00	\$	-
Child Welfare Research Training or Demonstration Total		\$	201,775.00	\$	-
Refugee and Entrant Assistance_Discretionary Grants					
93.576		\$	(3.00)	\$	-
BURMESE COMMUNITY CENTER FOR EDUCATION					
90RE0226		\$	(3.00)	\$	-
BURMESE COMMUNITY CENTER FOR EDUCATION Total		\$	(3.00)	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Refugee and Entrant Assistance_Discretionary Grants Total	\$	(3.00)	\$	-
Sexual Risk Avoidance Education				
93.060	\$	30,011.00	\$	-
INDIANA FAMILY HEALTH COUNCIL				
0	\$	30,011.00	\$	-
INDIANA FAMILY HEALTH COUNCIL Total	\$	30,011.00	\$	-
Sexual Risk Avoidance Education Total	\$	30,011.00	\$	-
Social Services Block Grant				
93.667	\$	285,718.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION				
A345-6-89-16-0V-0203	\$	285,718.00	\$	-
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION Total	\$	285,718.00	\$	-
Social Services Block Grant Total	\$	285,718.00	\$	-
Pass Through Total	\$	525,432.00	\$	-
Administration for Children and Families Total	\$	525,432.00	\$	-
Department of Health and Human Services Total	\$	25,451,808.00	\$	13,837,344.00
Department of Homeland Security				
Department of Homeland Security				
Direct				
97.U19	\$	4,139.00	\$	-
HSFE20-16-MP-6350259				
Total	\$	639.00	\$	-
HSFE20-16-MP-6350296				
Total	\$	3,500.00	\$	-
Direct Total	\$	4,139.00	\$	-
Pass Through				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Homeland Security Advanced Research Projects Agency 97.065	\$	364,598.00	\$	-
MORGRIDGE INSTITUTE FOR RESEARCH				
D13-J0032	\$	364,598.00	\$	-
MORGRIDGE INSTITUTE FOR RESEARCH Total	\$	364,598.00	\$	-
Homeland Security Advanced Research Projects Agency Total	\$	364,598.00	\$	-
97.U20	\$	23,673.00	\$	-
97				
ST. JOSEPH COUNTY EMERGENCY MANAGEMENT AGENCY				
97	\$	10,420.00	\$	-
ST. JOSEPH COUNTY EMERGENCY MANAGEMENT AGENCY Total	\$	10,420.00	\$	-
Ohio County - 2017				
OHIO COUNTY EMERGENCY MANAGEMENT AGENCY				
0	\$	13,253.00	\$	-
OHIO COUNTY EMERGENCY MANAGEMENT AGENCY Total	\$	13,253.00	\$	-
	\$	23,673.00	\$	-
Pass Through Total	\$	388,271.00	\$	-
Department of Homeland Security Total	\$	392,410.00	\$	-
Federal Emergency Management Agency (fema)				
Direct				
Emergency Management Performance Grants				
97.042	\$	3,500.00	\$	-
	\$	3,500.00	\$	-
Total	\$	3,500.00	\$	-
Emergency Management Performance Grants Total	\$	3,500.00	\$	-
Direct Total	\$	3,500.00	\$	-
Pass Through				
Disaster Grants - Public Assistance (Presidentially Declared Disasters)				
97.036	\$	102,895.00	\$	-
SOUTH CAROLINA EMERGENCY MANAGEMENT DIVISION				
4166-DR-SC	\$	102,895.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

SOUTH CAROLINA EMERGENCY MANAGEMENT DIVISION Total		\$	102,895.00	\$	-
Disaster Grants - Public Assistance (Presidentially Declared Disasters) Total		\$	102,895.00	\$	-
Earthquake Consortium 97.082		\$	35,495.00	\$	-
CENTRAL UNITED STATES EARTHQUAKE CONSORTIUM					
0		\$	35,495.00	\$	-
CENTRAL UNITED STATES EARTHQUAKE CONSORTIUM Total		\$	35,495.00	\$	-
Earthquake Consortium Total		\$	35,495.00	\$	-
Emergency Management Performance Grants 97.042		\$	9,973.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY					
17230		\$	9,973.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY Total		\$	9,973.00	\$	-
Emergency Management Performance Grants Total		\$	9,973.00	\$	-
Homeland Security Grant Program 97.067		\$	7,500.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY					
15248		\$	7,500.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY Total		\$	7,500.00	\$	-
Homeland Security Grant Program Total		\$	7,500.00	\$	-
Pre-Disaster Mitigation 97.047		\$	97,997.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY					
C44P-4-045B		\$	76,371.00	\$	-
C44P-4-045B/EMC-2013-PC-0001		\$	10,059.00	\$	-
INDIANA DEPARTMENT OF HOMELAND SECURITY Total		\$	86,430.00	\$	-
MONROE COUNTY EMERGENCY MANAGEMENT AGENCY					
EMC-2015-PC-0010		\$	11,567.00	\$	-
MONROE COUNTY EMERGENCY MANAGEMENT AGENCY Total		\$	11,567.00	\$	-
Pre-Disaster Mitigation Total		\$	97,997.00	\$	-
Pass Through Total		\$	253,860.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Federal Emergency Management Agency (fema) Total	\$	257,360.00	\$	-
Department of Homeland Security Total	\$	649,770.00	\$	-
Department of Housing and Urban Development				
Office of Community Planning and Development				
Pass Through				
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii				
14.228	\$	156,264.00	\$	82,811.00
INDIANA OFFICE COMMUNITY & RURAL AFFAIRS				
A192-11-DR2-PSC-003 / B11DC180001DR2-PSC-003	\$	(1,843.00)	\$	-
A192-14-PSC-DR2-003	\$	158,107.00	\$	82,811.00
INDIANA OFFICE COMMUNITY & RURAL AFFAIRS Total	\$	156,264.00	\$	82,811.00
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii Total	\$	156,264.00	\$	82,811.00
Pass Through Total	\$	156,264.00	\$	82,811.00
Office of Community Planning and Development Total	\$	156,264.00	\$	82,811.00
Department of Housing and Urban Development Total	\$	156,264.00	\$	82,811.00
Department of Justice				
Department of Justice				
Direct				
16.U07	\$	(847.00)	\$	-
DJBP0418RF540001				
	\$	(847.00)	\$	-
Total	\$	(847.00)	\$	-
	\$	(847.00)	\$	-
Direct Total	\$	(847.00)	\$	-
Pass Through				
16.U08	\$	213.00	\$	-
IN Youth Services Association - 2017				
INDIANA YOUTH SERVICES ASSOCIATION				
0	\$	213.00	\$	-
INDIANA YOUTH SERVICES ASSOCIATION Total	\$	213.00	\$	-

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

		\$	213.00	\$	-
Pass Through Total		\$	213.00	\$	-
Department of Justice Total		\$	(634.00)	\$	-
Bureau of Justice Assistance					
Pass Through					
Project Safe Neighborhoods					
16.609		\$	19,655.00	\$	-
INDIANA CRIMINAL JUSTICE INSTITUTE					
D3-15-9166^D3-17-11661		\$	19,655.00	\$	-
INDIANA CRIMINAL JUSTICE INSTITUTE Total		\$	19,655.00	\$	-
Project Safe Neighborhoods Total		\$	19,655.00	\$	-
Pass Through Total		\$	19,655.00	\$	-
Bureau of Justice Assistance Total		\$	19,655.00	\$	-
Office for Victims of Crime					
Pass Through					
Crime Victim Assistance					
16.575		\$	215,520.00	\$	50,864.00
INDIANA CRIMINAL JUSTICE INSTITUTE					
D3-16-10624		\$	53,098.00	\$	14,770.00
D3-16-10896		\$	21,129.00	\$	-
D3-17-11544		\$	141,293.00	\$	36,094.00
INDIANA CRIMINAL JUSTICE INSTITUTE Total		\$	215,520.00	\$	50,864.00
Crime Victim Assistance Total		\$	215,520.00	\$	50,864.00
Pass Through Total		\$	215,520.00	\$	50,864.00
Office for Victims of Crime Total		\$	215,520.00	\$	50,864.00
Department of Justice Total		\$	234,541.00	\$	50,864.00
Department of State					
Department of State					
Pass Through					
19.U09		\$	5,572.00	\$	-
3216_IUB_3.2.17					

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INSTITUTE OF INTERNATIONAL EDUCATION				
3216_IUB_3.2.17	\$	5,572.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	5,572.00	\$	-
	\$	5,572.00	\$	-
Pass Through Total	\$	5,572.00	\$	-
Department of State Total	\$	5,572.00	\$	-
Bureau of Educational and Cultural Affairs				
Direct				
Professional and Cultural Exchange Programs - Citizen Exchanges				
19.415	\$	480,907.00	\$	-
	\$	480,907.00	\$	-
Total	\$	480,907.00	\$	-
Professional and Cultural Exchange Programs - Citizen Exchanges Total	\$	480,907.00	\$	-
Direct Total	\$	480,907.00	\$	-
Pass Through				
Academic Exchange Programs - Graduate Students				
19.400	\$	117,398.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION				
3216_IU-2.19.16	\$	42,770.00	\$	-
3216_IUB_9.1.16	\$	74,628.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	117,398.00	\$	-
Academic Exchange Programs - Graduate Students Total	\$	117,398.00	\$	-
Academic Exchange Programs - Scholars				
19.401	\$	314,978.00	\$	53,733.00
INSTITUTE OF INTERNATIONAL EDUCATION				
3069 -IUB-4-1-16	\$	98,367.00	\$	53,733.00
AJFDP-U1659E1	\$	207,752.00	\$	-
FS16_IUB_JFDP_4.1.17-11.30.17	\$	8,859.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total	\$	314,978.00	\$	53,733.00
Academic Exchange Programs - Scholars Total	\$	314,978.00	\$	53,733.00
Academic Exchange Programs - Teachers				

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

19.408		\$	324,058.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION					
0		\$	48,319.00	\$	-
3221_IU_5.1.2016		\$	275,739.00	\$	-
INSTITUTE OF INTERNATIONAL EDUCATION Total		\$	324,058.00	\$	-
Academic Exchange Programs - Teachers Total		\$	324,058.00	\$	-
Academic Exchange Programs - Undergraduate Programs					
19.009		\$	146,329.00	\$	-
IREX					
FY16-YALI-CL-Indiana-01		\$	85,947.00	\$	-
FY17-YALI-CL-Indiana-02		\$	60,382.00	\$	-
IREX Total		\$	146,329.00	\$	-
Academic Exchange Programs - Undergraduate Programs Total		\$	146,329.00	\$	-
Pass Through Total		\$	902,763.00	\$	53,733.00
Bureau of Educational and Cultural Affairs Total		\$	1,383,670.00	\$	53,733.00
Under Secretary for Public Diplomacy and Public Affairs					
Direct					
Public Diplomacy Programs					
19.040		\$	116,691.00	\$	-
Total		\$	116,691.00	\$	-
Public Diplomacy Programs Total		\$	116,691.00	\$	-
Direct Total		\$	116,691.00	\$	-
Under Secretary for Public Diplomacy and Public Affairs Total		\$	116,691.00	\$	-
Bureau of Intelligence and Research					
Direct					
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union					
19.300		\$	247,268.00	\$	-
Total		\$	247,268.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Program for Study of Eastern Europe and the Independent States of the Former Soviet Union Total	\$	247,268.00	\$	-
Direct Total	\$	247,268.00	\$	-
Bureau of Intelligence and Research Total	\$	247,268.00	\$	-
Bureau of Near Eastern Affairs				
Direct				
Bureau of Near Eastern Affairs				
19.600	\$	307,354.00	\$	49,491.00
	\$	307,354.00	\$	49,491.00
Total	\$	307,354.00	\$	49,491.00
Bureau of Near Eastern Affairs Total	\$	307,354.00	\$	49,491.00
Investing in People in The Middle East and North Africa				
19.021	\$	373,271.00	\$	-
	\$	373,271.00	\$	-
Total	\$	373,271.00	\$	-
Investing in People in The Middle East and North Africa Total	\$	373,271.00	\$	-
Direct Total	\$	680,625.00	\$	49,491.00
Bureau of Near Eastern Affairs Total	\$	680,625.00	\$	49,491.00
Department of State Total	\$	2,433,826.00	\$	103,224.00
Department of the Interior				
Department of the Interior				
Direct				
15.U06	\$	121,689.00	\$	-
GS-02F-0073Y^P13PA00052^P14PB00522^SIN484-4				
	\$	398.00	\$	-
Total	\$	398.00	\$	-
GS-02F-0073Y^P13PA00052^P15PB00475^SIN874-4				
	\$	121,291.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Total		\$	121,291.00	\$	-
		\$	121,689.00	\$	-
Direct Total		\$	121,689.00	\$	-
Department of the Interior Total		\$	121,689.00	\$	-
U.S. Geological Survey					
Direct					
National Cooperative Geologic Mapping					
15.810		\$	30,338.00	\$	-
		\$	30,338.00	\$	-
Total		\$	30,338.00	\$	-
National Cooperative Geologic Mapping Total		\$	30,338.00	\$	-
National Geological and Geophysical Data Preservation					
15.814		\$	(374.00)	\$	-
		\$	(374.00)	\$	-
Total		\$	(374.00)	\$	-
National Geological and Geophysical Data Preservation Total		\$	(374.00)	\$	-
National Ground-Water Monitoring Network					
15.980		\$	31,951.00	\$	-
		\$	31,951.00	\$	-
Total		\$	31,951.00	\$	-
National Ground-Water Monitoring Network Total		\$	31,951.00	\$	-
U.S. Geological Survey_ Research and Data Collection					
15.808		\$	71,265.00	\$	-
		\$	71,265.00	\$	-
Total		\$	71,265.00	\$	-
U.S. Geological Survey_ Research and Data Collection Total		\$	71,265.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct Total		\$	133,180.00	\$	-
U.S. Geological Survey Total		\$	133,180.00	\$	-
National Park Service					
Direct					
Cooperative Research and Training Programs – Resources of the National Park System					
15.945		\$	251,980.00	\$	-
		\$	251,980.00	\$	-
Total		\$	251,980.00	\$	-
Cooperative Research and Training Programs – Resources of the National Park System Total		\$	251,980.00	\$	-
National Park Service Conservation, Protection, Outreach, and Education					
15.954		\$	357,370.00	\$	-
		\$	357,370.00	\$	-
Total		\$	357,370.00	\$	-
National Park Service Conservation, Protection, Outreach, and Education Total		\$	357,370.00	\$	-
Native American Graves Protection and Repatriation Act					
15.922		\$	17,219.00	\$	-
		\$	17,219.00	\$	-
Total		\$	17,219.00	\$	-
Native American Graves Protection and Repatriation Act Total		\$	17,219.00	\$	-
Natural Resource Stewardship					
15.944		\$	17,367.00	\$	-
		\$	17,367.00	\$	-
Total		\$	17,367.00	\$	-
Natural Resource Stewardship Total		\$	17,367.00	\$	-
Direct Total		\$	643,936.00	\$	-
Pass Through					
National Register of Historic Places					

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

15.914		\$	16,150.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES					
FFY15-CA-1		\$	16,150.00	\$	-
INDIANA DEPARTMENT OF NATURAL RESOURCES Total		\$	16,150.00	\$	-
National Register of Historic Places Total		\$	16,150.00	\$	-
Pass Through Total		\$	16,150.00	\$	-
National Park Service Total		\$	660,086.00	\$	-
Fish and Wildlife Service					
Direct					
National Wildlife Refuge System Enhancements					
15.654		\$	20,016.00	\$	-
		\$	20,016.00	\$	-
Total		\$	20,016.00	\$	-
National Wildlife Refuge System Enhancements Total		\$	20,016.00	\$	-
Direct Total		\$	20,016.00	\$	-
Fish and Wildlife Service Total		\$	20,016.00	\$	-
Department of the Interior Total		\$	934,971.00	\$	-
Department of Transportation					
Department of Transportation					
Direct					
20.U10		\$	819,012.00	\$	-
DTNH22-12-C-00270					
		\$	529,397.00	\$	-
Total		\$	529,397.00	\$	-
DTNH22-13-C-00325L					
		\$	289,615.00	\$	-
Total		\$	289,615.00	\$	-
		\$	819,012.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	Direct Total	\$	819,012.00	\$	-
	Pass Through				
	20.U11	\$	29,666.00	\$	-
	1297885				
	ISOLUX INFRASTRUCTURE				
	1297885	\$	19,140.00	\$	-
	ISOLUX INFRASTRUCTURE Total	\$	19,140.00	\$	-
	Open Doors Organization - 2016				
	OPEN DOORS ORGANIZATION				
	0	\$	10,526.00	\$	-
	OPEN DOORS ORGANIZATION Total	\$	10,526.00	\$	-
		\$	29,666.00	\$	-
	Pass Through Total	\$	29,666.00	\$	-
	Department of Transportation Total	\$	848,678.00	\$	-
	National Highway Traffic Safety Administration (nhtsa)				
	Pass Through				
	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants				
	20.614	\$	20,000.00	\$	9,407.00
	NATIONAL SAFETY COUNCIL				
	DTNH22-12-H-00369	\$	20,000.00	\$	9,407.00
	NATIONAL SAFETY COUNCIL Total	\$	20,000.00	\$	9,407.00
	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants Total	\$	20,000.00	\$	9,407.00
	Pass Through Total	\$	20,000.00	\$	9,407.00
	National Highway Traffic Safety Administration (nhtsa) Total	\$	20,000.00	\$	9,407.00
	Department of Transportation Total	\$	868,678.00	\$	9,407.00
	Department of Veterans Affairs				
	Department of Veterans Affairs				
	Direct				
	64.U14	\$	550,670.00	\$	-
	VA/251-12-C-0042				
		\$	371,756.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	Total		\$	371,756.00	\$	-
	VA240-17-R-0105					
			\$	40,344.00	\$	-
	Total		\$	40,344.00	\$	-
	VA250-17-P-2559					
			\$	19,876.00	\$	-
	Total		\$	19,876.00	\$	-
	VA251-14-C-0051					
			\$	30,875.00	\$	-
	Total		\$	30,875.00	\$	-
	VA251-15-C-0061					
			\$	86,010.00	\$	-
	Total		\$	86,010.00	\$	-
	VA251-15-C-0228					
			\$	1,809.00	\$	-
	Total		\$	1,809.00	\$	-
			\$	550,670.00	\$	-
	Direct Total		\$	550,670.00	\$	-
	Department of Veterans Affairs Total		\$	550,670.00	\$	-
	Department of Veterans Affairs Total		\$	550,670.00	\$	-
	Environmental Protection Agency					
	Office of the Administrator					
	Direct					
	Environmental Education Grants					
	66.951		\$	51,783.00	\$	-
			\$	51,783.00	\$	-
	Total		\$	51,783.00	\$	-
	Environmental Education Grants Total		\$	51,783.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Direct Total		\$	51,783.00	\$	-
Pass Through					
Environmental Education Grants					
66.951		\$	2,744.00	\$	-
VALPARAISO UNIVERSITY					
0		\$	2,744.00	\$	-
VALPARAISO UNIVERSITY Total		\$	2,744.00	\$	-
Environmental Education Grants Total		\$	2,744.00	\$	-
Pass Through Total		\$	2,744.00	\$	-
Office of the Administrator Total		\$	54,527.00	\$	-
Office of Research and Development (ord)					
Direct					
Science To Achieve Results (STAR) Fellowship Program					
66.514		\$	724.00	\$	-
		\$	724.00	\$	-
Total		\$	724.00	\$	-
Science To Achieve Results (STAR) Fellowship Program Total		\$	724.00	\$	-
Direct Total		\$	724.00	\$	-
Office of Research and Development (ord) Total		\$	724.00	\$	-
Office of Water					
Pass Through					
Water Pollution Control State, Interstate, and Tribal Program Support					
66.419		\$	36,940.00	\$	-
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT					
19945		\$	34,805.00	\$	-
A305-2-66		\$	2,135.00	\$	-
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT Total		\$	36,940.00	\$	-
Water Pollution Control State, Interstate, and Tribal Program Support Total		\$	36,940.00	\$	-
Pass Through Total		\$	36,940.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Office of Water Total		\$	36,940.00	\$	-
Environmental Protection Agency Total		\$	92,191.00	\$	-
Library of Congress					
Library of Congress					
Direct					
42.U12		\$	38,620.00	\$	-
GA06C0066					
		\$	38,620.00	\$	-
Total		\$	38,620.00	\$	-
		\$	38,620.00	\$	-
Direct Total		\$	38,620.00	\$	-
Library of Congress Total		\$	38,620.00	\$	-
Library of Congress Total		\$	38,620.00	\$	-
National Aeronautics and Space Administration					
National Aeronautics and Space Administration					
Pass Through					
Education					
43.008		\$	5,000.00	\$	-
PURDUE UNIVERSITY					
4103-76537		\$	5,000.00	\$	-
PURDUE UNIVERSITY Total		\$	5,000.00	\$	-
Education Total		\$	5,000.00	\$	-
Pass Through Total		\$	5,000.00	\$	-
National Aeronautics and Space Administration Total		\$	5,000.00	\$	-
National Aeronautics and Space Administration Total		\$	5,000.00	\$	-
National Endowment for the Arts					
National Endowment for the Arts					
Direct					
Promotion of the Arts_Grants to Organizations and Individuals					
45.024		\$	2,427.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

	\$	2,427.00	\$	-
Total	\$	2,427.00	\$	-
Promotion of the Arts_Grants to Organizations and Individuals Total	\$	2,427.00	\$	-
Direct Total	\$	2,427.00	\$	-
Pass Through				
Promotion of the Arts_Partnership Agreements				
45.025	\$	83,832.00	\$	-
A154-5-CBGP027				
INDIANA ARTS COMMISSION				
A154-5-CBGP027	\$	(502.00)	\$	-
INDIANA ARTS COMMISSION Total	\$	(502.00)	\$	-
COMMUNITY FOUNDATION OF ST JOSEPH COUNTY				
FY2017-APS-7	\$	3,938.00	\$	-
COMMUNITY FOUNDATION OF ST JOSEPH COUNTY Total	\$	3,938.00	\$	-
INDIANA ARTS COMMISSION				
18332	\$	36,776.00	\$	-
A154-6-TAIFY16	\$	30,127.00	\$	-
IN MP-160070	\$	7,515.00	\$	-
IN MP-160072	\$	2,638.00	\$	-
INDIANA ARTS COMMISSION Total	\$	77,056.00	\$	-
TIPPECANOE ARTS FEDERATION				
170019	\$	3,340.00	\$	-
TIPPECANOE ARTS FEDERATION Total	\$	3,340.00	\$	-
Promotion of the Arts_Partnership Agreements Total	\$	83,832.00	\$	-
Pass Through Total	\$	83,832.00	\$	-
National Endowment for the Arts Total	\$	86,259.00	\$	-
National Endowment for the Arts Total	\$	86,259.00	\$	-
Small Business Administration				
Small Business Administration				
Pass Through				
Small Business Development Centers				
59.037	\$	136,026.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INDIANA ECONOMIC DEVELOPMENT CORPORATION			
A69-7-SBDC-16-206	\$	77,116.00	\$ -
INDIANA ECONOMIC DEVELOPMENT CORPORATION Total	\$	77,116.00	\$ -
INDIANA SMALL BUSINESS DEVELOPMENT CENTER			
A69-16-SBDC-2003	\$	58,910.00	\$ -
INDIANA SMALL BUSINESS DEVELOPMENT CENTER Total	\$	58,910.00	\$ -
Small Business Development Centers Total	\$	136,026.00	\$ -
Pass Through Total	\$	136,026.00	\$ -
Small Business Administration Total	\$	136,026.00	\$ -
Small Business Administration Total	\$	136,026.00	\$ -
National Endowment for the Humanities			
National Endowment for the Humanities			
Direct			
Promotion of the Humanities_Division of Preservation and Access			
45.149	\$	149,279.00	\$ -
Total	\$	149,279.00	\$ -
Promotion of the Humanities_Division of Preservation and Access Total	\$	149,279.00	\$ -
Promotion of the Humanities_Office of Digital Humanities			
45.169	\$	169,135.00	\$ -
Total	\$	169,135.00	\$ -
Promotion of the Humanities_Office of Digital Humanities Total	\$	169,135.00	\$ -
Promotion of the Humanities_Professional Development			
45.163	\$	293,034.00	\$ 1,601.00
Total	\$	293,034.00	\$ 1,601.00
Promotion of the Humanities_Professional Development Total	\$	293,034.00	\$ 1,601.00

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FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

Promotion of the Humanities_Research 45.161		\$	117,907.00	\$	-
		\$	117,907.00	\$	-
Total		\$	117,907.00	\$	-
Promotion of the Humanities_Research Total		\$	117,907.00	\$	-
Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development 45.162		\$	53,970.00	\$	-
		\$	53,970.00	\$	-
Total		\$	53,970.00	\$	-
Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development Total		\$	53,970.00	\$	-
Direct Total		\$	783,325.00	\$	1,601.00
Pass Through					
Promotion of the Humanities_Federal/State Partnership 45.129		\$	3,803.00	\$	-
INDIANA HUMANITIES COUNCIL					
15-1017		\$	861.00	\$	-
15-1050		\$	1,995.00	\$	-
17-2015		\$	947.00	\$	-
INDIANA HUMANITIES COUNCIL Total		\$	3,803.00	\$	-
Promotion of the Humanities_Federal/State Partnership Total		\$	3,803.00	\$	-
Promotion of the Humanities_Public Programs 45.164		\$	4,179.00	\$	-
AMERICAN LIBRARY ASSN					
LA105203		\$	4,179.00	\$	-
AMERICAN LIBRARY ASSN Total		\$	4,179.00	\$	-
Promotion of the Humanities_Public Programs Total		\$	4,179.00	\$	-
45.U13		\$	1,000.00	\$	-
Gilder Lehrman Institute - 2013 GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY					
0		\$	1,000.00	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY Total		\$	1,000.00	\$	-
		\$	1,000.00	\$	-
Pass Through Total		\$	8,982.00	\$	-
National Endowment for the Humanities Total		\$	792,307.00	\$	1,601.00
National Endowment for the Humanities Total		\$	792,307.00	\$	1,601.00
Institute of Museum and Library Services					
Institute of Museum and Library Services					
Direct					
Museums for America					
45.301		\$	71,442.00	\$	-
		\$	71,442.00	\$	-
Total		\$	71,442.00	\$	-
Museums for America Total		\$	71,442.00	\$	-
National Leadership Grants					
45.312		\$	6,236.00	\$	-
		\$	6,236.00	\$	-
Total		\$	6,236.00	\$	-
National Leadership Grants Total		\$	6,236.00	\$	-
Direct Total		\$	77,678.00	\$	-
Pass Through					
Grants to States					
45.310		\$	32,865.00	\$	2,700.00
INDIANA STATE LIBRARY					
A64-16-15L-24A		\$	9,504.00	\$	2,700.00
A64-17-ISL-16B		\$	1,895.00	\$	-
D16-1-4(7)		\$	15,158.00	\$	-
D16-1-4(9)		\$	9,308.00	\$	-
INDIANA STATE LIBRARY Total		\$	35,865.00	\$	2,700.00
INDIANA STATE MUSEUM					
A64-15-ISL-102A		\$	(3,000.00)	\$	-

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The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

FY2017 Schedule of Expenditures of Federal Awards for Indiana University (Continued)

INDIANA STATE MUSEUM Total	\$	(3,000.00)	\$	-
Grants to States Total	\$	32,865.00	\$	2,700.00
Pass Through Total	\$	32,865.00	\$	2,700.00
Institute of Museum and Library Services Total	\$	110,543.00	\$	2,700.00
Institute of Museum and Library Services Total	\$	110,543.00	\$	2,700.00
Other Programs Total	\$	45,754,850.00	\$	15,085,658.00
Grand Total	\$	959,946,940.00	\$	56,483,425.00

INDIANA UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Note 1. Scope of Review

All required federal awards expended by the University have been included in the Schedule of Expenditures of Federal Awards (Schedule).

Note 2. Basis of Presentation and Summary of Significant Accounting Policies

Title 2 U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) requires an annual audit of any entity expending a total amount of federal awards equal to or in excess of \$750,000 in any fiscal year unless by constitution or statute a less frequent audit is required. In accordance with the Indiana Code (IC 5-11-1 et seq.), audits of universities shall be conducted annually.

The accompanying Schedule has been prepared in a format that presents summary financial information of the federal funds awarded to Indiana University directly from federal agencies as well as amounts received as sub awardee of other organizations. For purposes of the Schedule, federal assistance includes all federal assistance and procurement relationships entered into directly between Indiana University and the federal government and subawards from nonfederal organizations made under federally sponsored agreements. Because the Schedule presents only a selected portion of the activities of Indiana University, it is not intended to and does not present either the financial position, change in net position, or change in cash flows of the University.

The accounting principles followed by Indiana University and used in preparing the accompanying schedule are as follows:

Awards Other Than Student Financial Assistance

Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in the Uniform Guidance. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general university activities (indirect costs) which are allocated to federal awards under negotiated formulas commonly referred to as indirect cost rates. As a recipient, the University utilizes its federally negotiated indirect F&A cost rate and does not utilize the de minimis rate.

Student Financial Assistance

Expenditures during the audit period for new Federal Direct Student Loans (including Direct Parent PLUS Loans), CFDA 84.268, Federal Perkins Loan Program administrative cost allowance, and non-loan awards made to students are recognized and reported in the Schedule. Also in the Schedule, Federal Perkins Loan Program administrative cost allowance is labeled as "Federal Perkins Loan Program."

INDIANA UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
(Continued)

Besides Direct Student Loans, other student loan programs that are funded by the federal government include the Federal Perkins Loan Program - Loan / Loan Guarantee, Nursing Student Loans, the Nurse Faculty Loan Program, and Health Professions Student Loans, including Primary Care Loans/Loans for Disadvantaged Students (HPSL). Activity related to these Campus Based Federal Loan Programs includes federal capital contributions, loan repayments, interest earned on loans, cancellation of loans, and administrative and collection costs.

In both the Schedule and Note 3 below, figures for these Campus Based Federal Loan Programs reflect the outstanding principal of the new loans made or received during the audit period, as well as the balance of any outstanding principal of loans made in previous years for which the Federal Government continues to impose on-going compliance requirements. The principal balances below were not impacted by an interest subsidy, cash, or administrative cost allowance.

Note 3: Loans Outstanding

These are the outstanding loan principal balances as of June 30, 2017, for these Campus Based Federal Loan Programs. This excludes student loans that were paid in full; cancelled; or, in some cases, assigned back to the Department of Education as of June 30, 2017.

Federal Loan Program Title	CFDA Number	June 30, 2017 Loan Balance
Federal Perkins Loan Program - Loan / Loan Guarantee	84.038	44,452,572
Nursing Student Loans	93.364	2,019,688
Nurse Faculty Loan Program	93.264	938,494
ARRA – Nurse Faculty Loan Program	93.408	403,506
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (HPSL)	93.342	21,314,200
Total		\$ 69,128,460

Source: University Collections & Loan Services

INDIANA UNIVERSITY
SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Section I - Summary of Auditor's Results

Financial Statements:

Type of auditor's report issued:	Unmodified
Internal control over financial reporting:	
Material weaknesses identified?	no
Significant deficiencies identified?	none reported
Noncompliance material to financial statements noted?	no

Federal Awards:

Internal control over major programs:	
Material weaknesses identified?	no
Significant deficiencies identified?	none reported
Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?	no

Identification of Major Programs and type of auditor's report issued on compliance for each:

CFDA Number	Name of Federal Program or Cluster	Opinion Issued
	Research and Development Cluster	Unmodified
	Student Financial Assistance Cluster	Unmodified
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	Unmodified

Dollar threshold used to distinguish between Type A and Type B programs: \$3,000,000

Auditee qualified as low-risk auditee? yes

Section II - Financial Statement Findings

No matters are reportable.

Section III - Federal Award Findings and Questioned Costs

No matters are reportable.

OTHER REPORTS

In addition to this report, other reports may have been issued for the University. All reports can be found on the Indiana State Board of Accounts' website: <http://www.in.gov/sboa/>.